

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

C205159

CONTRACT AND  
CONTRACT BONDS  
FOR CONTRACT NO. C205159

WBS 32572.3.19 0028011

T.I.P NO. A-0009CD

COUNTY OF GRAHAM

THIS IS THE ROADWAY & STRUCTURE CONTRACT

ROUTE NUMBER NC-28 LENGTH 1.511 MILES

LOCATION NC-28 FROM WEST OF SR-1228 (STECOAH RD) TO SR-1235 (GUNTERS GAP RD).

CONTRACTOR WATSON CONTRACTING INC

ADDRESS 3940 OLD MURPHY ROAD  
FRANKLIN, NC 28734

BIDS OPENED MAY 19, 2026

CONTRACT EXECUTION 06/15/2026

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

PROPOSAL

DATE AND TIME OF BID OPENING: **May 19, 2026 AT 02:00 PM**

CONTRACT ID C205159  
WBS 32572.3.19

FEDERAL-AID NO. 0028011  
COUNTY GRAHAM  
T.I.P NO. A-0009CD  
MILES 1.511  
ROUTE NO. NC-28  
LOCATION NC-28 FROM WEST OF SR-1228 (STECOAH RD) TO SR-1235 (GUNTERS GAP RD).

TYPE OF WORK GRADING, DRAINAGE, PAVING, AND STRUCTURES.

**NOTICE:**

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA. NOTWITHSTANDING THESE LIMITATIONS ON BIDDING, THE BIDDER WHO IS AWARDED ANY FEDERAL - AID FUNDED PROJECT SHALL COMPLY WITH CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA FOR LICENSING REQUIREMENTS WITHIN 60 CALENDAR DAYS OF BID OPENING.

**BIDS WILL BE RECEIVED AS SHOWN BELOW:**

**THIS IS A ROADWAY & STRUCTURE PROPOSAL**

**5% BID BOND OR BID DEPOSIT REQUIRED**

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**PROPOSAL FOR THE CONSTRUCTION OF  
CONTRACT No. C205159 IN GRAHAM COUNTY, NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION,  
RALEIGH, NORTH CAROLINA**

The Bidder has carefully examined the location of the proposed work to be known as Contract No. **C205159** has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to bound upon his execution of the bid and subsequent award to him by the Board of Transportation in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with the *2024 Standard Specifications for Roads and Structures* by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete Contract No. **C205159** in **Graham County**, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled *North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2024* with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

Accompanying this bid is a bid bond secured by a corporate surety, or certified check payable to the order of the Department of Transportation, for five percent of the total bid price, which deposit is to be forfeited as liquidated damages in case this bid is accepted and the Bidder shall fail to provide the required payment and performance bonds with the Department of Transportation, under the condition of this proposal, within 14 calendar days after the written notice of award is received by him, as provided in the *Standard Specifications*; otherwise said deposit will be returned to the Bidder.



*State Proposals and  
Specifications Engineer*

Signed by:

*Jeffrey J. Renn*

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04/15/2026

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**PROJECT SPECIAL PROVISIONS****GENERAL****HAUL ROADS:**

(7-16-24)

105

SP1 G04

Revise the *Standard Specifications* as follows:

**Page 1-45, Article 105-15 RESTRICTION OF LOAD LIMITS, line 31,** add the following after second sentence of the second paragraph:

At least 30 days prior to use, the Contractor shall notify the Engineer of any public road proposed for use as a haul road for the project.

**BUILD AMERICA, BUY AMERICA (BABA):**

(11-15-22)(Rev. 4-21-26)

106

SP1 G05 B

Revise the *Standard Specifications* as follows:

**Page 1-47 and 1-48, Article 106-1 GENERAL REQUIREMENTS, lines 30-47 and 1-49,** delete and replace Article 106-1 with the following:

**106-1 MATERIALS AND MANUFACTURING****(A) General Requirements**

The Contractor shall furnish materials that conform to all contract requirements, are suitable for their intended use, and are free from defects. All materials used in the work shall meet the requirements of the contract and shall be subject to inspection, test, or rejection by the Engineer. All materials permanently incorporated into the completed work shall be new, unless otherwise specified in the contract or as approved by the Engineer.

The Contractor is responsible for selecting, procuring, and delivering materials of the types and quantities necessary to perform the work and meet contract requirements. Delays in material delivery or quality control do not relieve the Contractor of obligations for schedule or quality.

All materials incorporated into the work shall be approved before use. Approval is based on testing, certification, or both, as required by the contract. Department review or acceptance does not relieve the Contractor of responsibility for material compliance. The Department may inspect, sample, and test materials at any time before, during, or after installation.

Materials shall be handled, stored, and protected to prevent damage, contamination, or deterioration. Materials determined to be defective, damaged, contaminated, or otherwise not in compliance shall be rejected and promptly removed from the project.

To facilitate inspection and testing, the Contractor shall furnish a complete statement of origin for all materials, including certifications or samples when requested. This information

shall be submitted to the Materials and Tests Unit when required by the contract or as directed by the Engineer, in advance of fabrication, shipment, or use to allow for appropriate inspection.

The Contractor shall furnish Safety Data Sheets (SDS) for all paints and hazardous chemicals proposed for use on the project, in accordance with the North Carolina Hazard Communication Standard, 29 CFR 1910.1200 and NCGS § 95-174.

The Contractor shall provide access, equipment, means and assistance for the verification and calibration of any devices used in testing, measurement, or documentation of materials.

If the Contractor proposes to use materials from local deposits not identified in the contract, the Contractor shall be responsible for preliminary sampling, source approval, and production of acceptable material. Preliminary samples shall be furnished at no cost to the Department. If requested in writing, the Department may perform sampling, with costs to be charged to the Contractor as determined by the Engineer.

Sampling or testing by the Department does not constitute pre-approval or acceptance of material. The Contractor remains responsible for ensuring quality and uniformity of all materials produced or delivered, including those from local deposits. The Contractor shall indemnify and hold harmless the Department from any claims, costs, or damages related to the development or use of such sources, including, but not limited to, failure to meet quantity or quality requirements.

Materials covered by Subarticles 106-1(B) and 106-1(C) shall comply with applicable domestic content requirements, including those for iron and steel, construction materials, and manufactured products.

### **(B) Domestic Material Requirements**

Domestic material requirements apply to iron and steel products permanently incorporated into any project in accordance with 23 U.S.C. § 313, 23 CFR 635.410, and NCGS § 136-28.7. Construction materials and manufactured products permanently incorporated into the work are subject to domestic-content requirements only on Federal-aid projects, in accordance with 23 U.S.C. § 313, Build America Buy America Act (IIJA § 70914), 2 CFR 184, and as implemented for the Federal-aid highway program through 23 CFR Part 635.410.

All iron or steel products, construction materials, and manufactured products subject to domestic content requirements shall be of domestic origin and meet the applicable requirements of the contract based on the project's funding source.

Before any materials are delivered to the project, the Contractor shall submit a notarized letter acknowledging their understanding of the domestic material requirements for the specific contract. This acknowledgment is a contract-level affirmation that the Contractor is responsible for ensuring that no iron or steel product, construction material, or manufactured product subject to domestic content requirements is permanently incorporated into the work without the required certification. This acknowledgment does not substitute for product-level certifications from the manufacturer or supplier. The Department reserves the right to deny or recover payment for any material incorporated into the work without valid documentation.

For iron and steel, all manufacturing processes must occur in the United States.

For construction materials, all manufacturing processes must occur in the United States.

For manufactured products, final assembly must occur in the United States.

Before any iron or steel product, construction material, or manufactured product subject to domestic content requirements is eligible for payment, the Contractor shall submit a certification from the manufacturer or supplier confirming compliance with the applicable regulations. A separate certification is required for each shipment or delivery and must clearly identify the items covered, linked to the associated bill of lading, invoice, or packing list.

The Contractor shall ensure that all required certifications from the manufacturer or supplier are obtained and submitted to the Engineer prior to payment for any iron or steel product, construction material, or manufactured product subject to domestic content requirements. The Engineer will retain documentation in accordance with Department procedures. Compliance with domestic material requirements is the responsibility of the Contractor, based on certifications and documentation provided by the manufacturer or supplier.

### **(C) Material Category Requirements**

#### **(1) Iron and Steel Products**

Items are considered iron or steel products if they consist wholly or predominantly of iron or steel. Predominantly means the cost of iron or steel components exceeds 50% of the total cost of all product components.

All steel and iron products that are permanently incorporated into the work shall be produced in the United States. This includes any such item that is melted, cast, rolled, formed, shaped, drawn, extruded, forged, fabricated, finished or otherwise processed in the manufacture of the product. Coatings applied to iron and steel products shall also be applied in the United States.

A minimal amount of foreign iron or steel products may be permitted provided the total value of such foreign material, as delivered to the project, does not exceed 0.1% of the total contract cost or \$2,500, whichever is greater. Documentation establishing the value of the foreign material shall be submitted when requested. This allowance is intended only for incidental quantities that may arise despite good-faith compliance efforts and may not be used to intentionally procure foreign iron or steel.

Domestically produced high-strength fasteners are required, and foreign-produced high-strength fasteners are not permitted under any circumstance.

Raw materials such as pig iron, processed pelletized iron ore, and reduced iron ore may be sourced internationally; however, all manufacturing processes to produce the final product, including coatings, must occur within the United States.

#### **(2) Construction Materials**

Construction materials shall consist of a single, listed material type permanently incorporated into the work as defined in 2 CFR 184.3. The following are classified as construction materials:

- (a) Non-ferrous metals (such as aluminum, copper, and zinc);
- (b) Plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables);
- (c) Glass (including optical glass);
- (d) Fiber optic cable (including drop cable);
- (e) Optical fiber;
- (f) Lumber;
- (g) Engineered wood;
- (h) Drywall

For construction materials, all manufacturing processes must occur in the United States. If a construction material is combined with other materials, components, or features to form a product with new properties or functions, it shall be classified as a manufactured product.

Minor additions such as binding agents, dyes, or adhesives that do not materially alter the item's properties do not affect classification.

### **(3) Manufactured Products**

Manufactured products are articles, materials, or supplies that are made by combining one or more materials to create a product with new or different properties, functions, or uses. This includes items that incorporate multiple components, materials, or assemblies and cannot be classified as a single listed construction material.

Final assembly of all manufactured products must occur in the United States. Certification must address the product as a whole, including all incorporated components.

Items that meet the definition of construction materials or iron and steel products shall not be reclassified as manufactured products.

### **(4) Materials Not Subject to Domestic Content Requirements**

The following materials are not subject to domestic content requirements and do not require certification, as identified below:

#### **(a) Materials Excluded by Federal Statute or Regulation**

The following materials are excluded from domestic content requirements under applicable Federal law or regulation:

- (i) Cement and cementitious materials
- (ii) Aggregates such as stone, sand, or gravel
- (iii) Aggregate binding agents or additives

#### **(b) Materials Not Permanently Incorporated into the Work**

Materials that are not permanently incorporated into the completed project are not subject to domestic content requirements. Materials are not permanently incorporated when they are used solely to facilitate construction activities and do not perform an ongoing structural, operational, or functional role after construction is complete. Determinations regarding whether a material is permanently incorporated will be made by the Engineer.

#### **(D) Classification and Clarification of Materials**

All items subject to domestic content requirements shall be classified as either an iron or steel product, construction material, manufactured product, or a material not subject to domestic content requirements based on their final form as delivered to the project site. Each item may be classified into only one category and shall not be reclassified to avoid more stringent requirements. Classification of a manufactured product does not relieve the Contractor of compliance with iron and steel domestic manufacturing requirements applicable to embedded iron or steel components when required by Federal law or regulation. When an item's classification is uncertain or does not clearly fall within the listed categories, the Contractor shall submit the item for review by the Engineer. Approval must be obtained prior to procurement or incorporation into the project.

#### **CONTRACT TIME AND LIQUIDATED DAMAGES:**

(8-15-00) (Rev. 5-16-23)

108

SP1 G08 A

The date of availability for this contract is **June 29, 2026**, except that work in jurisdictional waters and wetlands shall not begin until a meeting between the DOT, Regulatory Agencies, and the Contractor is held as stipulated in the permits contained elsewhere in this proposal. This delay in availability has been considered in determining the contract time for this project.

The completion date for this contract is **June 1, 2030**.

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **Two Hundred Dollars (\$ 200.00)** per calendar day. These liquidated damages will not be cumulative with any liquidated damages which may become chargeable under Intermediate Contract Time Number 1.

#### **INTERMEDIATE CONTRACT TIME NUMBER 1 AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 2-21-12)

108

SP1 G13 A

Except for that work required under the Project Special Provisions entitled *Planting, Reforestation* and/or *Permanent Vegetation Establishment*, included elsewhere in this proposal, the Contractor will be required to complete all work included in this contract and shall place and maintain traffic on same.

The date of availability for this intermediate contract time is **June 29, 2026**.

The completion date for this intermediate contract time is **November 1, 2029**.

The liquidated damages for this intermediate contract time are **Three Thousand Seven Hundred Dollars (\$ 3,700.00)** per calendar day.

Upon apparent completion of all the work required to be completed by this intermediate date, a final inspection will be held in accordance with Article 105-17 and upon acceptance, the Department will assume responsibility for the maintenance of all work except *Planting, Reforestation* and/or *Permanent Vegetation Establishment*. The Contractor will be responsible for and shall make corrections of all damages to the completed roadway caused by his planting operations, whether occurring prior to or after placing traffic through the project.

**INTERMEDIATE CONTRACT TIME NUMBER 2 AND LIQUIDATED DAMAGES:**

(2-20-07)

108

SP1 G14 B

The Contractor shall not narrow or close a lane of traffic on **Any Road**, detain and /or alter the traffic flow on or during holiday weekends, special events, or any other time when traffic is unusually heavy, including the following schedules:

**HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS**

1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
2. For **New Year's Day**, between the hours of **4:00 PM** December 31<sup>st</sup> and **9:00 AM** January 2<sup>nd</sup>. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **9:00 AM** the following Tuesday.
3. For **Easter**, between the hours of **4:00 PM** Thursday and **9:00 AM** Monday.
4. For **Memorial Day**, between the hours of **4:00 PM** Friday and **9:00 AM** Tuesday.
5. For **Independence Day**, between the hours of **4:00 PM** the day before Independence Day and **9:00 AM** the day after Independence Day.  
  
If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **4:00 PM** the Thursday before Independence Day and **9:00 AM** the Tuesday after Independence Day.
6. For **Labor Day**, between the hours of **4:00 PM** Friday and **9:00 AM** Tuesday.
7. For **Thanksgiving**, between the hours of **4:00 PM** Tuesday and **9:00 AM** Monday.
8. For **Christmas**, between the hours of **4:00 PM** the Friday before the week of Christmas Day and **9:00 AM** the following Tuesday after the week of Christmas Day.

Holidays and holiday weekends shall include New Year's, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas. The Contractor shall schedule his work so that

lane closures will not be required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated above and place traffic in the existing traffic pattern.

The liquidated damages are **Two Hundred Fifty Dollars (\$ 250.00)** per hour.

**INTERMEDIATE CONTRACT TIME NUMBER 3 AND LIQUIDATED DAMAGES:**

(2-20-07) (Rev. 10-15-13)

108

SPI G14 E

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for road closures and restoring traffic to the existing traffic pattern. The Contractor shall not close **NC 28** during the following time restrictions:

**DAY AND TIME RESTRICTIONS**

**Monday thru Sunday, 4:00 PM to 8:00 AM (the following morning)**

The maximum allowable time for rock blasting operations is **twenty (20) minutes** for **NC 28**. The Contractor shall reopen the travel lanes to traffic until any resulting traffic queue is depleted.

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for the road closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the road closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern.

The liquidated damages are **Two Hundred Fifty Dollars (\$ 250.00)** per twenty **(20)** minute time period.

**INTERMEDIATE CONTRACT TIME NUMBER 4 AND LIQUIDATED DAMAGES:**

(2-20-07) (Rev. 6-18-13)

108

SPI G14 H

The Contractor shall complete the work required of **Phase II, Step #1A** as shown on Sheet **TMP-3** and shall place and maintain traffic on same.

The date of availability for this intermediate contract time is the date the Contractor elects to begin the work.

The completion date for this intermediate contract time is the date which is **one hundred twenty (120)** consecutive calendar days after and including the date the Contractor begins this work.

The liquidated damages are **Five Hundred Dollars (\$ 500.00)** per calendar day.

**PERMANENT VEGETATION ESTABLISHMENT:**

(2-16-12)(Rev. 1-16-24)

104

SP1 G16

Establish a permanent stand of the vegetation mixture shown in the contract. During the period between initial vegetation planting and final project acceptance, perform all work necessary to establish permanent vegetation on all erodible areas within the project limits, as well as, in borrow and waste pits. This work shall include erosion control device maintenance and installation, repair seeding and mulching, supplemental seeding and mulching, mowing, and fertilizer topdressing, as directed. All work shall be performed in accordance with the applicable section of the *Standard Specifications*. All work required for initial vegetation planting shall be performed as a part of the work necessary for the completion and acceptance of the Intermediate Contract Time (ICT). Between the time of ICT and Final Project acceptance, or otherwise referred to as the vegetation establishment period, the Department will be responsible for preparing the required National Pollutant Discharge Elimination System (NPDES) inspection records.

Once the Engineer has determined that the permanent vegetation establishment requirement has been achieved at an 80% vegetation density (the amount of established vegetation per given area to stabilize the soil) and no erodible areas exist within the project limits, the Contractor will be notified to remove the remaining erosion control devices that are no longer needed. The Contractor will be responsible for, and shall correct any areas disturbed by operations performed in permanent vegetation establishment and the removal of temporary erosion control measures, whether occurring prior to or after placing traffic on the project.

Payment for *Response for Erosion Control, Seeding and Mulching, Repair Seeding, Supplemental Seeding, Mowing, Fertilizer Topdressing, Silt Excavation, and Stone for Erosion Control* will be made at contract unit prices for the affected items. Work required that is not represented by contract line items will be paid in accordance with Articles 104-7 or 104-3 of the *Standard Specifications*. No additional compensation will be made for maintenance and removal of temporary erosion control items.

**CONSTRUCTION MORATORIUM:**

(7-15-14)

SP1 G18A

No in-water work or land disturbance within the 25 feet wide buffer zone will be allowed from **January 1<sup>st</sup>** through **April 15<sup>th</sup>** of any year.

**CONSTRUCTION MORATORIUM (Bats):**

(1-19-16)

SP1 G18C

No tree cutting will be allowed from **April 16<sup>th</sup>** through **October 14<sup>th</sup>** of any year.

**MAJOR CONTRACT ITEMS:**

(2-19-02)(Rev. 1-16-24)

104

SP1 G28

The following listed items are the major contract items for this contract (see Article 104-5 of the *Standard Specifications*):

<b>Line #</b>	<b>Description</b>
5	Unclassified Excavation

**SPECIALTY ITEMS:**

(7-1-95)(Rev. 1-16-24)

108-6

SP1 G37

Items listed below will be the specialty items for this contract (see Article 108-6 of the *Standard Specifications*).

<b>Line #</b>	<b>Description</b>
94-107	Guardrail
108-110	Fencing
117-124	Signing
141-144	Long-Life Pavement Markings
151	Permanent Pavement Markers
116, 152-184, 187-189	Erosion Control
185-186	Reforestation

**SPECIAL REQUIREMENTS FOR WORK IN NATIONAL FOREST:**

(7-1-95)

107-13

SP1 G40

In addition to other requirements in this proposal with respect to clearing, erosion control, protection of environment, etc., comply with the following requirements:

- (A) Comply with the portions of these Special Requirements, entitled "Fire Plan," "Clearing Plan," and "Landscape and Erosion Control Plan." Note the fact that merchantable timber within Forest Service Property will become the property of the Contractor.
- (B) Comply with the following recommendations of the State Fish and Game Department and Forest Service for wildlife and fish management:
  - (1) Take all necessary precautions to avoid damage to fish habitat and exercise every reasonable precaution to prevent muddying or silting live streams.
  - (2) Do not deposit material removed from the roadway or channel changes in live streams or into the streams or stream channel where it would be washed away by high stream flows.
  - (3) Do not haul materials, including logs, brush, and debris, by fording live streams. Instead, provide temporary bridges or other structures for this purpose.
- (C) Dispose of waste material resulting from slides during construction and surplus material at locations approved by the Forest Supervisor. Submit a plan showing the proposed method of disposal at the time approval is requested.

- (D) Treat sections of existing road to be abandoned as a result of the proposed new construction, as designated by the Forest Supervisor, to restore them to their natural state. The necessary treatment will be determined during a joint review between the Forest Service and the State and may include ripping of roadbed, removal of drainage structure, and opening drainage channels. Plans and specifications as mutually deemed appropriate to accomplish the objective will become a part of this stipulation.
- (E) Permanently monument the right of way prior to completion of construction in accordance with State requirements for such right of way, but in any event the minimum requirements will be to place permanent monuments at the intersection of right of way with all property lines, section lines, and at intervals of not more than 1,000 feet along the right-of-way limits.
- (F) Re-establish or restore public land monuments disturbed or destroyed by construction, reconstruction, or maintenance according to instructions of the Bureau of Land Management, Department of the Interior. Do not damage, destroy, or obliterate other land monuments and property corners or witness markers without the prior permission of the Regional Forester. Relocate or re-establish these land monuments, property corners, and witness markers in accordance with standards satisfactory to the Regional Forester.

### **Fire Protection Plan**

During the period of construction, perform both independently and in cooperation with the Forest Service everything that is reasonable and practical to prevent and suppress forest fires on the easement area and in its immediate vicinity. Include provisions in all subcontracts for the construction of the road requiring subcontractors and their respective employees to do likewise. The contractors and subcontractors, shall conform to, but not be limited to, the following Fire Plan:

- (A) Take immediate independent or cooperative action to control and extinguish any fire, regardless of cause, within the easement area and its vicinity.
- (B) Maintain at readily available sites one or more boxes of firefighting tools to be furnished by the Forest Service for forest fire fighting purposes only.
- (C) Perform debris burning only in the center of the right of way, and only after a strip 20 feet wide around each pile is cleared to mineral soil.
- (D) Keep fires compact by throwing in the larger material as it burns. If piles are too close together or burn hot, light every second or third pile; allow these to cool down before firing the others. On slopes start burning at the top and work down. Confine fires to piles at all times.
- (E) Do not leave fires unattended.
- (F) Discontinue burning upon notification by the District Forest Ranger or his representative that fire danger is such that there is abnormal risk.

- (G) Whenever a fire escapes, notify the District Ranger immediately even if the fire is suppressed without Forest Service assistance.
- (H) The contractor or subcontractor responsible will bear the costs, including Forest Service direct costs and value of resources damages, incurred by the Forest Service in controlling and extinguishing any fire on or threatening National Forest lands which they or their employees caused with or without negligence in connection with construction operations.
- (I) Contact the District Ranger 24 hours in advance of burning.

### **Clearing Plan**

Conform to the following clearing plan:

- (A) Dispose of unmerchantable materials including tops, branches, etc., by piling and burning as directed by the Forest Service or used in brush barriers. Alternate methods of disposal, including any of the following methods or combinations of methods (lop and scatter, chip, remove, pile only), shall be approved in advance by the Forest Service.
- (B) The maximum clearing and grubbing limits are to be as shown on the plans except that cutting of hazard trees outside these limits may be done with approval. Confine construction machinery within the clearing limits.

### **Landscape and Erosion Control Plan**

The erosion control plan will be designed and implemented to prevent visible sediment, as defined by NC DEQ regulations, from reaching any defined stream channel.

Conform to, but not be limited to, the following Landscape and Erosion Control Plan.

- (A) Prevent visible sediment from entering any stream channel. If an erosion control practice must be sited in a channel, it shall stop further down-channel transport of visible sediment.
- (B) Bear responsibility for the prevention and control of soil erosion and gullying on the right of way and lands adjacent thereto resulting from the construction or maintenance of the road. Revegetate with grass (not Love Grass) or herbaceous plants all ground where the soil has been exposed. Accomplish revegetation within 20 working days following final grading.
- (C) Round the ends of cut sections and the tops of back slopes.
- (D) Vegetate all front and back slopes by liming, fertilizing, mulching and seeding; including any waste area. Mulch critical areas if they are to be exposed greater than 5 working days of probable inclement weather during seasons when seeding is impracticable. Critical areas include all bare soils within 100 feet (slope distance) of perennial and intermittent streams. Mulch these as soon as practical and after final seeding.

- (E) Maintain all erosion control practices in a timely manner to prevent visible sediment from entering any stream channel, until such time that the final revegetation stabilizes the site and prevents erosion and off-site movement of sediment.

**FUEL PRICE ADJUSTMENT:**

(11-15-05)(Rev. 1-16-24)

109-8

SP1 G43

**Page 1-82, Article 109-8, FUEL PRICE ADJUSTMENTS**, add the following:

The base index price for DIESEL #2 FUEL is \$ **4.1435** per gallon. Where any of the following are included as pay items in the contract, they will be eligible for fuel price adjustment.

The pay items and the fuel factor used in calculating adjustments to be made will be as follows:

<b>Description</b>	<b>Units</b>	<b>Fuel Usage Factor Diesel</b>
Unclassified Excavation	Gal/CY	0.29
Borrow Excavation	Gal/CY	0.29
Class IV Subgrade Stabilization	Gal/Ton	0.55
Aggregate Base Course	Gal/Ton	0.55
Sub-Ballast	Gal/Ton	0.55
Erosion Control Stone	Gal/Ton	0.55
Rip Rap, Class	Gal/Ton	0.55
Asphalt Concrete Base Course, Type _____	Gal/Ton	0.90 or 2.90
Asphalt Concrete Intermediate Course, Type _____	Gal/Ton	0.90 or 2.90
Asphalt Concrete Surface Course, Type _____	Gal/Ton	0.90 or 2.90
Open-Graded Asphalt Friction Course	Gal/Ton	0.90 or 2.90
Permeable Asphalt Drainage Course, Type _____	Gal/Ton	0.90 or 2.90
Sand Asphalt Surface Course, Type _____	Gal/Ton	0.90 or 2.90
Ultra-thin Bonded Wearing Course	Gal/Ton	0.90 or 2.90
Aggregate for Cement Treated Base Course	Gal/Ton	0.55
Portland Cement for Cement Treated Base Course	Gal/Ton	0.55
> 11" Portland Cement Concrete Pavement	Gal/SY	0.327
Concrete Shoulders Adjacent to > 11" Pavement	Gal/SY	0.327
9" to 11" Portland Cement Concrete Pavement	Gal/SY	0.272
Concrete Shoulders Adjacent to 9" to 11" Pavement	Gal/SY	0.272
< 9" Portland Cement Concrete Pavement	Gal/SY	0.245
Concrete Shoulders Adjacent to < 9" Pavement	Gal/SY	0.245

For the asphalt items noted in the chart as eligible for fuel adjustments, the bidder may include the *Fuel Usage Factor Adjustment Form* with their bid submission if they elect to use the fuel usage factor. The *Fuel Usage Factor Adjustment Form* is found at the following link:

<https://connect.ncdot.gov/letting/LetCentral/Fuel%20Usage%20Factor%20Adjustment%20Form%20-%20Starting%20Nov%202022%20Lettings.pdf>

Select either 2.90 Gal/Ton fuel factor or 0.90 Gal/Ton fuel factor for each asphalt line item on the *Fuel Usage Factor Adjustment Form*. The selected fuel factor for each asphalt item will remain in effect for the duration of the contract.

Failure to complete the *Fuel Usage Factor Adjustment Form* will result in using 2.90 gallons per ton as the Fuel Usage Factor for Diesel for the asphalt items noted above. The contractor will not be permitted to change the Fuel Usage Factor after the bids are submitted.

### **STEEL PRICE ADJUSTMENT:**

(4-19-22)(Rev. 12-20-22)

SP1 G47

#### **Description and Purpose**

Steel price adjustments will be made to the payments due the Contractor for items as defined herein that are permanently incorporated into the work, when the price of raw steel mill products utilized on the contract have fluctuated. The Department will adjust monthly progress payments up or down as appropriate for cost changes in steel according to this provision.

#### **Eligible Items**

The list of eligible bid items for steel price adjustment can be found on the Departments website at the following address:

<https://connect.ncdot.gov/letting/LetCentral/Eligible%20Bid%20Items%20for%20Steel%20Price%20Adjustment.xlsx>

Nuts, bolts, anchor bolts, rebar chairs, connecting bands and other miscellaneous hardware associated with these items shall not be included in the price adjustment.

Adjustments will only be made for fluctuations in the material cost of the steel used in the above products as specified in the Product Relationship Table below. The producing mill is defined as the source of steel product before any fabrication has occurred (e.g., coil, plate, rebar, hot rolled shapes, etc.). No adjustment will be made for changes in the cost of fabrication, coating, shipping, storage, etc.

No steel price adjustments will be made for any products manufactured from steel having an adjustment date, as defined by the Product Relationship Table below, prior to the letting date.

#### **Bid Submittal Requirements**

The successful bidder, within 14 calendar days after the notice of award is received by him, shall provide the completed Form SPA-1 to the Department (State Contract Officer or Division Contract Engineer) along with the payment bonds, performance bonds and contract execution signature sheets in a single submittal. If Form SPA-1 is not included in the same submittal as the payment bonds, performance bonds and contract execution signature sheets, the Contractor will not be eligible for any steel price adjustment for any item in the contract for the life of the contract. Form SPA-1 can be found on the Department's website at the following address:

<https://connect.ncdot.gov/letting/LetCentral/Form%20SPA-1.xlsm>

The Contractor shall provide Form SPA-1 listing the Contract Line Number, (with corresponding Item Number, Item Description, and Category) for the steel products they wish to have an adjustment calculated. Only the contract items corresponding to the list of eligible item numbers for steel price adjustment may be entered on Form SPA-1. The Contractor may choose to have steel price adjustment applied to any, all, or none of the eligible items. However, the Contractor's selection of items for steel price adjustment or non-selection (non-participation) may not be changed once Form SPA-1 has been received by the Department. Items the Bidder chooses for steel price adjustment must be designated by writing the word "Yes" in the column titled "Option" by each Pay Item chosen for adjustment. Should the bidder elect an eligible steel price item, the entire quantity of the line item will be subject to the price adjustment for the duration of the Contract. The Bidder's designations on Form SPA-1 must be written in ink or typed and signed by the Bidder (Prime Contractor) to be considered complete. Items not properly designated, designated with "No", or left blank on the Bidder's Form SPA-1 will automatically be removed from consideration for adjustment. No steel items will be eligible for steel price adjustment on this Project if the Bidder fails to return Form SPA-1 in accordance with this provision.

### **Establishing the Base Price**

The Department will use a blend of monthly average prices as reported from the Fastmarkets platform to calculate the monthly adjustment indices (BI and MI). This data is typically available on the first day of the month for the preceding month. The indices will be calculated by the Department for the different categories found on the Product Relationship Table below. For item numbers that include multiple types of steel products, the category listed for that item number will be used for adjusting each steel component.

The bidding index for Category 1 Steel items is **\$ 47.25** per hundredweight.  
The bidding index for Category 2 Steel items is **\$ 58.72** per hundredweight.  
The bidding index for Category 3 Steel items is **\$ 74.93** per hundredweight.  
The bidding index for Category 4 Steel items is **\$ 51.91** per hundredweight.  
The bidding index for Category 5 Steel items is **\$ 57.81** per hundredweight.  
The bidding index for Category 6 Steel items is **\$ 69.57** per hundredweight.  
The bidding index for Category 7 Steel items is **\$ 50.03** per hundredweight.

The bidding index represents a selling price of steel based on Fastmarkets data for the month of **March 2026**.

MI = Monthly Index. – in Dollars (\$) per hundredweight (CWT). Use the adjustment indices from the month the steel was shipped from the producing mill, received on the project, or member cast as defined in the Product Relationship Table.

BI = Bidding Index. - in Dollars (\$) per hundredweight (CWT). Use the adjustment indices as listed in the proposal.

*Product Relationship Table*

<i>Steel Product (Title)</i>	BI, MI*	Adjustment Date for MI	Category
Reinforcing Steel, Bridge Deck, and SIP Forms	Based on one or more Fastmarkets indices	Delivery Date from Producing Mill	1
Structural Steel and Encasement Pipe	Based on one or more Fastmarkets indices	Delivery Date from Producing Mill	2
Steel H-Piles, Soldier Pile Walls	Based on one or more Fastmarkets indices	Delivery Date from Producing Mill	3
Guardrail Items and Pipe Piles	Based on one or more Fastmarkets indices	Material Received Date**	4
Fence Items	Based on one or more Fastmarkets indices	Material Received Date**	5
Overhead Sign Assembly, Signal Poles, High Mount Standards	Based on one or more Fastmarkets indices	Material Received Date**	6
Prestressed Concrete Members	Based on one or more Fastmarkets indices	Cast Date of Member	7

\* BI and MI are in converted units of Dollars per Hundredweight (\$/CWT)

\*\* Material Received Date is defined as the date the materials are received on the project site. If a material prepayment is made for a Category 4-6 item, the Adjustment Date to be used will be the date of the prepayment request instead of the Materials Received Date.

Submit documentation to the Engineer for all items listed in the Contract for which the Contractor is requesting a steel price adjustment.

### **Submittal Requirements**

The items in categories 1,2, and 3, shall be specifically stored, labeled, or tagged, recognizable by color marking, and identifiable by Project for inspection and audit verification immediately upon arrival at the fabricator.

Furnish the following documentation for all steel products to be incorporated into the work and documented on Form SPA-2, found on the Departments website at the following address:

<https://connect.ncdot.gov/projects/construction/Construction%20Forms/Form%20SPA-2.xlsx>

Submit all documentation to the Engineer prior to incorporation of the steel into the completed work. The Department will withhold progress payments for the affected contract line item if the documentation is not provided and at the discretion of the Engineer the work is allowed to proceed. Progress payments will be made upon receipt of the delinquent documentation.

#### **Step 1 (Form SPA -2)**

Utilizing Form SPA-2, submit separate documentation packages for each line item from Form SPA-1 for which the Contractor opted for a steel price adjustment. For line items with multiple components of steel, each component should be listed separately. Label each SPA-2 documentation package with a unique number as described below.

- a. Documentation package number: (Insert the contract line-item) - (Insert sequential package number beginning with "1").  
Example: 412 - 1,  
412 - 2,  
424 - 1,  
424 - 2,  
424 - 3, etc.
- b. The steel product quantity in pounds
  - i. The following sources should be used, in declining order of precedence, to determine the weight of steel/iron, based on the Engineers decision:
    1. Department established weights of steel/iron by contract pay item per pay unit;
    2. Approved Shop Drawings;
    3. Verified Shipping Documents;
    4. Contract Plans;
    5. Standard Drawing Sheets;
    6. Industry Standards (i.e., AISC Manual of Steel Construction, AWWA Standards, etc.); and
    7. Manufacture's data.
  - ii. Any item requiring approved shop drawings shall have the weights of steel calculated and shown on the shop drawings or submitted and certified separately by the fabricator.
- c. The date the steel product, subject to adjustment, was shipped from the producing mill (Categories 1-3), received on the project (Categories 4-6), or casting date (Category 7).

#### Step 2 (Monthly Calculator Spreadsheet)

For each month, upon the incorporation of the steel product into the work, provide the Engineer the following:

- 1) Completed NCDOT Steel Price Adjustment Calculator Spreadsheet, summarizing all the steel submittal packages (Form SPA-2) actually incorporated into the completed work in the given month.
  - a. Contract Number
  - b. Bidding Index Reference Month
  - c. Contract Completion Date or Revised Completion Date
  - d. County, Route, and Project TIP information
  - e. Item Number
  - f. Line-Item Description
  - g. Submittal Number from Form SPA-2
  - h. Adjustment date
  - i. Pounds of Steel

- 2) An affidavit signed by the Contractor stating the documentation provided in the NCDOT Steel Price Adjustment Calculator Spreadsheet is true and accurate.

### Price Adjustment Conditions

Download the Monthly Steel Adjustment Spreadsheet with the most current reference data from the Department's website each month at the following address:

<https://connect.ncdot.gov/projects/construction/Construction%20Forms/Form%20SPA-3%20NCDOT%20Steel%20Price%20Adjustment%20Calculator.xlsx>

If the monthly Fastmarkets data is not available, the data for the most recent immediately preceding month will be used as the basis for adjustment.

### Price Adjustment Calculations

The price adjustment will be determined by comparing the percentage of change in index value listed in the proposal (BI) to the monthly index value (MI). (See included sample examples). Weights and date of shipment must be documented as required herein. The final price adjustment dollar value will be determined by multiplying this percentage increase or decrease in the index by the represented quantity of steel incorporated into the work, and the established bidding index (BI) subject to the limitations herein.

#### Price increase/decrease will be computed as follows:

$$\text{SPA} = ((\text{MI} / \text{BI}) - 1) * \text{BI} * (\text{Q} / 100)$$

Where;

SPA = Steel price adjustment in dollars

MI = Monthly Shipping Index. – in Dollars (\$) per hundredweight (CWT). Use the adjustment indices from the month the steel was shipped from the producing mill, received on the project, or member cast as defined in the Product Relationship Table.

BI = Bidding Index. - in Dollars (\$) per hundredweight (CWT). Use the adjustment indices as listed in the proposal.

Q = Quantity of steel, product, pounds actually incorporated into the work as documented by the Contractor, or Design Build Team and verified by the Engineer.

Calculations for price adjustment shall be shown separate from the monthly progress estimate and will not be included in the total cost of work for determination of progress or for extension of Contract time in accordance with Subarticle 108-10(B)(1).

Any apparent attempt to unbalance bids in favor of items subject to price adjustment may result in rejection of the bid proposal.

Adjustments will be paid or charged to the Contractor only. Any Contractor receiving an adjustment under this provision shall distribute the proper proportional part of such adjustments to the subcontractor who performed the applicable work.

Delays to the work caused by steel shortages may be justification for a Contract time extension but will not constitute grounds for claims for standby equipment, extended office overhead, or other costs associated with such delays.

If an increase in the steel material price is anticipated to exceed 50% of the original quoted price, the contractor must notify the Department within 7 days prior to purchasing the material. Upon receipt of such notification, the Department will direct the Contractor to either (1) proceed with the work or (2) suspend the work and explore the use of alternate options.

If the decrease in the steel material exceeds 50% of the original quoted price, the contractor may submit to the Department additional market index information specific to the item in question to dispute the decrease. The Department will review this information and determine if the decrease is warranted.

When the steel product adjustment date, as defined in the Product Relationship Table, is after the approved contract completion date, the steel price adjustments will be based on the lesser value of either the MI for the month of the approved contract completion date or the MI for the actual adjustment date.

If the price adjustment is based on estimated material quantities for that time, and a revision to the total material quantity is made in a subsequent or final estimate, an appropriate adjustment will be made to the price adjustment previously calculated. The adjustment will be based on the same indices used to calculate the price adjustment which is being revised. If the adjustment date of the revised material quantity cannot be determined, the adjustment for the quantity in question, will be based on the indices utilized to calculate the steel price adjustment for the last initial documentation package submission, for the steel product subject to adjustment, that was incorporated into the particular item of work, for which quantities are being finalized.

Example: Structural steel for a particular bridge was provided for in three different shipments with each having a different mill shipping date. The quantity of structural steel actually used for the bridge was calculated and a steel price adjustment was made in a progress payment. At the conclusion of the work an error was found in the plans of the final quantity of structural steel used for the bridge. The quantity to be adjusted cannot be directly related to any one of the three mill shipping dates. The steel price adjustment for the quantity in question would be calculated using the indices that were utilized to calculate the steel price adjustment for the quantity of structural steel represented by the last initial structural steel documentation package submission. The package used will be the one with the greatest sequential number.

**Extra Work/Force Account:**

When steel products, as specified herein, are added to the contract as extra work, in accordance with the provisions of Article 104-7 or 104-3, the Engineer will determine and specify in the supplemental agreement, the need for application of steel price adjustments on a case-by-case basis. No steel price adjustments will be made for any products manufactured from steel having an adjustment date prior to the supplemental agreement execution date. Price adjustments will be made as provided herein, except the Bidding Index will be based on the month in which the supplemental agreement pricing was executed.

For work performed on force account basis, reimbursement of actual material costs, along with the specified overhead and profit markup, will be considered to include full compensation for the current cost of steel and no steel price adjustments will be made.

**Examples Form SPA-2**

**Steel Price Adjustment Submission Form**

Contract Number     C203394     Bid Reference Month     January 2019    

Submittal Date     8/31/2019    

Contract Line Item     237    

Line Item Description     APPROX....LBS Structural Steel    

Sequential Submittal Number     2    

Supplier	Description of material	Location information	Quantity in lbs.	Adjustment Date
XYZ mill	Structural Steel	Structure 3, Spans A-C	1,200,000	May 4, 2020
ABC distributing	Various channel & angle shapes	Structure 3 Spans A-C	35,000	July 14, 2020
		Total Pounds of Steel	1,235,000	

- Note: Attach the following supporting documentation to this form.
- Bill of Lading to support the shipping dates
  - Supporting information for weight documentation (e.g., Pay item reference, Shop drawings, shipping documents, Standards Sheets, industry standards, or manufacturer's data)

By providing this data under my signature, I attest to the accuracy of and validity of the data on this form and certify that no deliberate misrepresentation in any manner has occurred.

Printed Name  
\_\_\_\_\_

Signature  
\_\_\_\_\_

**Examples Form SPA-2**  
**Steel Price Adjustment Submission Form**

Contract Number C203394 Bid Reference Month January 2019

Submittal Date August 31, 2019

Contract Line Item 237

Line Item Description SUPPORT, OVRHD SIGN STR -DFEB – STA 36+00

Sequential Submittal Number 2

Supplier	Description of material	Location information	Quantity in lbs.	Adjustment Date
XYZ mill	Tubular Steel (Vertical legs)	<u>-DFEB – STA 36+00</u>	12000	December 11, 2021
PDQ Mill	4” Tubular steel (Horizontal legs)	<u>-DFEB – STA 36+00</u>	5900	December 11, 2021
ABC distributing	Various channel & angle shapes (see quote)	<u>-DFEB – STA 36+00</u>	1300	December 11, 2021
	Catwalk assembly	<u>-DFEB – STA 36+00</u>	2000	December 11, 2021
Nucor	Flat plate	<u>-DFEB – STA 36+00</u>	650	December 11, 2021
		Total Pounds of Steel	21,850	

Note: Attach the following supporting documentation to this form.

- Bill of Lading to support the shipping dates
- Supporting information for weight documentation (e.g., Pay item reference, Shop drawings, shipping documents, Standards Sheets, industry standards, or manufacturer's data)

By providing this data under my signature, I attest to the accuracy of and validity of the data on this form and certify that no deliberate misrepresentation in any manner has occurred.

Printed Name  
 \_\_\_\_\_

Signature  
 \_\_\_\_\_

### Price Adjustment Sample Calculation (increase)

---

Project bid on September 17, 2019

Line Item 635 "Structural Steel" has a plan quantity of 2,717,000 lbs.

Bidding Index for Structural Steel (Category 2) in the proposal was \$36.12/CWT = BI

450,000 lbs. of Structural Steel for Structure 2 at Station 44+08.60 were shipped to fabricator from the producing mill in same month, May 2021.

Monthly Index for Structural Steel (Category 2) for May 2021 was \$64.89/CWT = MI

The Steel Price Adjustment formula is as follows:

$$\text{SPA} = ((\text{MI} / \text{BI}) - 1) * \text{BI} * (\text{Q} / 100)$$

Where; SPA = Steel price adjustment in dollars

BI = Bidding Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices as listed in the proposal.

MI = Mill Shipping Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices from the month the steel was shipped from the producing mill, received on the project, or member cast as defined in the Product Relationship Table.

Q = Quantity of steel product, in pounds (lbs.) actually incorporated into the work as documented by the Contractor, or Design Build Team and verified by the Engineer.

$$\text{BI} = \$36.12 / \text{CWT}$$

$$\text{MI} = \$64.89 / \text{CWT}$$

$$\% \text{ change} = ((\text{MI} / \text{BI}) - 1) = (\$64.89 / \$36.12 - 1) = (1.79651 - 1) = 0.79651162791$$

$$\text{Q} = 450,000 \text{ lbs.}$$

$$\text{SPA} = 0.79651162791 \times \$36.12 \times (450,000 / 100)$$

$$\text{SPA} = 0.79651162791 * \$36.12 * 4,500$$

$$\text{SPA} = \$129,465 \text{ pay adjustment to Contractor for Structural Steel (Structure 2 at Station 44+08.60)}$$

**Price Adjustment Sample Calculation (decrease)**

---

Project bid on December 18, 2018

Line Item 635 Structural Steel has a plan quantity of 2,717,000 lbs.

Bidding Index for Structural Steel (Category 2) in the proposal was \$46.72/CWT = BI

600,000 lbs. of Structural Steel for Structure 1 at Station 22+57.68 were shipped to fabricator from the producing mill in same month, August 2020.

Monthly Index for Structural Steel (Category 2) for August 2020 was \$27.03/CWT = MI

The Steel Price Adjustment formula is as follows:

$$\text{SPA} = ((\text{MI} / \text{BI}) - 1) * \text{BI} * (\text{Q} / 100)$$

Where; SPA = Steel price adjustment in dollars

BI = Bidding Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices as listed in the proposal.

MI = Mill Shipping Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices from the month the steel was shipped from the producing mill, received on the project, or member cast as defined in the Product Relationship Table.

Q = Quantity of steel product, in pounds (lbs.) actually incorporated into the work as documented by the Contractor, or Design Build Team and verified by the Engineer.

$$\text{BI} = \$46.72 / \text{CWT}$$

$$\text{MI} = \$27.03 / \text{CWT}$$

$$\% \text{ change} = ((\text{MI} / \text{BI}) - 1) = (\$27.03 / \$46.72 - 1) = (0.57855 - 1) = -0.421446917808$$

$$\text{Q} = 600,000 \text{ lbs.}$$

$$\text{SPA} = -0.421446917808 * \$46.72 * (600,000 / 100)$$

$$\text{SPA} = -0.421446917808 * \$46.72 * 6,000$$

$$\text{SPA} = \$ 118,140.00 \text{ Credit to the Department for Structural Steel (Structure 1 at Station 22+57.68)}$$

**Price Adjustment Sample Calculation (increase)**

---

Project bid on July 16, 2020

Line Item 614 Reinforced Concrete Deck Slab has a plan quantity of 241974 lbs.

Bidding Index Reference Month was May 2020. Bidding Index for Reinforced Concrete Deck Slab (Category 1) in the proposal was \$29.21/CWT = BI

51,621 lbs. of reinforcing steel and 52,311 lbs. of epoxy coated reinforcing steel for Structure 2 at Station 107+45.55 -L- was shipped to fabricator from the producing mill in same month, May 2021.

Monthly Index for Reinforced Concrete Deck Slab (Category 1) for May 2021 was \$43.13/CWT = MI

The Steel Price Adjustment formula is as follows:

$$\text{SPA} = ((\text{MI} / \text{BI}) - 1) * \text{BI} * (\text{Q} / 100)$$

Where; SPA = Steel price adjustment in dollars

BI = Bidding Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices as listed in the proposal.

MI = Mill Shipping Index – in dollars (\$) per hundredweight (CWT). Use the adjustment indices from the month the steel was shipped from the producing mill, received on the project, or member cast as defined in the Product Relationship Table.

Q = Quantity of steel product, in pounds (lbs.) actually incorporated into the work as documented by the Contractor, or Design Build Team and verified by the Engineer.

$$\text{BI} = \$29.21 / \text{CWT}$$

$$\text{MI} = \$43.13 / \text{CWT}$$

$$\% \text{ change} = ((\text{MI} / \text{BI}) - 1) = (\$43.13 / \$29.21 - 1) = (1.47655 - 1) = 0.47654912701$$

$$\text{Q} = 103932 \text{ lbs.}$$

$$\text{SPA} = 0.47654912701 * \$29.21 * (103,932 / 100)$$

$$\text{SPA} = 0.47654912701 * \$29.21 * 1,039.32$$

SPA = \$14,467.33 Pay Adjustment to Contractor for Reinforced Concrete Deck Slab (Category 1) at Station 107+45.55 -L-

**SCHEDULE OF ESTIMATED COMPLETION PROGRESS:**

(7-15-08)(Rev. 6-17-25)

108-2

SP1 G58

The Contractor's attention is directed to the Standard Special Provision entitled *Availability of Funds Termination of Contracts* included elsewhere in this proposal. The Department of Transportation's schedule of estimated completion progress for this project as required by that Standard Special Provision is as follows:

	<b><u>Fiscal Year</u></b>	<b><u>Progress (% of Dollar Value)</u></b>
2027	(7/01/26 - 6/30/27)	<b>38%</b> of Total Amount Bid
2028	(7/01/27 - 6/30/28)	<b>32%</b> of Total Amount Bid
2029	(7/01/28 - 6/30/29)	<b>25%</b> of Total Amount Bid
2030	(7/01/29 - 6/30/30)	<b>5%</b> of Total Amount Bid

The Contractor shall also furnish his own progress schedule in accordance with Article 108-2 of the *Standard Specifications*. Any acceleration of the progress as shown by the Contractor's progress schedule over the progress as shown above shall be subject to the approval of the Engineer.

**DISADVANTAGED BUSINESS ENTERPRISE:**

(10-16-07)(Rev. 10-21-25)

102-15(J)

SP1 G61

**Description**

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts financed in whole or in part with Federal funds. This provision is guided by 49 CFR Part 26.

**Definitions**

*Additional DBE Subcontractors* - Any DBE submitted at the time of bid that will not be used to meet the DBE goal. No submittal of a Letter of Intent is required.

*Committed DBE Subcontractor* - Any DBE submitted at the time of bid that is being used to meet the DBE goal by submission of a Letter of Intent. Or any DBE used as a replacement for a previously committed DBE firm.

*Contract Goal Requirement* - The approved DBE participation at time of award, but not greater than the advertised contract goal.

*DBE Goal* - A portion of the total contract, expressed as a percentage, that is to be performed by committed DBE subcontractor(s).

*Disadvantaged Business Enterprise (DBE)* - A firm certified as a Disadvantaged Business Enterprise through the North Carolina Unified Certification Program.

*Goal Confirmation Letter* - Written documentation from the Department to the bidder confirming the Contractor's approved, committed DBE participation along with a listing of the committed DBE firms.

*Manufacturer* - A firm that owns (or leases) and operates or maintains a factory or establishment that produces on the premises, the materials or supplies obtained by the Contractor. A firm that makes minor modifications to the materials, supplies, articles, or equipment is not a manufacturer.

*Regular Dealer* - A firm that owns (or leases), and operates a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in sufficient quantities, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, concrete or concrete products, gravel, stone, asphalt and petroleum products need not keep such products in stock, if it owns and operates distribution equipment for the products. Any supplement of regular dealers' own distribution equipment shall be by a long-term operating lease and not on an ad hoc or contract-by-contract basis.

*Distributor* - A firm that engages in the regular sale or lease of the items specified by the contract. A distributor assumes responsibility for the items it purchases once they leave the point of origin (e.g., a manufacturer's facility), making it liable for any loss or damage not covered by the carrier's insurance.

*Replacement / Substitution* - A full or partial reduction in the amount of work subcontracted to a committed (or an approved substitute) DBE firm.

*North Carolina Unified Certification Program (NCUCP)* - A program that provides comprehensive services and information to applicants for DBE certification, such that an applicant is required to apply only once for a DBE certification that will be honored by all recipients of USDOT funds in the state and not limited to the Department of Transportation only. The Certification Program is in accordance with 49 CFR Part 26.

*United States Department of Transportation (USDOT)* - Federal agency responsible for issuing regulations (49 CFR Part 26) and official guidance for the DBE program.

### **Forms and Websites Referenced in this Provision**

*DBE Payment Tracking System* - On-line system in which the Contractor enters the payments made to DBE subcontractors who have performed work on the project.  
<https://apps.dot.state.nc.us/Vendor/PaymentTracking/>

*DBE-IS Subcontractor Payment Information* - Form for reporting the payments made to all DBE firms working on the project. This form is for paper bid projects only.  
<https://connect.ncdot.gov/business/Turnpike/Documents/Form%20DBE-IS%20Subcontractor%20Payment%20Information.pdf>

*RF-1 DBE Replacement Request Form* - Form for replacing a committed DBE.  
<https://connect.ncdot.gov/projects/construction/Construction%20Forms/DBE%20MBE%20WBE%20Replacement%20Form%20and%20Instructions.pdf>

*SAF Subcontract Approval Form* - Form required for approval to sublet the contract.

<https://connect.ncdot.gov/projects/construction/Construction%20Forms/SAF%20Form%20-%20Subcontract%20Approval%20Form%20Revised%2004-19.xlsm>

*JC-1 Joint Check Notification Form* - Form and procedures for joint check notification. The form acts as a written joint check agreement among the parties providing full and prompt disclosure of the expected use of joint checks.

<http://connect.ncdot.gov/projects/construction/Construction%20Forms/Joint%20Check%20Notification%20Form.pdf>

*Letter of Intent* - Form signed by the Contractor and the DBE subcontractor, manufacturer or regular dealer that affirms that a portion of said contract is going to be performed by the signed DBE for the estimated amount (based on quantities and unit prices) listed at the time of bid.

<http://connect.ncdot.gov/letting/LetCentral/Letter%20of%20Intent%20to%20Perform%20as%20a%20Subcontractor.pdf>

*Listing of DBE Subcontractors Form* - Form for entering DBE subcontractors on a project that will meet this DBE goal. This form is for paper bids only.

[http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20\(Federal\).docx](http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20(Federal).docx)

*Subcontractor Quote Comparison Sheet* - Spreadsheet for showing all subcontractor quotes in the work areas where DBEs quoted on the project. This sheet is submitted with good faith effort packages.

<http://connect.ncdot.gov/business/SmallBusiness/Documents/DBE%20Subcontractor%20Quote%20Comparison%20Example.xls>

*DBE Regular Dealer/Distributor Affirmation Form* – Form is used to make a preliminary counting determination for each DBE listed as a regular dealer or distributor to assess its eligibility for 60 or 40 percent credit, respectively of the cost of materials or supplies based on its demonstrated capacity and intent to perform as a regular dealer or distributor, as defined in section 49 CFR 26.55 under the contract at issue. A Contractor will submit the completed form with the Letter of Intent.

<https://connect.ncdot.gov/projects/construction/Construction%20Forms/DBE%20Regular%20Dealer-Distributor%20Affirmation%20Form%20-%20USDOT%202024.pdf>

## **DBE Goal**

**There is NO goal for participation by Disadvantaged Business Enterprises for this contract.**

## **Directory of Transportation Firms (Directory)**

Real-time information is available about firms doing business with the Department and firms that are certified through NCUCP in the Directory of Transportation Firms. Only firms identified in the Directory as DBE certified shall be used to meet the DBE goal. The Directory can be found at the following link. [https:// www.ebs.nc.gov/VendorDirectory/default.html](https://www.ebs.nc.gov/VendorDirectory/default.html)

The listing of an individual firm in the directory shall not be construed as an endorsement of the firm's capability to perform certain work.

### **Listing of DBE Subcontractors**

At the time of bid, bidders shall submit all DBE participation that they anticipate to use during the life of the contract. Only those identified to meet the DBE goal will be considered committed, even though the listing shall include both committed DBE subcontractors and additional DBE subcontractors. Additional DBE subcontractor participation submitted at the time of bid will be used toward the Department's overall race-neutral goal. Only those firms with current DBE certification at the time of bid opening will be acceptable for listing in the bidder's submittal of DBE participation. The Contractor shall indicate the following required information:

#### (A) Electronic Bids

Bidders shall submit a listing of DBE participation in the appropriate section of the electronic submittal file.

- (1) Submit the names and addresses of DBE firms identified to participate in the contract. If the bidder uses the updated listing of DBE firms shown in the electronic submittal file, the bidder may use the dropdown menu to access the name and address of the DBE firm.
- (2) Submit the contract line numbers of work to be performed by each DBE firm. When no figures or firms are entered, the bidder will be considered to have no DBE participation.
- (3) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the DBE goal.

#### (B) Paper Bids

- (1) *If the DBE goal is more than zero,*
  - (a) Bidders, at the time the bid proposal is submitted, shall submit a listing of DBE participation, including the names and addresses on *Listing of DBE Subcontractors* contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the DBE participation for the contract.
  - (b) If bidders have no DBE participation, they shall indicate this on the *Listing of DBE Subcontractors* by entering the word "None" or the number "0." This form shall be completed in its entirety. **Blank forms will not be deemed to represent zero participation.** Bids submitted that do not have DBE participation indicated on the appropriate form will not

be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be rejected.

- (c) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the corresponding goal.
- (2) *If the DBE goal is zero, entries on the Listing of DBE Subcontractors are not required for the zero goal, however any DBE participation that is achieved during the project shall be reported in accordance with requirements contained elsewhere in the special provision.*

### **DBE Prime Contractor**

When a certified DBE firm bids on a contract that contains a DBE goal, the DBE firm is responsible for meeting the goal or making good faith efforts to meet the goal, just like any other bidder. In most cases, a DBE bidder on a contract will meet the DBE goal by virtue of the work it performs on the contract with its own forces. However, all the work that is performed by the DBE bidder and any other DBE subcontractors will count toward the DBE goal. The DBE bidder shall list itself along with any DBE subcontractors, if any, in order to receive credit toward the DBE goal.

For example, if the DBE goal is 45% and the DBE bidder will only perform 40% of the contract work, the prime will list itself at 40%, and the additional 5% shall be obtained through additional DBE participation with DBE subcontractors or documented through a good faith effort.

DBE prime contractors shall also follow Sections A and B listed under *Listing of DBE Subcontractor* just as a non-DBE bidder would.

### **Written Documentation – Letter of Intent**

The bidder shall submit written documentation for each DBE that will be used to meet the DBE goal of the contract, indicating the bidder's commitment to use the DBE in the contract. This documentation shall be submitted on the Department's form titled *Letter of Intent*.

The documentation shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 10:00 a.m. of the sixth calendar day following opening of bids, unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 10:00 a.m. on the next official state business day.

If the bidder fails to submit the Letter of Intent from each committed DBE to be used toward the DBE goal, or if the form is incomplete (i.e. both signatures are not present), the DBE participation will not count toward meeting the DBE goal. If the lack of this participation drops the commitment below the DBE goal, the Contractor shall submit evidence of good faith efforts, completed in its entirety, to the State Contractor Utilization Engineer or DBE@ncdot.gov no later than 10:00 a.m. on the eighth calendar day following opening of bids, unless the eighth

day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 10:00 a.m. on the next official state business day.

### **Submission of Good Faith Effort**

If the bidder fails to meet or exceed the DBE goal, the apparent lowest responsive bidder shall submit to the Department documentation of adequate good faith efforts made to reach the DBE goal.

A hard copy and an electronic copy of this information shall be received in the office of the State Contractor Utilization Engineer or at [DBE@ncdot.gov](mailto:DBE@ncdot.gov) no later than 10:00 a.m. on the sixth calendar day following opening of bids unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 10:00 a.m. on the next official state business day. If the contractor cannot send the information electronically, then one complete set and 5 copies of this information shall be received under the same time constraints above.

Note: Where the information submitted includes repetitious solicitation letters, it will be acceptable to submit a representative letter along with a distribution list of the firms that were solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

### **Consideration of Good Faith Effort for Projects with DBE Goals More Than Zero**

Adequate good faith efforts mean that the bidder took all necessary and reasonable steps to achieve the goal which, by their scope, intensity, and appropriateness, could reasonably be expected to obtain sufficient DBE participation. Adequate good faith efforts also mean that the bidder actively and aggressively sought DBE participation. Mere *pro forma* efforts are not considered good faith efforts.

The Department will consider the quality, quantity, and intensity of the different kinds of efforts a bidder has made. Listed below are examples of the types of actions a bidder will take in making a good faith effort to meet the goal and are not intended to be exclusive or exhaustive, nor is it intended to be a mandatory checklist.

- (A) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising, written notices, use of verifiable electronic means through the use of the NCDOT Directory of Transportation Firms) the interest of all certified DBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within at least 10 days prior to bid opening to allow the DBEs to respond to the solicitation. Solicitation shall provide the opportunity to DBEs within the Division and surrounding Divisions where the project is located. The bidder must determine with certainty if the DBEs are interested by taking appropriate steps to follow up initial solicitations.

- (B) Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goals will be achieved.
- (1) Where appropriate, break out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
  - (2) Negotiate with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be sublet includes potential for DBE participation (2<sup>nd</sup> and 3<sup>rd</sup> tier subcontractors).
- (C) Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
- (D) (1) Negotiating in good faith with interested DBEs. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.
- (2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBEs is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Bidding contractors are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
- (E) Not rejecting DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership in specific groups, organizations, or associates and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.
- (F) Making efforts to assist interested DBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or bidder.
- (G) Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

- (H) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; Federal, State, and local minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs. Contact within 7 days from the bid opening the Business Opportunity and Work Force Development Unit at BOWD@ncdot.gov to give notification of the bidder's inability to get DBE quotes.
- (I) Any other evidence that the bidder submits which shows that the bidder has made reasonable good faith efforts to meet the DBE goal.

In addition, the Department may take into account the following:

- (1) Whether the bidder's documentation reflects a clear and realistic plan for achieving the DBE goal.
- (2) The bidders' past performance in meeting the DBE goals.
- (3) The performance of other bidders in meeting the DBE goal. For example, when the apparent successful bidder fails to meet the DBE goal, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts the apparent successful bidder could have met the goal. If the apparent successful bidder fails to meet the DBE goal, but meets or exceeds the average DBE participation obtained by other bidders, the Department may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made a good faith effort.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy to the Department that the DBE goal can be met or that an adequate good faith effort has been made to meet the DBE goal.

### **Non-Good Faith Appeal**

The State Prequalification Engineer will notify the Contractor verbally and in writing of non-good faith. A Contractor may appeal a determination of non-good faith made by the Goal Compliance Committee. If a Contractor wishes to appeal the determination made by the Committee, they shall provide written notification to the State Prequalification Engineer. The appeal shall be made within 2 business days of notification of the determination of non-good faith.

### **Counting DBE Participation Toward Meeting DBE Goal**

#### **(A) Participation**

The total dollar value of the participation by a committed DBE will be counted toward the contract goal requirement. The total dollar value of participation by a committed DBE will be based upon the value of work performed by the DBE and the actual payments to DBE firms by the Contractor.

## (B) Joint Checks

Prior notification of joint check use shall be required when counting DBE participation for services or purchases that involves the use of a joint check. Notification shall be through submission of Form JC-1 (*Joint Check Notification Form*) and the use of joint checks shall be in accordance with the Department's Joint Check Procedures.

## (C) Subcontracts (Non-Trucking)

A DBE may enter into subcontracts. Work that a DBE subcontracts to another DBE firm may be counted toward the contract goal requirement. Work that a DBE subcontracts to a non-DBE firm does not count toward the contract goal requirement. If a DBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, it shall be presumed that the DBE is not performing a commercially useful function. The DBE may present evidence to rebut this presumption to the Department. The Department's decision on the rebuttal of this presumption is subject to review by the Federal Highway Administration but is not administratively appealable to USDOT.

## (D) Joint Venture

When a DBE performs as a participant in a joint venture, the Contractor may count toward its contract goal requirement a portion of the total value of participation with the DBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the DBE performs with its forces.

## (E) Manufacturer, Regular Dealer, Distributor

A Contractor may count toward its DBE requirement 40 percent of its expenditures for materials or supplies (including transportation costs) from a DBE distributor, 60 percent of its expenditures for materials or supplies (including transportation costs) from a DBE regular dealer and 100 percent of such expenditures obtained from a DBE manufacturer.

A Contractor may count toward its DBE requirement the following expenditures to DBE firms that are not manufacturers, regular dealers or distributors:

- (1) The fees or commissions charged by a DBE firm for providing a *bona fide* service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.
- (2) With respect to materials or supplies purchased from a DBE, which is neither a manufacturer, regular dealer, nor a distributor count the entire amount of fees or commissions charged that the Department deems to be reasonable, including

transportation charges for the delivery of materials or supplies. Do not count any portion of the cost of the materials and supplies themselves.

A Contractor will submit a completed *DBE Regular Dealer/Distributor Affirmation Form* with the Letter of Intent to the State Contractor Utilization Engineer or DBE@ncdot.gov. The State Contractor Utilization Engineer will make a preliminary assessment as to whether a DBE supplier has the demonstrated capacity to perform a commercially useful function (CUF) on a contract-by-contract basis *prior* to its participation.

### **Commercially Useful Function**

#### **(A) DBE Utilization**

The Contractor may count toward its contract goal requirement only expenditures to DBEs that perform a commercially useful function in the work of a contract. A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is performing and the DBE credit claimed for its performance of the work, and any other relevant factors.

#### **(B) DBE Utilization in Trucking**

The following factors will be used to determine if a DBE trucking firm is performing a commercially useful function:

- (1) The DBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting DBE goals.
- (2) The DBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- (3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
- (4) The DBE may subcontract the work to another DBE firm, including an owner-operator who is certified as a DBE. The DBE who subcontracts work to another DBE receives credit for the total value of the transportation services the subcontracted DBE provides on the contract.

- (5) The DBE may also subcontract the work to a non-DBE firm, including from an owner-operator. The DBE who subcontracts the work to a non-DBE is entitled to credit for the total value of transportation services provided by the non-DBE subcontractor not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the DBE and the Contractor will not count towards the DBE contract requirement.
- (6) A DBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the DBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. This type of lease may count toward the DBE's credit as long as the driver is under the DBE's payroll.
- (7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the DBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

### **DBE Replacement**

When a Contractor has relied on a commitment to a DBE subcontractor (or an approved substitute DBE subcontractor) to meet all or part of a contract goal requirement, the contractor shall not terminate the DBE subcontractor or any portion of its work for convenience. This includes, but is not limited to, instances in which the Contractor seeks to perform the work of the terminated subcontractor with another DBE subcontractor, a non-DBE subcontractor, or with the Contractor's own forces or those of an affiliate.

The Contractor must give notice in writing both by certified mail and email to the DBE subcontractor, with a copy to the Engineer of its intent to request to terminate a DBE subcontractor or any portion of its work, and the reason for the request. The Contractor must give the DBE subcontractor five (5) business days to respond to the Contractor's Notice of Intent to Request Termination and/or Substitution. If the DBE subcontractor objects to the intended termination/substitution, the DBE, within five (5) business days must advise the Contractor and the Department of the reasons why the action should not be approved. The five-day notice period shall begin on the next business day after written notice is provided to the DBE subcontractor.

A committed DBE subcontractor may only be terminated or any portion of its work after receiving the Department's written approval based upon a finding of good cause for the proposed termination and/or substitution. Good cause does not exist if the Contractor seeks to terminate a DBE or any portion of its work that it relied upon to obtain the contract so that the Contractor can self-perform the work for which the DBE was engaged, or so that the Contractor can substitute another DBE or non-DBE contractor after contract award. For purposes of this section, good cause shall include the following circumstances:

- (a) The listed DBE subcontractor fails or refuses to execute a written contract;
- (b) The listed DBE subcontractor fails or refuses to perform the work of its subcontract in a way consistent with normal industry standards. Provided, however, that good cause does not exist if the failure or refusal of the DBE subcontractor to perform its work on the subcontract results from the bad faith or discriminatory action of the prime contractor;
- (c) The listed DBE subcontractor fails or refuses to meet the prime contractor's reasonable, nondiscriminatory bond requirements;
- (d) The listed DBE subcontractor becomes bankrupt, insolvent, or exhibits credit unworthiness;
- (e) The listed DBE subcontractor is ineligible to work on public works projects because of suspension and debarment proceedings pursuant to 2 CFR parts 180, 215 and 1200 or applicable State law;
- (f) The listed DBE subcontractor is not a responsible contractor;
- (g) The listed DBE voluntarily withdraws from the project and provides written notice of withdrawal;
- (h) The listed DBE is ineligible to receive DBE credit for the type of work required;
- (i) A DBE owner dies or becomes disabled with the result that the listed DBE contractor is unable to complete its work on the contract; and
- (j) Other documented good cause that compels the termination of the DBE subcontractor.

The Contractor shall comply with the following for replacement of a committed DBE:

(A) Performance Related Replacement

When a committed DBE is terminated for good cause as stated above, an additional DBE that was submitted at the time of bid may be used to fulfill the DBE commitment. A good faith effort will only be required for removing a committed DBE if there were no additional DBEs submitted at the time of bid to cover the same amount of work as the DBE that was terminated.

If a replacement DBE is not found that can perform at least the same amount of work as the terminated DBE, the Contractor shall submit a good faith effort documenting the steps taken. Such documentation shall include, but not be limited to, the following:

- (1) Copies of written notification to DBEs that their interest is solicited in contracting the work defaulted by the previous DBE or in subcontracting other items of work in the contract.
- (2) Efforts to negotiate with DBEs for specific subbids including, at a minimum:
  - (a) The names, addresses, and telephone numbers of DBEs who were contacted.
  - (b) A description of the information provided to DBEs regarding the plans and specifications for portions of the work to be performed.
- (3) A list of reasons why DBE quotes were not accepted.

- (4) Efforts made to assist the DBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.
- (B) Decertification Replacement
- (1) When a committed DBE is decertified by the Department after the SAF (*Subcontract Approval Form*) has been received by the Department, the Department will not require the Contractor to solicit replacement DBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract goal requirement but not the overall goal.
    - (i) If the DBE's ineligibility is caused solely by its having exceeded the size standard during the performance of the contract. The Department may continue to count participation equal to the remaining work performed by the decertified firm which will count toward the contract goal requirement and overall goal.
    - (ii) If the DBE's ineligibility is caused solely by its acquisition by or merger with a non-DBE during the performance of the contract. The Department may not continue to count the portion of the decertified firm's performance on the contract remaining toward either the contract goal or the overall goal, even if the Contractor has executed a subcontract with the firm or the Department has executed a prime contract with the DBE that was later decertified.
  - (2) When a committed DBE is decertified prior to the Department receiving the SAF (*Subcontract Approval Form*) for the named DBE firm, the Contractor shall take all necessary and reasonable steps to replace the DBE subcontractor with another DBE subcontractor to perform at least the same amount of work to meet the DBE goal requirement. If a DBE firm is not found to do the same amount of work, a good faith effort must be submitted to NCDOT (see A herein for required documentation).

All requests for replacement of a committed DBE firm shall be submitted to the Engineer for approval on Form RF-1 (*DBE Replacement Request*). If the Contractor fails to follow this procedure, the Contractor may be disqualified from further bidding for a period of up to 6 months.

### **Changes in the Work**

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed DBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a DBE based upon the Contractor's commitment, the DBE shall participate in additional work to the same extent as the DBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by DBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction, and a portion or all of the work had been expected to be performed by a committed DBE, the Contractor shall seek participation by DBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a DBE, the Contractor shall seek additional participation by DBEs equal to the reduced DBE participation caused by the changes.

### **Reports and Documentation**

A SAF (*Subcontract Approval Form*) shall be submitted for all work which is to be performed by a DBE subcontractor. The Department reserves the right to require copies of actual subcontract agreements involving DBE subcontractors.

When using transportation services to meet the contract commitment, the Contractor shall submit a proposed trucking plan in addition to the SAF. The plan shall be submitted prior to beginning construction on the project. The plan shall include the names of all trucking firms proposed for use, their certification type(s), the number of trucks owned by the firm, as well as the individual truck identification numbers, and the line item(s) being performed.

Within 30 calendar days of entering into an agreement with a DBE for materials, supplies or services, not otherwise documented by the SAF as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation shall also indicate the percentage (60% or 100%) of expenditures claimed for DBE credit.

### **Reporting Disadvantaged Business Enterprise Participation**

The Contractor shall provide the Engineer with an accounting of payments made to all DBE firms, including material suppliers and contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:

- (A) Withholding of money due in the next partial pay estimate; or
- (B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to DBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from being approved for work on future DOT projects until the required information is submitted.

Contractors reporting transportation services provided by non-DBE lessees shall evaluate the value of services provided during the month of the reporting period only.

At any time, the Engineer can request written verification of subcontractor payments.

The Contractor shall report the accounting of payments through the Department's DBE Payment Tracking System.

### **Failure to Meet Contract Requirements**

Failure to meet contract requirements in accordance with Subarticle 102-15(J) of the *Standard Specifications* may be cause to disqualify the Contractor.

### **CERTIFICATION FOR FEDERAL-AID CONTRACTS:**

(3-21-90)

SP1 G85

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (A) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by *Section 1352, Title 31, U.S. Code*. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

**RESTRICTIONS ON ITS EQUIPMENT AND SERVICES:**

(11-17-20)

SP01 G090

All telecommunications, video or other ITS equipment or services installed or utilized on this project must be in conformance with UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS **2 CFR, § 200.216 Prohibition on certain telecommunications and video surveillance services or equipment.**

**USE OF UNMANNED AIRCRAFT SYSTEM (UAS):**

(8-20-19)(Rev. 3-17-26)

SP1 G092

The Contractor shall adhere to all Federal, State and Local regulations and guidelines for the use of Unmanned Aircraft Systems (UAS). This includes but is not limited to US 14 CFR Part 107, NC GS 15A-300, American Security Drone Act of 2023 (ASDA), Office of Management and Budget (OMB) Memorandum M-26-02, all FAA rules, regulations and policies and all NCDOT UAS Policies. The required operator certifications include possessing a current Federal Aviation Administration (FAA) Remote Pilot Certificate, as well as operating a UAS registered with the FAA.

All UAS operations shall be approved by the Engineer prior to beginning the operations.

All contractors or subcontractors operating UAS shall have UAS specific general liability insurance to cover all operations under this contract.

The use of UAS is at the Contractor's discretion. No measurement or payment will be made for the use of UAS. In the event that the Department directs the Contractor to utilize UAS, payment will be in accordance with Article 104-7 Extra Work.

**EQUIPMENT IDLING GUIDELINES:**

(1-19-21)

107

SP1 G096

Exercise reduced fuel consumption and reduced equipment emissions during the construction of all work associated with this contract. Employees engaged in the construction of this project should turn off vehicles when stopped for more than thirty (30) minutes and off-highway equipment should idle no longer than fifteen (15) consecutive minutes.

These guidelines for turning off vehicles and equipment when idling do not apply to:

1. Idling when queuing.
2. Idling to verify the vehicle is in safe operating condition.
3. Idling for testing, servicing, repairing or diagnostic purposes.
4. Idling necessary to accomplish work for which the vehicle was designed (such as operating a crane, mixing concrete, etc.).

5. Idling required to bring the machine system to operating temperature.
6. Emergency vehicles, utility company, construction, and maintenance vehicles where the engines must run to perform needed work.
7. Idling to ensure safe operation of the vehicle.
8. Idling when the propulsion engine is providing auxiliary power for other than heating or air conditioning. (such as hydraulic systems for pavers)
9. When specific traffic, safety, or emergency situations arise.
10. If the ambient temperature is less than 32 degrees Fahrenheit. Limited idling to provide for the safety of vehicle occupants (e.g. to run the heater).
11. If the ambient temperature is greater than 90 degrees Fahrenheit. Limited idling to provide for the safety of vehicle occupants of off-highway equipment (e.g. to run the air conditioning) no more than 30 minutes.
12. Diesel powered vehicles may idle for up to 30 minutes to minimize restart problems.

Any vehicle, truck, or equipment in which the primary source of fuel is natural gas or electricity is exempt from the idling limitations set forth in this special provision.

**U.S. DEPARTMENT OF TRANSPORTATION HOTLINE:**

(11-22-94)

108-5

SP1 G100

To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free hotline Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the hotline to report such activities.

The hotline is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

**SUBSURFACE INFORMATION:**

(7-1-95)

450

SP1 G112 C

Subsurface information is available on the roadway and structure portions of this project.

**MAINTENANCE OF THE PROJECT:**

(11-20-07)(Rev. 1-16-24)

104-10

SP1 G125

Revise the *Standard Specifications* as follows:

**Page 1-35, Article 104-10 Maintenance of the Project, line 3**, add the following after the first sentence of the first paragraph:

All guardrail/guiderail within the project limits shall be included in this maintenance.

**Page 1-35, Article 104-10 MAINTENANCE OF THE PROJECT, line 8**, add the following as the last sentence of the first paragraph:

The Contractor shall perform weekly inspections of guardrail and guiderail and shall report damages to the Engineer on the same day of the weekly inspection. *Where damaged guardrail or guiderail is repaired or replaced as a result of maintaining the project in accordance with this article, such repair or replacement shall be performed within 7 consecutive calendar days of such inspection report.*

**Page 1-35, Article 104-10 MAINTENANCE OF THE PROJECT, lines 20-22,** replace the last sentence of the last paragraph with the following:

The Contractor will not be directly compensated for any maintenance operations necessary, except for maintenance of guardrail/guiderail, as this work will be considered incidental to the work covered by the various contract items. The provisions of Article 104-7, Extra Work, and Article 104-8, Compensation and Record Keeping will apply to authorized maintenance of guardrail/guiderail. Performance of weekly inspections of guardrail/guiderail, and the damage reports required as described above, will be considered to be an incidental part of the work being paid for by the various contract items.

**COOPERATION BETWEEN CONTRACTORS:**

(7-1-95)(Rev. 1-16-24)

105-7

SP1 G133

The Contractor's attention is directed to Article 105-7 of the *Standard Specifications*.

A-0009CC (C204812) is located adjacent to this project. A-0009CC is currently under construction and not anticipated to be completed prior to the letting of this project.

A-0009CE (DN01055) is located within the project limits of this project. A-0009CE is currently under construction and not anticipated to be completed prior to the letting of this project.

The Contractor on this project shall cooperate with the Contractor working within or adjacent to the limits of this project to the extent that the work can be carried out to the best advantage of all concerned.

**BID DOCUMENTATION:**

(1-1-02) (Rev.8-20-24)

103

SP1 G142

**General**

The successful Bidder (Contractor) shall submit the original, unaltered bid documentation or a certified copy of the original, unaltered bid documentation used to prepare the bid for this contract to the Department within 10 days after receipt of notice of award of contract. Such documentation shall be placed in escrow with a banking institution or other bonded document storage facility selected by the Department.

The Department will not execute the contract until the original, unaltered bid documentation or a certified copy of the original, unaltered bid documentation has been received by the Department.

**Terms**

*Bid Documentation* - Bid Documentation shall mean all written information, working papers, computer printouts, electronic media, charts, and all other data compilations which contain or reflect information, data, and calculations used by the Bidder in the preparation of the bid. The term *bid documentation* includes, but is not limited to, contractor equipment rates, contractor overhead rates, labor rates, efficiency or productivity factors, arithmetical calculations, and quotations from subcontractors and material suppliers to the extent that such rates and quotations were used by the Bidder in formulating and determining the bid. The term *bid documentation* also includes any manuals, which are standard to the industry used by the Bidder in determining the bid. Such manuals may be included in the bid documentation by reference. Such reference shall include the name and date of the publication and the publisher. *Bid Documentation* does not include bid documents provided by the Department for use by the Bidder in bidding on this project. The Bid Documentation can be in the form of electronic submittal (i.e. thumb drive) or paper. If the Bidder elects to submit the Bid Documentation in electronic format, the Department requires a backup submittal (i.e. a second thumb drive) in case one is corrupted.

*Contractor's Representative* - Officer of the Contractor's company; if not an officer, the Contractor shall supply a letter signed and notarized by an officer of the Contractor's company, granting permission for the representative to sign the escrow agreement on behalf of the Contractor.

*Escrow Agent* - Officer of the select banking institution or other bonded document storage facility authorized to receive and release bid documentation.

**Escrow Agreement Information**

A draft copy of the Escrow Agreement will be mailed to the Bidder after the notice of award for informational purposes. The Bidder and Department will sign the actual Escrow Agreement at the time the bid documentation is delivered to the Escrow Agent.

**Failure to Provide Bid Documentation**

The Bidder's failure to provide the original, unaltered bid documentation or a certified copy of the original, unaltered bid documentation within 14 days after the notice of award is received may be just cause for rescinding the award of the contract and may result in the removal of the Bidder from the Department's list of qualified bidders for a period of up to 180 days. Award may then be made to the next lowest responsible bidder or the work may be readvertised and constructed under the contract or otherwise, as the Department may decide.

**Submittal of Bid Documentation**

- (A) Appointment – Email [specs@ncdot.gov](mailto:specs@ncdot.gov) or call 919.707.6900 to schedule an appointment.
- (B) Delivery - A representative of the Bidder shall deliver the original, unaltered bid documentation or a certified copy of the original, unaltered bid documentation to the Department, in a container suitable for sealing, within 10 days after the notice of award is received.

- (C) Packaging – The container shall be no larger than 15.5 inches in length by 12 inches wide by 11 inches high and shall be water resistant. The container shall be clearly marked on the face and the back of the container with the following information: Bid Documentation, Bidder's Name, Bidder's Address, Date of Escrow Submittal, Contract Number, TIP Number if applicable, and County.

### **Affidavit**

Bid documentation will be considered a certified copy if the Bidder includes an affidavit stating that the enclosed documentation is an EXACT copy of the original documentation used by the Bidder to determine the bid for this project. The affidavit shall also list each bid document with sufficient specificity so a comparison may be made between the list and the bid documentation to ensure that all of the bid documentation listed in the affidavit has been enclosed for escrow. The affidavit shall attest that the affiant has personally examined the bid documentation, that the affidavit lists all of the documents used by the Bidder to determine the bid for this project, and that all bid documentation has been included. The affidavit shall be signed by a chief officer of the company, have the person's name and title typed below the signature, and the signature shall be notarized at the bottom of the affidavit.

### **Verification**

Upon delivery of the bid documentation, the State Contract Officer acting directly or through a duly authorized representative and the Bidder's representative will verify the accuracy and completeness of the bid documentation compared to the affidavit. Should a discrepancy exist, the Bidder's representative shall immediately furnish the State Contract Officer acting directly or through a duly authorized representative with any other needed bid documentation. The State Contract Officer acting directly or through a duly authorized representative upon determining that the bid documentation is complete will, in the presence of the Bidder's representative, immediately place the complete bid documentation and affidavit in the container and seal it. Both parties will deliver the sealed container to the Escrow Agent for placement in a safety deposit box, vault, or other secure accommodation.

### **Confidentiality of Bid Documentation**

The bid documentation and affidavit in escrow are, and will remain, the property of the Bidder. The Department has no interest in, or right to, the bid documentation and affidavit other than to verify the contents and legibility of the bid documentation unless the Contractor gives written notice of intent to file a claim, files a written claim, files a written and verified claim, or initiates litigation against the Department. In the event of such written notice of intent to file a claim, filing of a written claim, filing a written and verified claim, or initiation of litigation against the Department, or receipt of a letter from the Contractor authorizing release, the bid documentation and affidavit may become the property of the Department for use in considering any claim or in litigation as the Department may deem appropriate.

Any portion or portions of the bid documentation designated by the Bidder as a *trade secret* at the time the bid documentation is delivered to the State Contract Officer acting directly or

through a duly authorized representative shall be protected from disclosure as provided by *G.S. 132-1.2*.

### **Duration and Use**

The bid documentation and affidavit shall remain in escrow until 60 calendar days from the time the Contractor receives the final estimate; or until such time as the Contractor:

- (A) Gives written notice of intent to file a claim,
- (B) Files a written claim,
- (C) Files a written and verified claim,
- (D) Initiates litigation against the Department related to the contract; or
- (E) Authorizes in writing its release.

Upon the giving of written notice of intent to file a claim, filing a written claim, filing a written and verified claim, or the initiation of litigation by the Contractor against the Department, or receipt of a letter from the Contractor authorizing release, the Department may obtain the release and custody of the bid documentation.

The Bidder certifies and agrees that the sealed container placed in escrow contains all of the bid documentation used to determine the bid and that no other bid documentation shall be relevant or material in litigation over claims brought by the Contractor arising out of this contract.

### **Release of Bid Documentation to the Contractor**

If the bid documentation remains in escrow 60 calendar days after the time the Contractor receives the final estimate and the Contractor has not filed a written claim, filed a written and verified claim, or has not initiated litigation against the Department related to the contract, the Department will instruct the Escrow Agent to release the sealed container to the Contractor.

The Contractor will be notified by certified letter from the Escrow Agent that the bid documentation will be released to the Contractor. The Contractor or his representative shall retrieve the bid documentation from the Escrow Agent within 30 days of the receipt of the certified letter. If the Contractor does not receive the documents within 30 days of the receipt of the certified letter, the Department will contact the Contractor to determine final disposition of the bid documentation.

### **Payment**

The cost of the escrow will be borne by the Department. There will be no separate payment for all costs of compilation of the data, container, or verification of the bid documentation. Payment at the various contract unit or lump sum prices in the contract will be full compensation for all such costs.

**TWELVE MONTH GUARANTEE:**

(7-15-03)

108

SPI G145

- (A) The Contractor shall guarantee materials and workmanship against latent and patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve months following the date of final acceptance of the work for maintenance and shall replace such defective materials and workmanship without cost to the Department. The Contractor will not be responsible for damage due to faulty design, normal wear and tear, for negligence on the part of the Department, and/or for use in excess of the design.
- (B) Where items of equipment or material carry a manufacturer's guarantee for any period in excess of twelve months, then the manufacturer's guarantee shall apply for that particular piece of equipment or material. The Department's first remedy shall be through the manufacturer although the Contractor is responsible for invoking the warranted repair work with the manufacturer. The Contractor's responsibility shall be limited to the term of the manufacturer's guarantee. NCDOT would be afforded the same warranty as provided by the Manufacturer.

This guarantee provision shall be invoked only for major components of work in which the Contractor would be wholly responsible for under the terms of the contract. Examples would include pavement structures, bridge components, and sign structures. This provision will not be used as a mechanism to force the Contractor to return to the project to make repairs or perform additional work that the Department would normally compensate the Contractor for. In addition, routine maintenance activities (i.e. mowing grass, debris removal, ruts in earth shoulders,) are not parts of this guarantee.

Appropriate provisions of the payment and/or performance bonds shall cover this guarantee for the project.

To ensure uniform application statewide the Division Engineer will forward details regarding the circumstances surrounding any proposed guarantee repairs to the Chief Engineer for review and approval prior to the work being performed.

**EROSION AND SEDIMENT CONTROL/STORMWATER CERTIFICATION:**

(1-16-07) (Rev. 10-15-24)

105-16, 225-2, 16

SPI G180

**General**

Schedule and conduct construction activities in a manner that will minimize soil erosion and the resulting sedimentation and turbidity of surface waters. Comply with the requirements herein regardless of whether or not a National Pollution discharge Elimination System (NPDES) permit for the work is required.

Establish a chain of responsibility for operations and subcontractors' operations to ensure that the *Erosion and Sediment Control/Stormwater Pollution Prevention Plan* is implemented and maintained over the life of the contract.

- (A) *Certified Supervisor* - Provide a certified Erosion and Sediment Control/Stormwater Supervisor to manage the Contractor and subcontractor operations, insure compliance

with Federal, State and Local ordinances and regulations, and manage the Quality Control Program.

- (B) *Certified Foreman* - Provide a certified, trained foreman for each construction operation that increases the potential for soil erosion or the possible sedimentation and turbidity of surface waters.
- (C) *Certified Installer* - Provide a certified installer to install or direct the installation for erosion or sediment/stormwater control practices.
- (D) *Certified Designer* - Provide a certified designer for the design of the erosion and sediment control/stormwater component of reclamation plans and, if applicable, for the design of the project erosion and sediment control/stormwater plan.

### **Roles and Responsibilities**

- (A) *Certified Erosion and Sediment Control/Stormwater Supervisor* - The Certified Supervisor shall be Level II and responsible for ensuring the erosion and sediment control/stormwater plan is adequately implemented and maintained on the project and for conducting the quality control program. The Certified Supervisor shall be on the project within 24 hours notice from initial exposure of an erodible surface to the project's final acceptance. Perform the following duties:
  - (1) **Manage Operations** - Coordinate and schedule the work of subcontractors so that erosion and sediment control/stormwater measures are fully executed for each operation and in a timely manner over the duration of the contract.
    - (a) Oversee the work of subcontractors so that appropriate erosion and sediment control/stormwater preventive measures are conformed to at each stage of the work.
    - (b) Prepare the required National Pollutant Discharge Elimination System (NPDES) Inspection Record and submit to the Engineer.
    - (c) Attend all weekly or monthly construction meetings to discuss the findings of the NPDES inspection and other related issues.
    - (d) Implement the erosion and sediment control/stormwater site plans requested.
    - (e) Provide any needed erosion and sediment control/stormwater practices for the Contractor's temporary work not shown on the plans, such as, but not limited to work platforms, temporary construction, pumping operations, plant and storage yards, and cofferdams.
    - (f) Acquire applicable permits and comply with requirements for borrow pits, dewatering, and any temporary work conducted by the Contractor in jurisdictional areas.
    - (g) Conduct all erosion and sediment control/stormwater work in a timely and workmanlike manner.
    - (h) Fully perform and install erosion and sediment control/stormwater work prior to any suspension of the work.

- (i) Coordinate with Department, Federal, State and Local Regulatory agencies on resolution of erosion and sediment control/stormwater issues due to the Contractor's operations.
  - (j) Ensure that proper cleanup occurs from vehicle tracking on paved surfaces or any location where sediment leaves the Right-of-Way.
  - (k) Have available a set of erosion and sediment control/stormwater plans that are initialed and include the installation date of Best Management Practices. These practices shall include temporary and permanent groundcover and be properly updated to reflect necessary plan and field changes for use and review by Department personnel as well as regulatory agencies.
- (2) Requirements set forth under the NPDES Permit - The Department's NPDES Stormwater permit (NCS000250) outlines certain objectives and management measures pertaining to construction activities. The permit references *NCG010000, General Permit to Discharge Stormwater* under the NPDES, and states that the Department shall incorporate the applicable requirements into its delegated Erosion and Sediment Control Program for construction activities disturbing one or more acres of land. The Department further incorporates these requirements on all contracted bridge and culvert work at jurisdictional waters, regardless of size. Some of the requirements are, but are not limited to:
- (a) Control project site waste to prevent contamination of surface or ground waters of the state, i.e. from equipment operation/maintenance, construction materials, concrete washout, chemicals, litter, fuels, lubricants, coolants, hydraulic fluids, any other petroleum products, and sanitary waste.
  - (b) Inspect erosion and sediment control/stormwater devices and stormwater discharge outfalls at least once every 7 calendar days and within 24 hours after a rainfall event equal to or greater than 1.0 inch that occurs within a 24 hour period. Additional monitoring may be required at the discretion of Division of Water Resources personnel if the receiving stream is 303(d) listed for turbidity and the project has had documented problems managing turbidity.
  - (c) Maintain an onsite rain gauge or use the Department's Multi-Sensor Precipitation Estimate website to maintain a daily record of rainfall amounts and dates.
  - (d) Maintain erosion and sediment control/stormwater inspection records for review by Department and Regulatory personnel upon request.
  - (e) Implement approved reclamation plans on all borrow pits, waste sites and staging areas.
  - (f) Maintain a log of turbidity test results as outlined in the Department's Procedure for Monitoring Borrow Pit Discharge.
  - (g) Provide secondary containment for bulk storage of liquid materials.
  - (h) Provide training for employees concerning general erosion and sediment control/stormwater awareness, the Department's NPDES Stormwater Permit NCS000250 requirements, and the applicable requirements of the *General Permit, NCG010000*.

- (i) Report violations of the NPDES permit to the Engineer immediately who will notify the Division of Water Quality Regional Office within 24 hours of becoming aware of the violation.
- (3) Quality Control Program - Maintain a quality control program to control erosion, prevent sedimentation and follow provisions/conditions of permits. The quality control program shall:
- (a) Follow permit requirements related to the Contractor and subcontractors' construction activities.
  - (b) Ensure that all operators and subcontractors on site have the proper erosion and sediment control/stormwater certification.
  - (c) Notify the Engineer when the required certified erosion and sediment control/stormwater personnel are not available on the job site when needed.
  - (d) Conduct the inspections required by the NPDES permit.
  - (e) Take corrective actions in the proper timeframe as required by the NPDES permit for problem areas identified during the NPDES inspections.
  - (f) Incorporate erosion control into the work in a timely manner and stabilize disturbed areas with mulch/seed or vegetative cover on a section-by-section basis.
  - (g) Use flocculants approved by state regulatory authorities where appropriate and where required for turbidity and sedimentation reduction.
  - (h) Ensure proper installation and maintenance of temporary erosion and sediment control devices.
  - (i) Remove temporary erosion or sediment control devices when they are no longer necessary as agreed upon by the Engineer.
  - (j) The Contractor's quality control and inspection procedures shall be subject to review by the Engineer. Maintain NPDES inspection records and make records available at all times for verification by the Engineer.
- (B) *Certified Foreman* - At least one Certified Foreman shall be onsite for each type of work listed herein during the respective construction activities to control erosion, prevent sedimentation and follow permit provisions:
- (1) Foreman in charge of grading activities
  - (2) Foreman in charge of bridge or culvert construction over jurisdictional areas
  - (3) Foreman in charge of utility activities

The Contractor may request to use the same person as the Level II Supervisor and Level II Foreman. This person shall be onsite whenever construction activities as described above are taking place. This request shall be approved by the Engineer prior to work beginning.

The Contractor may request to name a single Level II Foreman to oversee multiple construction activities on small bridge or culvert replacement projects. This request shall be approved by the Engineer prior to work beginning.

(C) *Certified Installers* - Provide at least one onsite, Level I Certified Installer for each of the following erosion and sediment control/stormwater crew:

- (1) Seeding and Mulching
- (2) Temporary Seeding
- (3) Temporary Mulching
- (4) Sodding
- (5) Silt fence or other perimeter erosion/sediment control device installations
- (6) Erosion control blanket installation
- (7) Hydraulic tackifier installation
- (8) Turbidity curtain installation
- (9) Rock ditch check/sediment dam installation
- (10) Ditch liner/matting installation
- (11) Inlet protection
- (12) Riprap placement
- (13) Stormwater BMP installations (such as but not limited to level spreaders, retention/detention devices)
- (14) Pipe installations within jurisdictional areas

If a Level I *Certified Installer* is not onsite, the Contractor may substitute a Level II Foreman for a Level I Installer, provided the Level II Foreman is not tasked to another crew requiring Level II Foreman oversight.

(D) *Certified Designer* - Include the certification number of the Level III Certified Designer on the erosion and sediment control/stormwater component of all reclamation plans and if applicable, the certification number of the Level III Certified Designer on the design of the project erosion and sediment control/stormwater plan.

### **Preconstruction Meeting**

Furnish the names of the *Certified Erosion and Sediment Control/Stormwater Supervisor*, *Certified Foremen*, *Certified Installers* and *Certified Designer* and notify the Engineer of changes in certified personnel over the life of the contract within 2 days of change.

### **Ethical Responsibility**

Any company performing work for the North Carolina Department of Transportation has the ethical responsibility to fully disclose any reprimand or dismissal of an employee resulting from improper testing or falsification of records.

### **Revocation or Suspension of Certification**

Upon recommendation of the Chief Engineer to the certification entity, certification for *Supervisor*, *Certified Foremen*, *Certified Installers* and *Certified Designer* may be revoked or suspended with the issuance of an *Immediate Corrective Action (ICA)*, *Notice of Violation (NOV)*, or *Cease and Desist Order* for erosion and sediment control/stormwater related issues.

The Chief Engineer may recommend suspension or permanent revocation of certification due to the following:

- (A) Failure to adequately perform the duties as defined within this certification provision.
- (B) Issuance of an ICA, NOV, or Cease and Desist Order.
- (C) Failure to fully perform environmental commitments as detailed within the permit conditions and specifications.
- (D) Demonstration of erroneous documentation or reporting techniques.
- (E) Cheating or copying another candidate's work on an examination.
- (F) Intentional falsification of records.
- (G) Directing a subordinate under direct or indirect supervision to perform any of the above actions.
- (H) Dismissal from a company for any of the above reasons.
- (I) Suspension or revocation of one's certification by another entity.

Suspension or revocation of a certification will be sent by certified mail to the certificant and the Corporate Head of the company that employs the certificant.

A certificant has the right to appeal any adverse action which results in suspension or permanent revocation of certification by responding, in writing, to the Chief Engineer within 10 calendar days after receiving notice of the proposed adverse action.

Chief Engineer  
1536 Mail Service Center  
Raleigh, NC 27699-1536

Failure to appeal within 10 calendar days will result in the proposed adverse action becoming effective on the date specified on the certified notice. Failure to appeal within the time specified will result in a waiver of all future appeal rights regarding the adverse action taken. The certificant will not be allowed to perform duties associated with the certification during the appeal process.

The Chief Engineer will hear the appeal and make a decision within 7 days of hearing the appeal. Decision of the Chief Engineer will be final and will be made in writing to the certificant.

If a certification is temporarily suspended, the certificant shall pass any applicable written examination and any proficiency examination, at the conclusion of the specified suspension period, prior to having the certification reinstated.

### **Measurement and Payment**

All work described within this provision and the role of Certified Erosion and Sediment Control/Stormwater Supervisor, Certified Foremen, Certified Installers and Certified Designer will be incidental to the project for which no direct compensation will be made.

**PROCEDURE FOR MONITORING BORROW PIT DISCHARGE:**

(2-20-07) (Rev. 1-16-24)

105-16, 230, 801

SPI G181

Water discharge from borrow pit sites shall not cause surface waters to exceed 50 NTUs (nephelometric turbidity unit) in streams not designated as trout waters and 10 NTUs in streams, lakes or reservoirs designated as trout waters. For lakes and reservoirs not designated as trout waters, the turbidity shall not exceed 25 NTUs. If the turbidity exceeds these levels due to natural background conditions, the existing turbidity level shall not be increased.

If during any operating day, the downstream water quality exceeds the standard, the Contractor shall do all of the following:

- (A) Either cease discharge or modify the discharge volume or turbidity levels to bring the downstream turbidity levels into compliance, or
- (B) Evaluate the upstream conditions to determine if the exceedance of the standard is due to natural background conditions. If the background turbidity measurements exceed the standard, operation of the pit and discharge can continue as long as the stream turbidity levels are not increased due to the discharge.
- (C) Measure and record the turbidity test results (time, date and sampler) at all defined sampling locations 30 minutes after startup and at a minimum, one additional sampling of all sampling locations during that 24-hour period in which the borrow pit is discharging.
- (D) Notify DWQ within 24 hours of any stream turbidity standard exceedances that are not brought into compliance.

During the Environmental Assessment required by Article 230-4 of the *Standard Specifications*, the Contractor shall define the point at which the discharge enters into the State's surface waters and the appropriate sampling locations. Sampling locations shall include points upstream and downstream from the point at which the discharge enters these waters. Upstream sampling location shall be located so that it is not influenced by backwater conditions and represents natural background conditions. Downstream sampling location shall be located at the point where complete mixing of the discharge and receiving water has occurred.

The discharge shall be closely monitored when water from the dewatering activities is introduced into jurisdictional wetlands. Any time visible sedimentation (deposition of sediment) on the wetland surface is observed, the dewatering activity will be suspended until turbidity levels in the stilling basin can be reduced to a level where sediment deposition does not occur. Staining of wetland surfaces from suspended clay particles, occurring after evaporation or infiltration, does not constitute sedimentation. No activities shall occur in wetlands that adversely affect the functioning of a wetland. Visible sedimentation will be considered an indication of possible adverse impacts on wetland use.

The Engineer will perform independent turbidity tests on a random basis. These results will be maintained in a log within the project records. Records will include, at a minimum, turbidity test results, time, date and name of sampler. Should the Department's test results exceed those of the Contractor's test results, an immediate test shall be performed jointly with the results superseding the previous test results of both the Department and the Contractor.

The Contractor shall use the *NCDOT Turbidity Reduction Options for Borrow Pits Matrix*, available at <https://connect.ncdot.gov/resources/roadside/FieldOperationsDocuments/TurbidityReductionOptionSheet.pdf> to plan, design, construct, and maintain BMPs to address water quality standards. Tier I Methods include stilling basins which are standard compensatory BMPs. Other Tier I methods are noncompensatory and shall be used when needed to meet the stream turbidity standards. Tier II Methods are also noncompensatory and are options that may be needed for protection of rare or unique resources or where special environmental conditions exist at the site which have led to additional requirements being placed in the DWQ's 401 Certifications and approval letters, Isolated Wetland Permits, Riparian Buffer Authorization or a DOT Reclamation Plan's Environmental Assessment for the specific site. Should the Contractor exhaust all Tier I Methods on a site exclusive of rare or unique resources or special environmental conditions, Tier II Methods may be required by regulators on a case by case basis per supplemental agreement.

The Contractor may use cation exchange capacity (CEC) values from proposed site borings to plan and develop the bid for the project. CEC values exceeding 15 milliequivalents per 100 grams of soil may indicate a high potential for turbidity and should be avoided when dewatering into surface water is proposed.

No additional compensation for monitoring borrow pit discharge will be paid.

**NOTES TO CONTRACTOR:**

Though extensive testing has shown that the area of this project poses low potential for acid rock damage, the contractor is made aware there is potential to encounter sulfide bearing rock on this project. Correspondence between the NCDOT and the Department of Water Resources outlining responsibilities and potential mitigation measures can be found on the NCDOT Central Letting Website. Any necessary mitigation measures performed by the Contractor shall be considered extra work in accordance with Section 104-7 of the *Standard Specifications*.

The Contractor's attention is directed to the Eastern Band of Cherokee Indian Treatment Guidelines for Human Remains and Funerary Objects found as an additional resource on the Departments Bidding and Letting site [Central Letting Details \(ncdot.gov\)](https://www.ncdot.gov/central-letting). These guidelines shall be referenced in the event human remains, funerary objects, sacred objects or objects of cultural patrimony are encountered.

The Contractor shall install *Safety Fence* along the boundaries of historic properties with trees greater than 6" in diameter as directed by the Engineer.

Two Environmental Sensitive Areas (ESA) were identified along the corridor of the A-0009CD section and shall require orange safety fence around the entire boundary and protection during construction. These sites have been staked by NCDOT Division 14 Location and Survey.

Coordination with the Residents office and Division 14 Environmental Unit for these sites is crucial to ensure compliance. These sites and their conditions are as follows:

a. 31GH664, which NCDOT should avoid in its entirety. This ESA is located just west of SR 1238, Bill Crisp Rd, north of Hwy 28.

b. 31GH673, which should be hand cleared only, with no undercutting. This ESA is located just east of SR 1238, Bill Crisp Rd, south of Hwy 28.

Orange safety fencing shall be installed to protect sensitive areas during construction.

**PROJECT SPECIAL PROVISIONS****ROADWAY****CLEARING AND GRUBBING - METHOD II:**

(9-17-02)(Rev. 3-19-24)

200

SP2 R02A

Perform clearing on this project to the limits established by Method - II shown on Standard Drawing No. 200.02 of the *Roadway Standard Drawings*. Conventional clearing methods may be used except where permit drawings or conditions have been included in the proposal which require certain areas to be cleared by hand methods.

**TEMPORARY PAVEMENT:**

(7-1-95) (Rev. 11-19-13)

1101

SP2 R30B(Rev)

Construct temporary pavement required on this project in accordance with the typical sections in the plans or as directed.

After the temporary pavement has served its purpose, remove the portions deemed unsuitable for use as a permanent part of the project as directed by the Engineer. Salvage and stockpile the aggregate base course removed from the temporary pavement at locations within the right of way, as directed by the Engineer, for removal by State Forces. Place pavement and earth material removed from the temporary pavement locations in embankments or dispose of in waste areas furnished by the Contractor.

Aggregate base course and earth material that is removed will be measured and will be paid at the contract unit price per cubic yard for *Unclassified Excavation*. Pavement that is removed will be measured and will be paid at the contract unit price per square yard for *Removal of Existing \_\_\_\_\_ Pavement*. Pipe culverts removed remain the property of the Contractor. Pipe culverts that are removed will be measured and will be paid at the contract unit price per linear foot for *Pipe Removal*. Payment for the construction of the temporary pavement will be made at the contract unit prices for the various items involved.

Such prices and payments will be full compensation for constructing the temporary pavement and for the work of removing, salvaging, and stockpiling aggregate base course; removing pipe culverts; and for placing earth material and pavement in embankments or disposing of earth material and pavement in waste areas.

**SHOULDER AND FILL SLOPE MATERIAL:**

(5-21-02)(Rev. 1-16-24)

235, 560

SP2 R45 B

**Description**

Perform the required shoulder and slope construction for this project in accordance with the applicable requirements of Section 560 and Section 235 of the *Standard Specifications*.

### Measurement and Payment

When the Contractor elects to obtain material from an area located beneath a proposed fill sections which does not require excavation for any reason other than to generate acceptable shoulder and fill slope material, the work of performing the excavation will be considered incidental to the item of *Borrow Excavation* or *Shoulder Borrow*. If there is no pay item for *Borrow* or *Shoulder Borrow* in the contract, this work will be considered incidental to *Unclassified Excavation*. Stockpile the excavated material in a manner to facilitate measurement by the Engineer. Fill the void created by the excavation of the shoulder and fill slope material with suitable material. Payment for material used from the stockpile will be made at the contract unit price for *Borrow Excavation* or *Shoulder Borrow*. If there is no pay item for *Borrow Excavation* or *Shoulder Borrow*, then the material will be paid for at the contract unit price for *Unclassified Excavation*. The material used to fill the void created by the excavation of the shoulder and fill slope material will be made at the contract unit price for *Unclassified Excavation*, *Borrow Excavation*, or *Shoulder Borrow*, depending on the source of the material.

Material generated from undercut excavation, unclassified excavation or clearing and grubbing operations that is placed directly on shoulders or slope areas, will not be measured separately for payment, as payment for the work requiring the excavation will be considered adequate compensation for depositing and grading the material on the shoulders or slopes.

When undercut excavation is performed at the direction of the Engineer and the material excavated is found to be suitable for use as shoulder and fill slope material, and there is no area on the project currently prepared to receive the material generated by the undercut operation, the Contractor may construct a stockpile for use as borrow at a later date. Payment for the material used from the stockpile will be made at the contract unit price for *Borrow Excavation* or *Shoulder Borrow*.

When shoulder material is obtained from borrow sources or from stockpiled material, payment for the work of shoulder construction will be made at the contract unit price per cubic yard for *Borrow Excavation* or *Shoulder Borrow* in accordance with the applicable provisions of Section 230 or Section 560 of the *Standard Specifications*.

### **MANUFACTURED QUARRY FINES IN EMBANKMENTS:**

(01-17-17)(Rev. 4-16-24)

235

SP02 R72

### **Description**

This specification addresses the use of manufactured quarry fines that are not classified as select materials. The specification allows the Contractor an option, with the approval of the Engineer, to use manufactured quarry fines (MQFs) in embankments as a substitute for conventional borrow material. Furnish and place geotextile for subgrade stabilization in accordance with the contract. Geotextile for subgrade stabilization is required to prevent pavement cracking and provide separation between the subgrade and pavement section at embankment locations where manufactured quarry fines are utilized and as directed by the Engineer.

### **Materials**

Manufactured Quarry Fines.

Site specific approval of MQFs material will be required prior to beginning construction as detailed in the preconstruction requirements of this provision.

The following MQFs are unacceptable:

- (A) Frozen material,
- (B) Material with a maximum dry unit weight of less than 90 pounds per cubic foot when tested in accordance with AASHTO T 99 Method A or C.
- (C) Material with greater than 80% by weight Passing the #200 sieve

Collect and transport MQFs in a manner that will prevent nuisances and hazards to public health and safety. Moisture condition the MQFs as needed and transport in covered trucks to prevent dusting. If MQFs are blended with natural earth material, follow Borrow Criteria in Section 1018 of the *Standard Specifications*.

### **Preconstruction Requirements**

When MQFs are to be used as a substitute for earth borrow material, request written approval from the Engineer at least ninety (90) days in advance of the intent to use MQFs and include the following details:

- (A) Description, purpose and location of project.
- (B) Estimated start and completion dates of project.
- (C) Estimated volume of MQFs to be used on project with specific locations and construction details of the placement.
- (D) The names, address, and contact information for the generator of the MQFs.
- (E) Physical location of the site at which the MQFs were generated.

The Engineer will forward this information to the State Materials Engineer for review and material approval.

### **Construction Methods**

Place MQFs in the core of the embankment section with at least 4 feet of earth cover to the outside limits of the embankments or subgrade.

Construct embankments by placing MQFs in level uniform lifts with no more than a lift of 10 inches and compacted to at least a density of 95 percent as determined by test methods in AASHTO T 99, Determination of Maximum Dry Density and Optimum Moisture Content, Method A or C depending upon particle size of the product. Provide a moisture content at the time of compaction of within 4 percent of optimum but not greater than one percent above optimum as determined by AASHTO T 99, Method A or C.

In embankments where MQFs are incorporated, geotextile for subgrade stabilization shall be used. Refer to Article 505-2 of the *Standard Specifications* for geotextile type and Article 505-3 of the *Standard Specifications* for the geotextile construction methods.

### Measurement and Payment

*Borrow Excavation* will be measured by truck volume and paid in cubic yards in accordance with Article 230-5 of the *Standard Specifications*. As an alternate weigh tickets can be provided and payment made by converting weight to cubic yards based on the verifiable unit weight. Where the pay item for *Borrow Excavation* is not included in the original contract then no separate payment will be made for this item and payment will be included in the lump sum price bid for *Grading*.

*Geotextile for Subgrade Stabilization* will be measured and paid in accordance with Article 505-4 of the *Standard Specifications*. When the pay item for *Geotextile for Subgrade Stabilization* is not included in the original contract then no payment will be made for this item and will be considered incidental to the use of MQFs in embankment.

### **FLOWABLE FILL:**

(9-17-02) (Rev. 1-16-24)

300, 340, 1000, 1530, 1540, 1550

SP3 R30

### Description

This work consists of all work necessary to place flowable fill in accordance with these provisions, the plans, and as directed.

### Materials

Refer to Division 10 of the *Standard Specifications*.

### Item

Flowable Fill

### Section

1000-7

### Construction Methods

Discharge flowable fill material directly from the truck into the space to be filled, or by other approved methods. The mix may be placed full depth or in lifts as site conditions dictate. The Contractor shall provide a method to plug the ends of the existing pipe in order to contain the flowable fill.

### Measurement and Payment

At locations where flowable fill is called for on the plans and a pay item for flowable fill is included in the contract, *Flowable Fill* will be measured in cubic yards and paid as the actual number of cubic yards that have been satisfactorily placed and accepted. Such price and payment will be full compensation for all work covered by this provision including, but not limited to, the mix design, furnishing, hauling, placing and containing the flowable fill.

Payment will be made under:

### Pay Item

Flowable Fill

### Pay Unit

Cubic Yard

**CORRUGATED ALUMINUM ALLOY CULVERT PIPE:**

(9-21-21)(Rev. 1-16-24)

305, 310

SP3 R34

Revise the *Standard Specifications* as follows:

**Page 3-5, Article 305-2, MATERIALS**, add the following after line 16:

<b>Item</b>	<b>Section</b>
Waterborne Paint	1080-9
Hot Bitumen	1081-3

**Page 3-5, Article 305-3, CONSTRUCTION METHODS**, add the following after line 26:

Coating must be applied to the aluminum when in contact with concrete. Immediately prior to coating, aluminum surfaces to be coated shall be cleaned by a method that will remove all dirt, oil, grease, chips, and other foreign substances. Aluminum to be coated shall be given one coat of suitable quality coating such as:

Approved waterborne paint (Section 1080-9)  
Approved Hot Bitumen (Section 1081-3)

Other coating materials may be submitted to the Engineer for approval.

**Page 3-7, Article 310-6, MEASUREMENT AND PAYMENT, lines 10-11**, delete the fourth sentence and replace with the following:

Select bedding and backfill material and coating will be included in the cost of the installed pipe.

**PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX:**

(11-21-00)(Rev. 1-16-24)

620

SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the *Standard Specifications*.

The base price index for asphalt binder for plant mix is **\$ 685.00** per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **April 1, 2026**.

**FINAL SURFACE TESTING REQUIRED:**

(1-20-25)

610

SP6 R045B

Perform final surface testing in accordance with Article 610-13 of the *Standard Specifications* in areas that meet the criteria outlined in Article 610-13 of the *Standard Specifications* or otherwise delineated in the contract.

**FINAL SURFACE TESTING ASPHALT PAVEMENT:**

(11-18-25)

610

SP6 R048

Revise the *Standard Specifications* as follows:

**Page 6-24, Article 610-13 FINAL SURFACE TESTING AND ACCEPTANCE**, line 35, delete the last sentence of the first paragraph of the Article and replace with the following:

Final surface testing is not required on SR-designated routes, on any alignment where the speed limit is less than 45 mph, on roundabouts, ramps, loops and turn lanes, or where the paving limits are less than one mile in length.

**4" CONCRETE MULTI-USE PATH****Description**

The Contractor shall construct 4" Concrete Multi-Use Path as shown in the plans, in accordance with the applicable requirements of Section 848 of the *Standard Specifications*, and as directed by the Engineer.

**Materials**

Concrete shall be Class AA Concrete meeting the requirements of Section 1000 of the *Standard Specifications*.

Wire mesh reinforcement shall be 4x4-W3.5xW3.5 welded wire fabric meeting the requirements of Section 1070 of the *Standard Specifications*. Macro-fibers for concrete reinforcement may be used in lieu of wire mesh reinforcement. See NCDOT Approved Products List for list of macro-fibers.

**Construction Methods**

Construct concrete apron in accordance with Section 848 of the *Standard Specifications*.

**Measurement and Payment**

*4" Concrete Multi-Use Path* will be measured and paid for in square yards measured along the surface of the completed and accepted work. Such price and payment will include all materials, tools, labor, equipment and incidentals necessary to satisfactorily complete the work.

Payment will be made under:

**Pay Item**

4" Concrete Multi-Use Path

**Pay Unit**

Square Yard

**DETECTABLE WARNING SURFACE AT CURB RAMPS:**

(8-19-25)

848

SP8 R52A

**Description**

Install detectable warning surface at curb ramps as shown in the plans or as directed by the Engineer, in accordance with Section 848 of the *Standard Specifications* and this special provision.

**Materials**

Detectable warning surface materials shall consist of raised truncated domes found on the NCDOT APL, meet the requirements of Article 848-2 of the *Standard Specifications* and be capable of being affixed to or anchored in the concrete sidewalk, including green concrete defined as concrete that has set but not appreciably hardened, cured concrete, or asphalt pavement. Surface applied such as glued or stick down applications are prohibited for permanent installations unless approved by the Engineer.

The detectable warning surface shall be uniform in color and texture, be free of cracks or other defects. The color shall be an approximate visual match to the color specified in the contract or as approved by the Engineer.

**Construction Methods**

Install all detectable warning surface in accordance with the manufacturer's recommendations, Article 848-3 of the *Standard Specifications* and as approved by the Engineer. Ensure the surface is free of debris and irregularities prior to placing the detectable warning on the surface. Place in fresh concrete, before the concrete has reached initial set, or on a hardened cement concrete surface or asphalt pavement surface. Secure permanent installations with mechanical fasteners. No cutting of the coated colored truncated domes is allowed. Embossing or stamping the wet concrete to achieve the truncated dome pattern or using a mold into which a catalyst-hardened material is applied is not allowed. Detectable warning surfaces shall be 24 inches in the direction of travel and extend the full width of the flush surface. The detectable warning surface shall show no appreciable fading, lifting or shrinkage and fit contours, breaks and faults of concrete and asphalt surfaces and show no significant tearing, rollback, lifting or other signs of poor adhesion.

Remove and replace any damaged or misaligned detectable warning surfaces and repair any damage to adjacent facilities prior to final acceptance at no cost to the Department. The finished installation shall meet all applicable ADA and Public Right-of-Way Accessibility Guidelines (PROWAG) requirements for placement, orientation, surface condition, and visual contrast.

**Measurement and Payment**

The detectable warning surface at curb ramps are incidental to *Concrete Curb Ramps, Retrofit Existing Curb Ramps, and/or Remove and Replace Curb Ramps* in accordance with Article 848-4 of the *Standard Specifications*.

**HANDRAIL ON RETAINING WALL:****Description**

Furnish and install a steel pipe handrail mounted on a retaining wall at areas indicated in the plans and as directed by the Engineer.

**Construction**

Construct handrail on retaining wall in accordance with the details in the plans.

**Measurement and Payment**

*Handrail on Retaining Wall* will be measured and paid as the actual number of linear feet of steel pipe handrail measured along the top of the handrail to the nearest 0.1 of a foot. Such price and payment shall be full compensation for fabricating, furnishing, installing, attaching to retaining wall and all incidentals necessary to satisfactorily install the handrail in accordance with the details in the plans or as directed by the Engineer.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Handrail on Retaining Wall	Linear Foot

**TIMBER RAIL FENCE:****Description**

Furnish and erect timber rail fencing in conformity with the details shown in the plans and at the locations as shown in the plans.

**Materials**

Refer to Division 10.

<b>Item</b>	<b>Section</b>
Fence Materials	1050-1
Fittings and Accessories	1050-7
Timber Posts and Braces	1050-2

Use Class B concrete for anchors. Instead of Class B concrete, pre-mixed commercially bagged dry concrete mix may be used if the concrete meets the minimum strength requirements for Class

B concrete when mixed with the quantity of water shown on the instructions printed on the bag.

Use treated southern pine meeting Articles 1082-2 and 1082-3 for all timber posts, rails and braces, except as otherwise approved by the Engineer.

**Construction Methods**

Construct split rail fence in accordance with Section 866 of the *Standard Specifications*, the details in the plans and at the direction of the Engineer.

**Measurement and Payment**

*Timber Rail Fence* will be measured and paid in linear feet of fence, measured in place from center of each post to center of end post, that has been completed and accepted.

Such price and payment shall be considered full compensation for all work covered by this provision including all materials, labor, construction, tools, equipment, and any other incidentals necessary to complete this work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Timber Rail Fence	Linear Foot

**WOOD RUB RAIL:**

Construct wood rub rail at areas indicated in the plans and as directed by the Engineer.

**Construction**

Construct wood rub rail in accordance with the details in the plans.

**Measurement and Payment**

*Wood Rub Rail* will be measured and paid in linear feet of wood rub rail that has been satisfactorily completed and accepted by the Engineer. Measurement will be made from center to center of the outermost post in the length of wood rub rail being measured.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Wood Rub Rail	Linear Feet

**HIGH STRENGTH CONCRETE FOR DRIVEWAYS:**

(11-21-00)(Rev. 1-16-24)

848

SP10 R02

Use high early strength concrete for all driveways shown in the plans and as directed by the Engineer. Provide high early strength concrete that meets the requirements of Article 1000-6 of the *Standard Specifications*.

Measurement and payment will be in accordance with Section 848 of the *Standard Specifications*.

**ELECTRONIC TICKETING SYSTEM:**

(7-16-24)(Rev. 12-17-24)

1020

SP10 R20

**Description**

At the contractor's option, the use of an electronic ticketing system for reporting individual and cumulative asphalt material deliveries may be utilized on this project. At the preconstruction conference, the contractor shall notify the Engineer if they intend to utilize an electronic ticketing system for reporting individual and cumulative asphalt material deliveries to the project.

**Electronic Ticketing Requirements**

- a. The electronic ticketing system must be fully integrated with the load read-out system at the plant. The system shall be designed so data inputs from scales cannot be altered by either the Contractor or the Department.
- b. Material supplier must test to confirm that ticketing data can be shared from the originating system no less than 30 days prior to project start.
- c. After each truck is loaded, ticket data must be electronically captured, and ticket information uploaded via Application Programming Interface (API) to the Department.
- d. Obtain security token from NCDOT for access to E-Ticketing portal (to send tickets). To request a Security Key, fill out the below E-Ticketing Security Request Form: <https://forms.office.com/g/XnT7QeRtgt>
- e. Obtain API from NCDOT containing the required e-ticketing data fields and format. Download the API from the NCDOT E-ticketing Webpage: <https://connect.ncdot.gov/projects/construction/E-Ticketing/Pages/default.aspx>
- f. Provide all ticket information in real time and daily summaries to the Department's designated web portal. If the project contains locations with limited cellular service, an alternative course of action must be agreed upon.
- g. Electronic ticketing submissions must be sent between the Material Supplier and the Department.

h. The electronic ticket shall contain the following information:

Date  
Contract Number  
Supplier Name  
Contractor Name  
Material  
JMF  
Gross Weight  
Tare Weight  
Net Weight  
Load Number  
Cumulative Weight  
Truck Number  
Weighmaster Certification  
Weighmaster Expiration  
Weighmaster Name  
Facility Name  
Plant Certification Number  
Ticket Number  
Hauling Firm (optional)  
Voided Ticket Number (if necessary)  
Original Ticket Number (if necessary)  
Supplier Revision (If necessary)

The Contractor/supplier can use the electronic ticketing system of their choice to meet the requirements of this provision.

### **Measurement and Payment**

No measurement or payment will be made for utilizing an electronic ticketing system as the cost of such shall be included in the contract price bid for the material being provided.

**TIMBER AND LUMBER:**

(4-21-26)

235, 866, 1046, 1050, 1082, 1084, 1089, 1540

SP10 R82

**Page 2-23, Article 235-2 MATERIALS, line 26**, add the following as the third sentence of the fourth paragraph.

Use pressure treated wood bases meeting the requirements of Section 1082.

**Page 8-45, Article 866-2 MATERIALS, line 26**, replace “1076-7” with “1050-8”.

**Page 10-73, Subarticle 1046-3(C) Treated Timber Posts, line 8**, replace “treated southern pine” with “pressure treated southern pine”.

**Page 10-76, Subarticle 1050-2(A) General, line 3**, replace “Use treated southern pine meeting Articles 1082-2 and 1082-3” with “Use pressure treated southern pine meeting Articles 1082-2 and 1082-3”.

**Page 10-76, Subarticle 1050-2(A) General, lines 15-16**, replace “All round posts” with “All round wood posts and braces”.

**Page 10-76, Subarticle 1050-2(A) General, lines 19-20**, delete the last sentence of the third paragraph and replace with the following:

The pieces shall show at least 3 annual rings per inch and shall be at least 30% summerwood. All timber and lumber shall conform to the material characteristics detailed in the Southern Pine Inspection Bureau (SPIB) grading rules for the designated grade and may bear the mark of an American Lumber Standards Committee (ALSC) accredited agency.

**Page 10-177, Article 1082-1 GENERAL, lines 32-33**, delete the first sentence of the first paragraph and replace with the following:

Use southern pine timber and lumber graded in accordance with the current grading rules of the SPIB and manufactured by a Department pre-approved producer/supplier. All timber and lumber shall conform to the material characteristics detailed in the SPIB grading rules for No. 1 Dense or Select Structural (Sel Str.) and bear the mark of an ALSC-accredited agency.

**Page 10-177 and 10-178, Article 1082-1 GENERAL, lines 38-42 and line 1**, delete the second through fourth sentence of the second paragraph and replace with the following:

Use approved inspection companies listed on the Department’s pre-approved producer/suppliers list. The inspection agency must perform inspections of preservative treated materials in accordance with AWP Standard M2. Each item shall bear the brand, hammer mark, ink stamp or tag of the inspection agency to indicate it has been inspected. In lieu of commercial inspection, materials in Section 1082 manufactured by a facility that is audited by an ALSC-accredited agency and bearing the quality mark of that agency shall be acceptable for use. In addition, the Supplier must furnish Type 4 – Certified Test Reports and Type 6 – Supplier’s Certifications in accordance with Article 106-3. Type 6 – Supplier’s Certifications are required

for each producer/supplier to include any chain of custody changes from the mill to the Department.

**Page 10-178, Article 1082-2 UNTREATED TIMBER AND LUMBER, line 7,** replace “Dense” with “Grade No. 1 Dense MC19”.

**Page 10-178, Subarticle 1082-3(A) General, line 13,** replace “lumber” with “timber and lumber” and replace “will not” with “with”.

**Page 10-178, Subarticle 1082-3(B) Bridges, Fender Systems and Piles, lines 22 and 24,** replace “Grade No. 1 Dense” with “Grade No. 1 Dense or Select Structural (Sel Str.)”.

**Page 10-178, Subarticle 1082-3(B) Bridges, Fender Systems and Piles, lines 24-27,** delete the third and fourth sentence of the first paragraph and replace with the following:

Timbers for bridges or fender systems that are 5 inches and thicker along the least dimension shall conform to Grade No. 1 Dense or Select Structural (Sel Str.).

**Page 10-178, Subarticle 1082-3(B) Bridges, Fender Systems and Piles, line 28,** delete and replace the second paragraph with the following:

Timber for piles shall be southern pine and meet the requirements of ASTM D25.

**Page 10-178, Subarticle 1082-3(C) Guardrail Posts, Blockouts and related components, lines 33-34,** replace “Southern Pine, conforming to Grade No. 1. Rough lumber will be acceptable.” with “southern pine, conforming to Grade No. 1 Dense.”.

**Page 10-178, Subarticle 1082-3(D) Fence Posts and Braces, lines 37-39,** delete the first paragraph and replace with the following:

Sawn fence posts and braces shall be southern pine, S4S, and conform to Grade No. 1 Dense.

**Page 10-178, Subarticle 1082-3(E) Sign Posts and Battens, lines 42-44,** delete the first and second sentence of the first paragraph and replace with the following:

Lumber or timbers for sign posts shall conform to Structural Light Framing, Grade No. 1 Dense.

**Page 10-178, Subarticle 1082-3(E) Sign Posts and Battens, line 46,** delete the first sentence of the second paragraph.

**Page 10-179, Subarticle 1082-3(F) Poles, lines 2-3,** delete the first sentence of the first paragraph and replace with the following:

Timber for poles shall be either treated southern pine or coastal douglas-fir and meet the requirements of ANSI O5.1.

**Page 10-179, Subarticle 1082-4(A) General, line 8,** replace “AASHTO M 133 or AWPA Standards” with “AASHTO M 133 and AWPA Standards”.

**Page 10-179, Subarticle 1082-4(A) General, lines 14-15,** delete the third paragraph.

**Page 10-179, Subarticle 1082-4(A) General, line 19,** replace “”areas include” with “areas including, but not limited to,”.

**Page 10-179, Subarticle 1082-4(B) Timber Preservatives, line 24,** replace “AASHTO M 133 or AWPA Standards U1” with “AASHTO M 133 and AWPA Standards U1”.

**Page 10-179, Subarticle 1082-4(C) Bridges, Fender Systems and Piles, lines 27-28,** replace “AASHTO M-133 or AWPA Standard U1” with “AASHTO M 133 and AWPA Standard U1”.

**Page 10-179, Subarticle 1082-4(D) Guardrail Posts, Blockouts and Related Components, lines 32-33,** replace “AASHTO M-133 or AWPA Standard U1” with “AASHTO M 133 and AWPA Standard U1”.

**Page 10-179, Subarticle 1082-4(E) Fence Posts and Braces, lines 36 and 38,** replace “AASHTO M-133 or AWPA Standard U1” with “AASHTO M 133 and AWPA Standard U1”.

**Page 10-179, Subarticle 1082-4(E) Fence Posts and Braces, line 39,** replace “except require retention of preservative as below” with “Commodity Specification B. Posts, UC4A”.

**Page 10-180, Subarticle 1082-4(F) Sign Posts and Battens, line 2,** replace “AASHTO M-133 or AWPA Standard U1” with “AASHTO M 133 and AWPA Standard U1”.

**Page 10-180, Subarticle 1082-4(G) Poles, line 9,** replace “AASHTO M-133 or AWPA Standard U1” with “AASHTO M 133 and AWPA Standard U1”.

**Page 10-180, Subarticle 1084-1(A) Treated Timber Pile, line 16-17,** delete and replace the first paragraph with the following:

Treated timber piles shall meet the requirements of Section 1082.

**Page 10-195, Subarticle 1089-2(A)(1) Work Zone Signs (Stationary), line 44,** add the following sentence to the second paragraph:

Pressure treat wood posts in accordance with Section 1082.

**Page 15-18, Article 1540-2 MATERIALS, line 8,** replace “1082-3” with “1082”.

**WORK ZONE INSTALLER:**

(7-20-21)(Rev. 4-21-26)

1101

SP11 R04

**Page 11-4, Article 1101-14 WORK ZONE INSTALLER, lines 25-26,** delete the first sentence of the first paragraph and replace with the following:

When temporary traffic control consists of more than flagging operations, the Contractor shall provide the service of at least one qualified work zone installer during the setup, installation, and removal of temporary traffic control within the highway right of way.

**TEMPORARY CRASH CUSHIONS:**

(4-21-26)

1089, 1160

SP11 R06

**Page 10-204, Subarticle 1089-8(A) General, lines 26-29,** delete the third and fourth paragraph.

**Page 10-204, Subarticle 1089-8(A) General, line 34,** delete the last sentence of the fifth paragraph.

**Page 11-14, Article 1160-2 MATERIALS, lines 32-35,** delete the second and third sentence of the first paragraph.

**Page 11-15, Article 1160-3 CONSTRUCTION METHODS,** add the following after line 1:

Use a redirective, non-gating temporary crash cushion when adequate space for the lateral displacement of the crash cushion is not available or as required in the TMP.

**Page 11-15, Article 1160-3 CONSTRUCTION METHODS, line 7,** add the following to the second paragraph:

Temporary crash cushion shall not be placed on an unpaved surface.

**CONES:**

(3-19-24)

1135

SP11 R35

Revise the *Standard Specifications* as follows:

**Page 11-11, Article 1135-3 CONSTRUCTION METHODS, lines 19-20,** delete the third sentence of the first paragraph, “Do not use cones in the upstream taper of lane or shoulder closures for multi-lane roadways.”.

**FLAGGERS:**

(12-17-24)(Rev. 12-23-25)

1150

SP11 R50

Revise Section 1150 of the *Standard Specification* as follows:

**Page 11-13, Article 1150-1, DESCRIPTION,** add the following after line 31:

Alternatively, at the discretion of the Contractor, the Contractor may furnish, install, place in operation, repair, maintain, relocate, and remove remotely controlled Automated Flagging Assistance Devices (AFAD) or Temporary Portable Traffic Signal units (PTS units) to assist,

supplement, or replace human flaggers for one-lane, two-way traffic maintenance during construction in accordance with this provision and the *Standard Specifications*.

For the purpose of this provision, an "approach" refers to a single lane of traffic moving in one direction toward a point of control or work zone. Flaggers, AFAD and PTS units are only used to control one lane of approaching traffic in a specific direction.

**Page 11-13, Article 1150-2, MATERIALS**, add the following after line 34:

Provide documentation to the Engineer that the AFAD or PTS units meets or exceeds the requirements of this special provision and is on the NCDOT APL or ITS and Signals QPL.

**(A) Automated Flagging Assistance Devices (AFAD)**

**(1) AFAD General**

Cover the automated gate arm with Department approved Type VII, VIII or IX retroreflective sheeting of vertical alternating red and white stripes at 16 inch intervals measured horizontally. When the gate arm is in the down position the minimum vertical aspect of the arm and sheeting shall be 4 inches. The retroreflectorized sheeting shall be on both sides of the gate arm. With the AFAD parked or positioned 2 feet outside or in a location deemed acceptable for the lane being controlled, the gate arm shall reach at least to the center of the lane but shall not exceed the width of the lane being controlled.

Design the system to be fail-safe. Provide a conflict monitor, malfunction monitoring unit, or similar device that monitors for malfunctions and prevents the display of conflicting indications. This system shall be electronic and operated by remote control.

**(2) AFAD Type I System: RED/YELLOW**

Provide a Red/Yellow AFAD with at least one set of CIRCULAR RED and CIRCULAR YELLOW lenses in a vertical configuration that are 12 inches in diameter. The bottom of the housing (including brackets) shall be at least 7 feet (2.1 meters) above the pavement.

This system is required to have yellow 12 inch aluminum or polycarbonate vehicle signal heads with 10 inch tunnel visors, backplates, and Light Emitting Diode (LED) modules. Provide signal heads, backplates, and LED modules listed on the ITS and Signals QPL available on the Department's website.

Provide an automated gate arm on the AFAD that descends to a down position across the approaching lane of traffic when the steady CIRCULAR RED lens is illuminated and then ascends to an upright position when the flashing CIRCULAR YELLOW lens is illuminated. The automated gate arm is to be designed such that if a motorist pulls underneath the gate arm while lowering, no damage to the vehicle occurs.

A STOP HERE ON RED (R10-6 or R10-6a) sign shall be installed on the right-hand side of the approach at the point at which drivers are expected to stop when the steady CIRCULAR RED lens is illuminated.

To stop traffic, the AFAD shall transition from the flashing CIRCULAR YELLOW lens by initiating a minimum 5 second steadily illuminated CIRCULAR YELLOW lens followed by the CIRCULAR RED lens.

Once the CIRCULAR RED lens is displayed, the system is to have a minimum 2 second delay between the time the steady CIRCULAR RED is displayed and the time the gate arm begins to lower. The maximum delay between CIRCULAR RED and the time the gate arm lowers is 4 seconds. To permit stopped road users to proceed, the AFAD shall display the flashing CIRCULAR YELLOW lens and the gate arm shall be placed in the upright position.

Ensure the system monitors for a lack of yellow or red signal voltage, total loss of indication in any direction, presence of multiple indications on any approach and low power conditions.

Additional sets of CIRCULAR RED and CIRCULAR YELLOW lenses located over the roadway or on the left side of the approach and operated in unison with the primary set, may be used to improve visibility of the AFAD. If the set of lenses is located over any portion of the roadway that can be used by motor vehicles, the bottom of the housing (including brackets) shall be at least 15 feet (4.6 meters) above the pavement.

### **(3) AFAD Type II System: STOP/SLOW**

Provide STOP/SLOW signs that are octagonal in shape, made of rigid material, and at least 36 inch x 36 inch in size. Letters shall be a minimum of 8 inches high. The STOP face shall have a red background with white letters and border.

The SLOW face shall be diamond shaped, orange, or yellow background with black letters and border. Cover both faces in a Department approved Type VII, VIII or IX retroreflective sheeting. The minimum mounting height for the sign faces shall be 7 feet above the pavement to the bottom of the sign.

The AFAD's STOP/SLOW signs shall be supplemented with active conspicuity devices by incorporating a stop beacon (red lens) and a warning beacon (yellow lens). The stop beacon shall be no more than 24 inches above the STOP face. Mount the warning beacon no more than 24 inches above or beside of the SLOW face. Except for the mounting locations, the beacons shall conform to the provisions of Chapter 4L of the MUTCD and have 12 inch signal lenses.

Strobe/flashing lights are an acceptable alternative to flashing beacons. If utilized, they shall be either white or red flashing lights located within the STOP face and white or yellow flashing lights within the SLOW face and conform to the provisions of Chapter 6D of the MUTCD. If used, the lens diameter shall be a minimum of 5 inches with a minimum height of 6 inches. Equip strobes/flashing lights for both dual and quad flash patterns.

Type B warning lights shall not be used in lieu of the beacons or the strobe lights.

The faces of the AFADs STOP/SLOW sign may include louvers. If louvers are used, design the louvers such that the aspect of the sign face to approaching traffic is a full sign face at a distance of 50 feet or greater.

A WAIT ON STOP (R1-7) sign and a GO ON SLOW (R1-8) sign shall be displayed to traffic approaching the AFAD. Position signs on the same support structure as the AFAD. Both signs shall have black legends and borders on white Type III sheeting backgrounds. Each of these signs shall be rectangular in shape and be at least 24 inch x 30 inch size with letters at least 6 inches high.

Provide an automated gate arm on the AFAD that descends to a down position across the approaching lane of traffic when the STOP face is displayed and then ascends to an upright position when the SLOW face is displayed.

The automated gate arm is to be designed such that if a motorist pulls underneath the gate arm while lowering, no damage to the vehicle occurs.

A STOP HERE ON RED (R10-6 or R10-6a) sign shall be installed on the right-hand side of the approach at the point at which drivers are expected to stop when the STOP face is displayed.

When approaching motorists are to proceed, display the SLOW face and the warning beacon or strobes are to flash on the AFAD. When approaching motorists are will be stopped, display the STOP face and the stop beacon or strobes are to flash on the AFAD.

**To stop traffic, the AFAD will transition from the SLOW face to the STOP face by initiating a minimum 5 second change cycle. First, the warning beacon is to be steadily illuminated for the change cycle. If strobes are used in lieu of a warning beacon, they are to be placed in the quad flash pattern. At the end of the change cycle, the STOP face is to be displayed with the stop beacon flashing and the warning beacon or strobes are to stop flashing. Once the STOP face is displayed, the system is to have a minimum 2 second delay between the time the STOP face is displayed and the time the gate arm begins to lower. The maximum delay between the time the STOP face is displayed and the time the gate arm lowers is 4 seconds.**

**To permit stopped road users to proceed, the gate arm shall be placed in the upright position and the AFAD shall display the SLOW face and the warning beacon or strobes are to flash in the dual flash pattern.**

Do not flash the stop beacon when the SLOW face is displayed, and do not flash the warning beacon when the STOP face is displayed.

#### **(B) Portable Traffic Signals (PTS) Units**

Provide PTS units with at least one set of CIRCULAR RED, CIRCULAR YELLOW, and CIRCULAR GREEN lenses in a vertical configuration that are 12 inch diameter

aluminum or polycarbonate vehicle signal heads with 10 inch tunnel visors, backplates, and Light Emitting Diode (LED) modules. All signal heads, tunnel visors, and backplates shall be yellow in color.

The bottom of the housing (including brackets) shall be at least 7 feet above the pavement for single set units. Additional signal heads on units with more than one signal head shall be capable of extending over the travel lane.

### **Communication Requirements**

All PTS units within the signal set up systems shall maintain communication at all times by either hardwire cable or wireless radio link communication. If the hardwire cable communication is utilized the communication cable shall be deployed in a manner that will not intrude in the direct work area of the project or obstruct vehicular and pedestrian traffic. Utilize radio communication with 900MHz frequency band and frequency hopping capability. The radio link communication system shall have a minimum range of 1 mile.

### **Fault Mode Requirements**

Revert PTS units to a flashing red mode upon system default unless otherwise specified by the Engineer. Equip the PTS units with a remote monitoring system. Where cell communication availability exists, the remote monitoring system shall adhere to the remote monitoring system section of this provision.

### **Remote Monitoring System**

The remote monitoring system (RMS) shall be capable of reporting signal location, battery voltage / battery history and system default. Provide a password protected website viewable from any computer with internet capability for the RMS. In the event of a system default, the RMS shall provide specific information concerning the cause of the system default (i.e. red lamp on signal number 1). Equip the RMS with a mechanism capable of immediately contacting a minimum of three previously designated individuals via text messaging and/or email upon a default.

The running program operating the PTS units shall be always available and viewable through the RMS website. Maintain a history of the RMS operating system in each signal including operating hours and events and the location of the PTS units.

### **Trailer / Cart**

The AFAD and PTS units may be mounted on either a trailer or a moveable cart system.

Finish all exterior metal surfaces with Federal orange enamel per AMS-STD-595, color chip ID# 13538 or 12473 respectively with a minimum paint thickness of 2.5 mils (64 microns).

Design and test the AFAD or PTS units trailer / cart to withstand an 80 MPH wind load while in the operational position. Provide independent certification that the assembly meets the design wind load.

Equip the AFAD or PTS units with leveling jacks capable of stabilizing the unit in a horizontal position when located on slopes 6:1 or flatter.

Equip trailers in compliance with North Carolina Law governing motor vehicles and include a 12-volt trailer lighting system complying *with Federal Motor Carrier Safety Regulations 393*, safety chains and a minimum 2 inch ball hitch.

Provide a minimum 4 inch wide strip of fluorescent conspicuity sheeting retroreflective sheeting to the frame of the trailer. Apply the sheeting to all sides of the trailer. The sheeting shall meet the ASTM requirements of Type VII, VIII or IX.

### **Power System**

Design the systems to operate both with and without an external power source. Furnish transmitters, generators, batteries, controls and all other components necessary to operate the device.

Provide equipment that is solar powered and supplemented with a battery backup system that includes a minimum 110/120 VAC powered on-board charging system capable of powering the unit for 7 continuous days with no solar power. Each unit shall also be capable of being powered by standard 110/120 VAC power sources, if applicable.

Locate batteries and electronic controls in a locked, weather and vandal resistant housings.

**Page 11-14, Article 1150-3, CONSTRUCTION METHODS**, add the following after line 11:

Flaggers shall have a path to escape an errant approaching vehicle at all times, unimpeded by barrier, guardrail, guiderail, parked vehicles, construction materials, slopes steeper than 2:1, or any other obstruction at all times. If an unimpeded path cannot be maintained, the Contractor shall use AFAD or PTS units in lieu of a flagger.

Provide documentation to the Engineer prior to deploying the device that the AFAD or PTS units operator(s) are qualified flagger(s) that have been properly trained through an NCDOT approved training agency or other NCDOT approved training provider and that the qualified flagger(s) have received manufacturer training to operate that specific device. This training shall include proper installation, remote control operation, central control systems and maintenance of the AFAD or PTS units. The training shall take place off the project site where training conditions are removed from live traffic. The documentation shall include the names of the authorized trainer, the trainees, the device on which they have been trained and the date of the training. Provide updated documentation to the Engineer prior to deploying any additional operators.

Install advance warning signs and operate AFADs in accordance with the attached detail drawings in this provision.

Install advance warning signs and operate PTS units in accordance with *NCDOT Roadway Standard Drawings* No. 1101.02, Sheet 17.

AFAD and PTS units shall only be used in situations where there is only one lane of approaching traffic in the direction to be controlled. **At no time shall an AFAD unit controlling traffic through the work area be placed in an autonomous mode and/or left unattended.**

Signal timing and operation of PTS units shall be field verified and accepted by the Engineer before use.

Do not use AFAD or PTS units in locations where queueing from the AFAD or PTS units will extend to within 150 feet of a signalized intersection or railroad crossing. Do not use AFAD and PTS units as a substitute for or a replacement for a continuously operating temporary traffic control signal as described in Section 6F.84 of the MUTCD.

If used at night, illuminate each AFAD or PTS units as described in Section 6D of the MUTCD.

Provide a complete AFAD or PTS units that is capable of being relocated as traffic conditions demand.

If AFADs or PTS units become inoperative, be prepared at all times to replace the unit with the same type and model of AFAD or PTS units, revert to human flagging operations or terminate all construction activities requiring the use of the AFAD or PTS units until the AFAD or PTS units become operative or qualified human flaggers are available.

When the work requiring the AFAD or PTS units is not pursued for 30 minutes or longer, power off each AFAD or PTS units. Removed the AFAD or PTS units from the travel lane and relocated to a minimum of 5 feet from the edge line. AFAD gate arms shall be in the upright position. Remove all traffic control devices from the road, place two cones by each AFAD or PTS units and all signs associated with the lane closure operation shall be removed or laid down. At the end of each workday, remove all AFADs or PTS units from the roadway and shoulder areas.

Ensure the system's wireless communication links continuously monitor and verify proper transmission and reception of data used to monitor and control each AFAD or PTS units. Ensure ambient mobile or other radio transmissions or adverse weather conditions do not affect the system.

In the event of a loss of communications, immediately display the flashing RED or STOP indication on all AFAD or PTS units.

### **AFAD Specific Construction Methods**

The flagger/operator controlling the AFAD units shall be on the project site at all times. If multiple AFAD units are used, one AFAD unit shall be the Main AFAD unit and all other units shall be remote AFAD units. Ensure that each device meets the physical display and operational characteristics as specified in the MUTCD.

Multiple AFAD units may be controlled with **one** flagger/operator when the AFAD units meet each of the following requirements:

- (1) AFAD units are spaced no greater than the manufacturer's recommendations.
- (2) Both AFAD units can be seen at the same time from the flagger/operator's position, or the AFAD is operating on its own secure network with malfunction detection and notification to the flagger/operator.
- (3) The flagger/operator has an unobstructed view of approaching traffic in both directions from the flagger/operator position or the AFAD is operating on its own secure network, with cameras that provide the flagger/operator an unobstructed view of approaching traffic from both directions. The flagger/operator may control the AFAD units from a pilot vehicle.

If any of the above requirements are not met, flagger/operator control each AFAD unit.

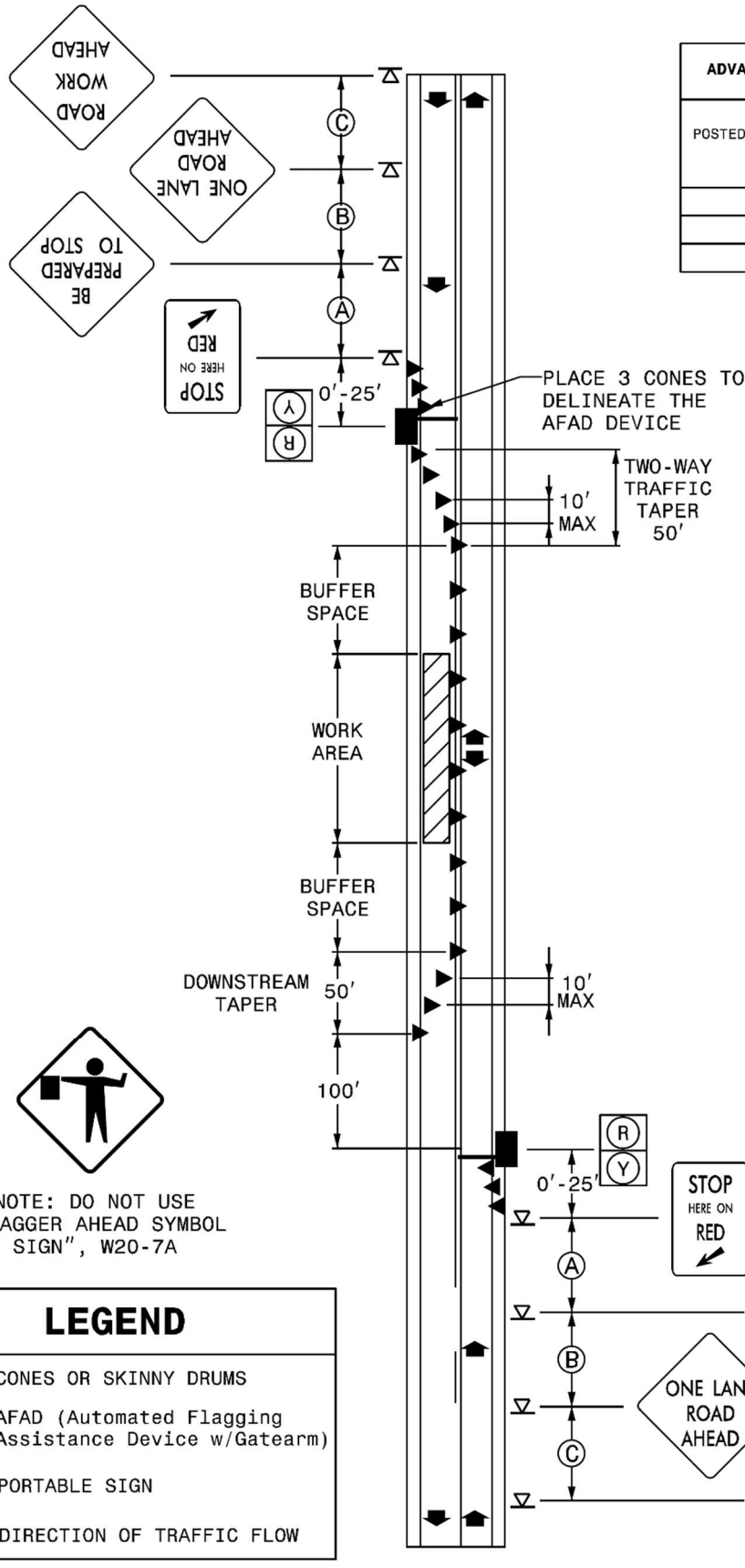
AFAD operators may either control traffic at side streets or driveways between the AFAD units or operate the pilot car while operating the AFAD system if approved by the Engineer. AFAD units must continue to be within clear sight of the operator during these work activities.

**Page 11-14, Article 1150-4, MEASUREMENT AND PAYMENT**, add the following after line 24:

Each AFAD or PTS unit will be measured and paid for as *Flaggers* paid by day in accordance with Article 1150-4 of the *Standard Specifications*. Where the pay item for *Flaggers* is not included in the original contract then no separate payment will be made for this item and payment will be included in the lump sum price bid for *Temporary Traffic Control* found elsewhere in this contract. Each approach controlled by AFAD or PTS units will be measured and paid as one flagger, irrespective of the number of devices used. If multiple PTS units are required to control a single approach, these units will collectively be considered as replacing one flagger.

No separate measurement or payment will be made for AFAD or PTS unit operators, as the cost of such including their training and operational costs shall be included in the unit or lump sum price for *Flaggers* or *Temporary Traffic Control*. Such price and payment also includes the relocation, maintenance, and removal during repair periods of AFAD or PTS units as well as the signal controller, communication, vehicle detection system, traffic signal software of PTS units and any other incidentals necessary to complete the work.

### Red/Yellow Lens AFAD (TYPE I)



ADVANCE WARNING SIGN SPACING CHART			
POSTED SPEED LIMIT (MPH)	RECOMMENDED DISTANCE BETWEEN SIGNS FEET (+/-) SEE NOTE #1		
	(A)	(B)	(C)
≤ 35	200	200	200
40-50	350	350	350
55	500	500	500

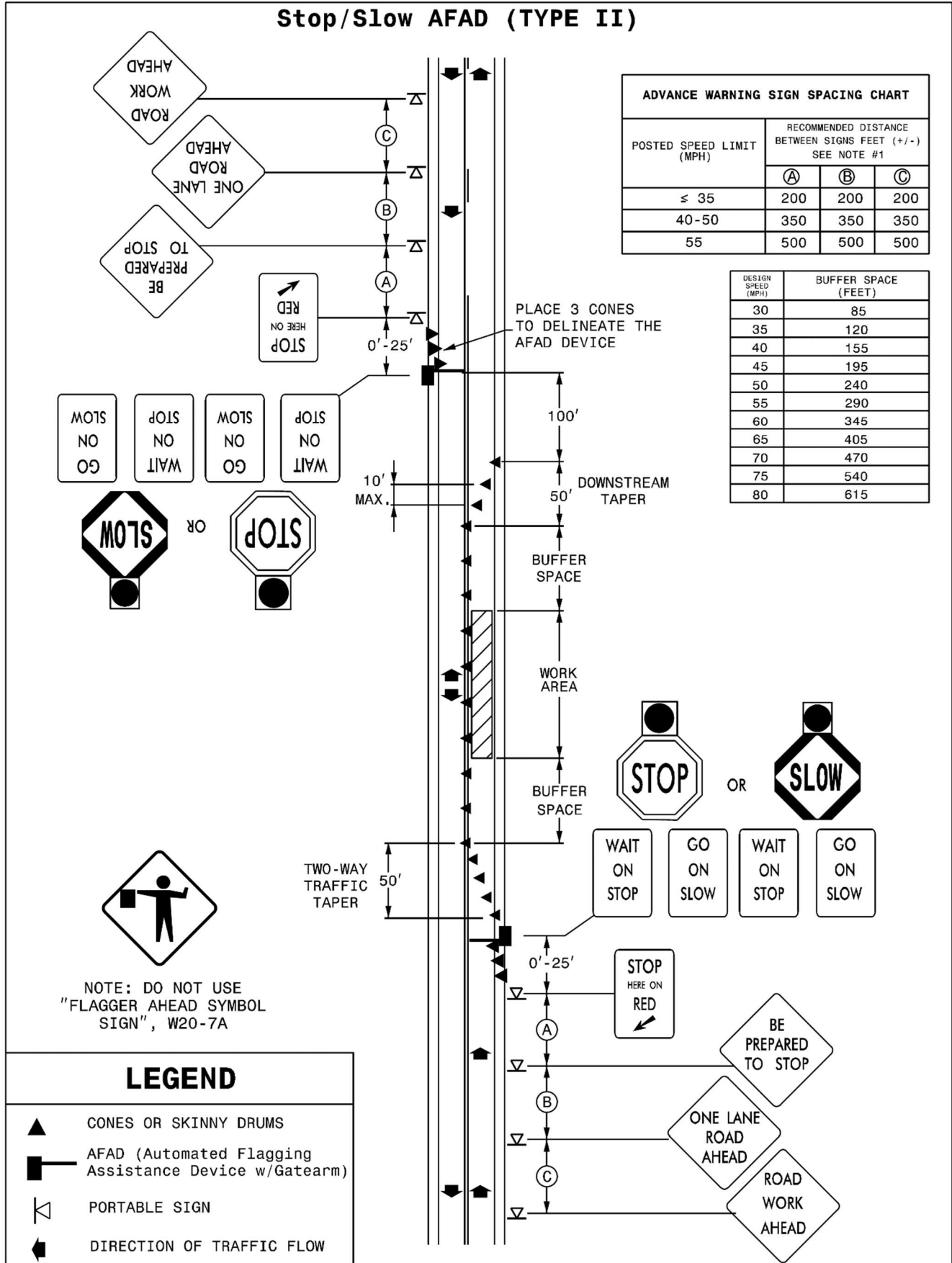
DESIGN SPEED (MPH)	BUFFER SPACE (FEET)
30	85
35	120
40	155
45	195
50	240
55	290
60	345
65	405
70	470
75	540
80	615

LEGEND	
	CONES OR SKINNY DRUMS
	AFAD (Automated Flagging Assistance Device w/Gatearm)
	PORTABLE SIGN
	DIRECTION OF TRAFFIC FLOW

**Stop/Slow AFAD (TYPE II)**

ADVANCE WARNING SIGN SPACING CHART			
POSTED SPEED LIMIT (MPH)	RECOMMENDED DISTANCE BETWEEN SIGNS FEET (+/-) SEE NOTE #1		
	(A)	(B)	(C)
≤ 35	200	200	200
40-50	350	350	350
55	500	500	500

DESIGN SPEED (MPH)	BUFFER SPACE (FEET)
30	85
35	120
40	155
45	195
50	240
55	290
60	345
65	405
70	470
75	540
80	615



NOTE: DO NOT USE "FLAGGER AHEAD SYMBOL SIGN", W20-7A

**LEGEND**

- CONES OR SKINNY DRUMS
- AFAD (Automated Flagging Assistance Device w/Gatearm)
- PORTABLE SIGN
- DIRECTION OF TRAFFIC FLOW

**PORTABLE CONCRETE BARRIER:**

(12-17-24)

1170

SP11 R70

Revise the *Standard Specifications* as follows:

**Page 11-17, Subarticle 1170-3(A)(1) Portable Concrete Barrier**, after line 25, add the following:

For MASH approved F-Shape K-Wall, install anchorage transitions between unanchored portable concrete barrier and temporary crash cushions, and between unanchored portable concrete barrier and portable concrete barrier (anchored) as shown in the *Roadway Standard Drawings*, No. 1170.01.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 16**, after the second sentence of the first paragraph add the following:

Crash cushion to unanchored concrete requires a transition

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 13**, delete and replace “*Portable Concrete Barrier (\_\_\_\_)*” with “*Portable Concrete Barrier*”.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 16**, after the second sentence of the first paragraph add the following:

As shown in the *Roadway Standard Drawings*, No. 1170.01, anchorage transition sections between *Portable Concrete Barrier* and *Temporary Crash Cushions* as found in Section 1160 will be measured and paid as *Portable Concrete Barrier*. No additional payment will be made for equipment, materials or labor to meet the anchorage transition requirements.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 16**, after the first paragraph add the following:

*Portable Concrete Barrier (Anchored)* will be measured and paid as the maximum number of linear feet furnished, satisfactorily installed, accepted by the Engineer, maintained and removed, at any one time during the life of the project, including anchorage transition sections between portable concrete barrier and portable concrete barrier (anchored) as shown in the *Roadway Standard Drawings*, No. 1170.01. Measurement will be made by counting the number of barrier units used and multiplying by the length of a unit.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 21**, delete and replace “*Remove and Reset Portable Concrete Barrier (\_\_\_\_)*” with “*Remove and Reset Portable Concrete Barrier*”.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 24**, after the second sentence of the third paragraph add the following:

As shown in the *Roadway Standard Drawings*, No. 1170.01, anchorage transition sections between *Portable Concrete Barrier* and *Temporary Crash Cushions* as found in Section 1160 will be measured and paid as *Remove and Reset Portable Concrete Barrier*. No additional

payment will be made for equipment, materials or labor to meet the anchorage transition requirements.

**Page 11-19, Article 1170-4 MEASUREMENT AND PAYMENT, line 28**, after the third paragraph add the following:

*Remove and Reset Portable Concrete Barrier (Anchored)* will be measured and paid as the number of linear feet of barrier moved from one location on the project to another location on the project, including anchorage transition sections between portable concrete barrier and portable concrete barrier (anchored) as shown in the Roadway Standard Drawings, No. 1170.01. Measurement will be made by counting the number of barrier units moved during any one move and multiplying by the length of a unit. Where barrier units are moved more than once, each move will be measured separately. Whenever the Engineer directs the Contractor to move barrier units from an installed location to a stockpile either on or off the project and then back to another installed location, the complete move from the first installed location to the next installed location will be measured as 2 moves.

**PAVEMENT MARKINGS:**

(5-19-26)

1087, 1205

SP12 R04

Revise the *Standard Specifications* as follows:

**Page 10-185, Subarticle 1087-2(A) Paint Composition, line 15**, replace “TT--P-1952” with “TT--P-1952, Type III”.

**Page 10-185, Subarticle 1087-2(C) Thermoplastic Composition, lines 28-33**, replace the first through third paragraph and the table with the following:

**(C) Thermoplastic and Integrated Multipolymer (IMP) Composition**

Use thermoplastic alkyd/maleic pavement markings composed of the materials in Table 1087-1A and integrated multipolymer markings composed of the materials in Table 1087-1B.

<b>TABLE 1087-1A PHYSICAL PROPERTIES OF THERMOPLASTIC PAVEMENT MARKINGS</b>	
<b>Component</b>	<b>By Weight</b>
Alkyd/Maleic Binder	18.0% Min
Premixed Glass Beads (AASHTO M 247 – Type 3)	40.0% Min
Titanium Dioxide Pigment (ASTM D476 Type 2)	10.0% Min. (white) 1.5% Min. (yellow)

<b>TABLE 1087-1B PHYSICAL PROPERTIES OF INTEGRATED MULTIPOLYMER (IMP) PAVEMENT MARKINGS</b>	
<b>Component</b>	<b>By Weight</b>
Binder	21.0% Min

Premixed Glass Beads (AASHTO M 247 – Type 3)	40.0% Min
Titanium Dioxide Pigment (ASTM D476 Type 2)	10.0% Min. (white only)
Inert Filler	15% Max white / 24% Max Yellow

Use white material that does not contain anatase titanium dioxide pigment. Use yellow material that does not contain any intentionally added lead chromate.

Calcium carbonate and inert fillers may be used by the manufacturer, providing all other qualifications are met.

**Page 10-185, Subarticle 1087-2(C) Thermoplastic Composition, line 34,** replace “thermoplastic” with “material”.

**Page 10-185, Subarticle 1087-2(C) Thermoplastic Composition, line 36,** replace “alkyd/maleic binder” with “binder”.

**Page 10-185, Subarticle 1087-2(C) Thermoplastic Composition, line 38,** replace “maleic-modified glycerol of resin” with “rosin ester”.

**Page 10-186, Subarticle 1087-2(C) Thermoplastic Composition,** insert the following paragraph after line 2:

The integrated multipolymer binder shall consist of a mixture of modified rosin ester, polyamide and a copolymer (e.g. EVA) with waxes and/or plasticizers. At least 3% of the total formulation weight shall be 100% polyamide. The binder shall contain no petroleum hydrocarbon resins.

**Page 10-186, Subarticle 1087-2(C) Thermoplastic Composition, lines 3, 6, 9 and 11,** replace “thermoplastic material” with “material”.

**Page 10-186, Article 1087-3 COLOR, after line 27,** replace “Crystal: Color No. 17886 (White)” with “White: Color No. 17925”.

**Page 10-186, Subarticle 1087-4(A) Composition, lines 31-34,** replace the second paragraph with the following:

Manufacture the beads from 100% recycled or virgin non-pigmented glass from a composition designed to be highly resistant to traffic wear and to the effects of weathering. All intermix and drop-on glass beads shall be manufactured using 100% North American recycled glass cullet, virgin North American glass or a combination of the two.

**Page 10-187, Subarticle 1087-4(C) Gradation & Roundness, lines 4-6,** replace the first paragraph and table with the following:

Use drop-on and intermixed glass beads in all pavement markings with at least 80% true spheres when tested in accordance with ASTM D1155. Drop-on glass beads for permanent pavement markings shall be high performance glass beads listed on the NCDOT APL. If otherwise specified, or at the direction of the Engineer, other drop-on reflective media may be used on

permanent markings. Drop-on glass beads used on any interim or temporary pavement markings shall meet Table 1087-2.

<b>TABLE 1087-2 GLASS BEAD GRADATION REQUIREMENTS</b>		
<b>Sieve Size</b>	<b>Gradation Requirements</b>	
	<b>Minimum</b>	<b>Maximum</b>
Passing #20	95%	100%
Retained on #30	5%	20%
Retained on #50	40%	80%
Retained on #80	15%	50%
Passing #80	0%	10%
Retained on #200	0%	5%

**Page 10-187, Article 1087-5 PACKAGING FOR SHIPMENT, line 31,** replace “Thermoplastic pavement marking materials” with “Thermoplastic and integrated multipolymer pavement marking materials”.

**Page 10-188, Subarticle 1087-7(B) Thermoplastic Pavement Marking Material Composition, line 17,** replace “(B) Thermoplastic Pavement Marking Material Composition” with “(B) Thermoplastic and Integrated Multipolymer Pavement Marking Material Composition”.

**Page 10-188, Subarticle 1087-7(C) Flash Point, line 22,** replace “thermoplastic” with “thermoplastic and integrated multipolymer material”.

**Page 10-188, Subarticle 1087-7(D) Requirements, line 25,** replace “thermoplastic material” with “thermoplastic and integrated multipolymer material”.

**Page 10-189, Subarticle 1087-7(D), after line 15,** add the following:

(9) Tensile Elongation

The integrated multipolymer material shall have an elongation of at least 10% when tested in accordance with ASTM D638 (1"x 6", 0.25"/min).

(10) Abrasion Resistance

The integrated multipolymer material shall have no more than 0.40 g weight loss following taber abrasion with CS17 wheels for 1000 cycles with 1 kg weight per ASTM D4060.

**Page 10-189, Article 1087-8 MATERIAL CERTIFICATION, lines 22-23,** replace the third paragraph with the following:

Reflective media not covered in this, or other specifications must meet AASHTO M 247 Type 3 or 4 and a NCDOT Type 2 Material Certification must be provided.

**Page 10-189, Article 1087-8 MATERIAL CERTIFICATION, after line 23**, add the following to the table:

Integrated Multipolymer	Type 2 Material Certification and Type 3 Material Certification
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**Page 12-3, Subarticle 1205-3(F) Surface Preparation and Curing Compound Removal, line 8**, add the following as a second sentence of the first paragraph:

Only apply markings to dry clean surfaces.

**Page 12-4, Subarticle 1205-3(G)(1) General for all types of Pavement Markings, line 16**, replace the first sentence of the eighth paragraph with the following:

Protect the pavement markings until they are track free before exposing them to traffic.

**Page 12-4, Subarticle 1205-3(G)(1) General for all types of Pavement Markings, lines 22-24**, delete the second sentence of the tenth paragraph.

**Page 12-4, Subarticle 1205-3(G)(1) General for all types of Pavement Markings, after line 24**, replace “White: Color No. 17886” with “White: Color No. 17295”.

**Page 12-5, Subarticle 1205-3(I) Removal of Pavement Markings, line 32**, add the following as the third sentence of the seventh paragraph:

Before applying integrated multipolymer (IMP) pavement markings over existing thermoplastic pavement markings, remove at least 85% of the oxidized existing thermoplastic.

**Page 12-5, Subarticle 1205-3(I) Removal of Pavement Markings, line 35**, replace “thermoplastic” with “thermoplastic or IMP”.

**Page 12-6, Article 1205-4 THERMOPLASTIC, line 3**, replace “1205-4 THERMOPLASTIC” with “1205-4 THERMOPLASTIC AND INTEGRATED MULTIPOLYMER (IMP)”.

**Page 12-6, Subarticle 1205-4(A) Application Equipment, lines 7, 16, 20, 24, 25, 27 and 29**, delete “thermoplastic”

**Page 12-6, Subarticle 1205-4(B) Weather Limitations and Seasonal Limitations, line 38**, replace “thermoplastic pavement markings” with “thermoplastic or integrated multipolymer pavement markings”.

**Page 12-6, Subarticle 1205-4(B) Weather Limitations and Seasonal Limitations, line 42**, delete “thermoplastic”.

**Page 12-7, Subarticle 1205-4(C) Application, lines 2, 3, 10, 21 and 31**, delete “thermoplastic”.

**Page 12-7, Subarticle 1205-4(C) Application, after line 17**, in Table 1205-2 MINIMUM REFLECTOMETER REQUIREMENTS FOR THERMOPLASTIC replace “Standard Glass

Beads” with “High Performance Glass Beads” and for the Reflectivity of White replace “375 mcd/lux/m<sup>2</sup>” with “425 mcd/lux/m<sup>2</sup>” and Yellow replace “250 mcd/lux/m<sup>2</sup>” with “325 mcd/lux/m<sup>2</sup>”

**Page 12-7, Subarticle 1205-4(C) Application, line 23,** replace “thermoplastic pavement marking” with “final pavement marking”.

**Page 12-7, Subarticle 1205-4(C) Application, after line 32,** replace “**TABLE 1205-3 THICKNESS REQUIREMENTS FOR THERMOPLASTIC**” with “**TABLE 1205-3 THICKNESS REQUIREMENTS FOR THERMOPLASTIC AND INTEGRATED MULTIPOLYMER**”.

**Page 12-9, Subarticle 1205-5(C) Observation Period, before line 1,** replace “375 mcd/lux/m<sup>2</sup>” with “425 mcd/lux/m<sup>2</sup>” and replace “250 mcd/lux/m<sup>2</sup>” with “325 mcd/lux/m<sup>2</sup>”.

**Page 12-11, Subarticle 1205-8(C) Application, lines 17-20,** replace the first paragraph with the following:

Final pavement marking application of paint shall be placed in 1 application of 30 mils wet each and consist of reflective media applied at a rate to immediately obtain the minimum retroreflective values.

**Page 12-11, Subarticle 1205-8(C) Application, line 26,** delete “15 mil”.

**Page 12-11, Subarticle 1205-8(C) Application, after line 31,** replace Table 1205-6 with the following:

<b>TABLE 1205-6 REFLECTOMETER REQUIREMENTS FOR PAINT</b>		
<b>Item</b>	<b>Color</b>	<b>Reflectivity</b>
Standard Glass Beads	White	225 mcd/lux/m <sup>2</sup>
	Yellow	200 mcd/lux/m <sup>2</sup>
High Performance Glass Beads	White	425 mcd/lux/m <sup>2</sup>
	Yellow	325 mcd/lux/m <sup>2</sup>

**Page 12-12, Article 1205-10 MEASUREMENT AND PAYMENT, lines 5-7,** delete the second sentence of the first paragraph.

**Page 12-12, Article 1205-10 MEASUREMENT AND PAYMENT, lines 12-14,** delete the second sentence of the second paragraph.

**Page 12-12, Article 1205-10 MEASUREMENT AND PAYMENT, lines 17-19,** delete the third sentence of the third paragraph.

**Page 12-12, Article 1205-10 MEASUREMENT AND PAYMENT, lines 40-43,** replace the second sentence of the ninth paragraph with the following:

No direct payment will be made for the work involved in applying the lines, including but not limited to surface preparation, reapplication of molten pavement marking crossed by a vehicle, removal of all pavement marking materials spilled on the roadway surface, and repair of markings tracked by a vehicle.

**Page 12-13, Article 1205-10 MEASUREMENT AND PAYMENT, after line 12,** add the following to the pay item and pay unit list:

<b>Pay Item</b>	<b>Pay Unit</b>
Integrated Multipolymer Pavement Marking Lines, __", __ mils	Linear Foot
Integrated Multipolymer Pavement Marking Symbols, __ mils	Each
Integrated Multipolymer Pavement Marking Characters, __ mils	Each

**PERMANENT SEEDING AND MULCHING:**

(7-1-95)(Rev. 1-16-24)

1660

SP16 R02

The Department desires that permanent seeding and mulching be established on this project as soon as practical after slopes or portions of slopes have been graded. As an incentive to obtain an early stand of vegetation on this project, the Contractor's attention is called to the following:

For all permanent seeding and mulching that is satisfactorily completed in accordance with the requirements of Section 1660 in the *Standard Specifications* and within the following percentages of elapsed contract times, an additional payment will be made to the Contractor as an incentive additive. The incentive additive will be determined by multiplying the number of acres of seeding and mulching satisfactorily completed times the contract unit bid price per acre for Seeding and Mulching times the appropriate percentage additive.

Percentage of Elapsed Contract Time	Percentage Additive
0% - 30%	30%
30.01% - 50%	15%

Percentage of elapsed contract time is defined as the number of calendar days from the date of availability of the contract to the date the permanent seeding and mulching is acceptably completed divided by the total original contract time.

**COIR FIBER MAT:**

(9-16-25)

1629

SP16 R05

**Page 16-9, Article 1629-2 MATERIALS, lines 22-24,** delete and replace the last paragraph with the following:

Provide #3 or #4 uncoated reinforcing steel anchors, 24 inches in length, bent into a U-shape with a 4-inch diameter bend and a 4-inch straight leg extending from the bend to catch and secure the coir fiber mat.

**WATTLE DEVICES:**

(1-1-24)(Rev. 9-16-25)

1642

SP16 R10

Page 16-23, Subarticle 1642-2(B) Wattle, lines 10-12, delete and replace with the following:

**(B) Wattle and Wattle Barrier**

Wattles shall meet Table 1642-1.

<b>TABLE 1642-1</b>	
<b>100% CURLED WOOD (EXCELSIOR) FIBERS - WATTLE</b>	
<b>Property</b>	<b>Property Value</b>
Minimum Diameter	12 inches
Minimum Density	2.5 pcf +/- 10%
Net Material	Synthetic
Net Openings	1 inch x 1 inch
Net Configuration	Totally Encased
Minimum Weight	20 lb +/- 10% per 10 foot length

Coir Fiber Wattles shall meet Table 1642-2.

<b>TABLE 1642-2</b>	
<b>100% COIR (COCONUT) FIBERS WATTLE</b>	
<b>Property</b>	<b>Property Value</b>
Minimum Diameter	12 inches
Minimum Density	3.5 pcf +/- 10%
Net Material	Coir Fiber
Net Openings	2 inch x 2 inch
Net Strength	90 lb
Minimum Weight	2.6 pcf +/- 10%

Wattle Barriers shall meet Table 1642-3.

<b>TABLE 1642-3</b>	
<b>100% CURLED WOOD (EXCELSIOR) FIBERS – WATTLE BARRIER</b>	
<b>Property</b>	<b>Property Value</b>
Minimum Diameter	18 inches
Minimum Density	2.9 pcf +/- 10%
Net Material	Synthetic
Net Openings	1 inch x 1 inch
Net Configuration	Totally Encased
Minimum Weight	5 pcf +/- 10%

Coir Fiber Wattle Barriers shall meet Table 1642-4.

<b>TABLE 1642-4 100% COIR (COCONUT) FIBERS WATTLE BARRIER</b>	
<b>Property</b>	<b>Property Value</b>
Minimum Diameter	18 inches
Minimum Density	5 pcf +/- 10%
Net Material	Coir Fiber
Net Openings	2 inch x 2 inch
Net Strength	90 lb
Minimum Weight	10 pcf +/- 10%

**Pages 16-24 & 16-25, Article 1642-5 MEASUREMENT AND PAYMENT, lines 42-47 & lines 1-2,** delete and replace with the following:

*Wattle* will be measured and paid for by the actual number of linear feet of wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Wattle*.

*Coir Fiber Wattles* will be measured and paid for by the actual number of linear feet of coir fiber wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Coir Fiber Wattles*.

*Wattle Barrier* will be measured and paid as the actual number of linear feet of wattle barrier installed and accepted. Such price and payment will be full compensation for all work covered by this provision, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Wattle Barrier*.

*Coir Fiber Wattle Barrier* will be measured and paid as the actual number of linear feet of coir fiber wattle barrier installed and accepted. Such price and payment will be full compensation for all work covered by this provision, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Coir Fiber Wattle Barrier*.

**Page 16-25, Article 1642-5 MEASUREMENT AND PAYMENT, after line 9,** delete and replace “ \_\_\_ Wattle Check” with “Wattle”.

**Page 16-25, Article 1642-5 MEASUREMENT AND PAYMENT, after line 9,** delete and replace “ \_\_\_ Wattle Barrier” with “Wattle Barrier”.

**Page 16-25, Article 1642-5 MEASUREMENT AND PAYMENT, after line 9,** add the following:

<b>Pay Item</b>	<b>Pay Unit</b>
Coir Fiber Wattle	Linear Foot
Coir Fiber Wattle Barrier	Linear Foot

**STANDARD SPECIAL PROVISION**  
**AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS**

(5-20-08)(Rev. 1-16-24)

Z-2

*General Statute 143C-6-11. (h) Highway Appropriation* is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(D) of the *Standard Specifications*.

**STANDARD SPECIAL PROVISION**  
**NCDOT GENERAL SEED SPECIFICATION FOR SEED QUALITY**

(5-17-11)

Z-3

Seed shall be sampled and tested by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory. When said samples are collected, the vendor shall supply an independent laboratory report for each lot to be tested. Results from seed so sampled shall be final. Seed not meeting the specifications shall be rejected by the Department of Transportation and shall not be delivered to North Carolina Department of Transportation warehouses. If seed has been delivered it shall be available for pickup and replacement at the supplier's expense.

Any re-labeling required by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory, that would cause the label to reflect as otherwise specified herein shall be rejected by the North Carolina Department of Transportation.

Seed shall be free from seeds of the noxious weeds Johnsongrass, Balloonvine, Jimsonweed, Witchweed, Itchgrass, Serrated Tussock, Showy Crotalaria, Smooth Crotalaria, Sicklepod, Sandbur, Wild Onion, and Wild Garlic. Seed shall not be labeled with the above weed species on the seed analysis label. Tolerances as applied by the Association of Official Seed Analysts will NOT be allowed for the above noxious weeds except for Wild Onion and Wild Garlic.

Tolerances established by the Association of Official Seed Analysts will generally be recognized. However, for the purpose of figuring pure live seed, the found pure seed and found germination percentages as reported by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory will be used. Allowances, as established by the NCDOT, will be recognized for minimum pure live seed as listed on the following pages.

The specifications for restricted noxious weed seed refers to the number per pound as follows:

<u>Restricted Noxious Weed</u>	<u>Limitations per Lb. Of Seed</u>	<u>Restricted Noxious Weed</u>	<u>Limitations per Lb. of Seed</u>
Blessed Thistle	4 seeds	Cornflower (Ragged Robin)	27 seeds
Cocklebur	4 seeds	Texas Panicum	27 seeds
Spurred Anoda	4 seeds	Bracted Plantain	54 seeds
Velvetleaf	4 seeds	Buckhorn Plantain	54 seeds
Morning-glory	8 seeds	Broadleaf Dock	54 seeds
Corn Cockle	10 seeds	Curly Dock	54 seeds
Wild Radish	12 seeds	Dodder	54 seeds
Purple Nutsedge	27 seeds	Giant Foxtail	54 seeds
Yellow Nutsedge	27 seeds	Horsenettle	54 seeds
Canada Thistle	27 seeds	Quackgrass	54 seeds
Field Bindweed	27 seeds	Wild Mustard	54 seeds
Hedge Bindweed	27 seeds		

Seed of Pensacola Bahiagrass shall not contain more than 7% inert matter, Kentucky Bluegrass, Centipede and Fine or Hard Fescue shall not contain more than 5% inert matter whereas a maximum of 2% inert matter will be allowed on all other kinds of seed. In addition, all seed

shall not contain more than 2% other crop seed nor more than 1% total weed seed. The germination rate as tested by the North Carolina Department of Agriculture shall not fall below 70%, which includes both dormant and hard seed. Seed shall be labeled with not more than 7%, 5% or 2% inert matter (according to above specifications), 2% other crop seed and 1% total weed seed.

Exceptions may be made for minimum pure live seed allowances when cases of seed variety shortages are verified. Pure live seed percentages will be applied in a verified shortage situation. Those purchase orders of deficient seed lots will be credited with the percentage that the seed is deficient.

FURTHER SPECIFICATIONS FOR EACH SEED GROUP ARE GIVEN BELOW:

Minimum 85% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 83% pure live seed will not be approved.

Sericea Lespedeza  
Oats (seeds)

Minimum 80% pure live seed; maximum 1% total weed seed; maximum 2% total other crop; maximum 144 restricted noxious weed seed per pound. Seed less than 78% pure live seed will not be approved.

Tall Fescue (all approved varieties)	Bermudagrass
Kobe Lespedeza	Browntop Millet
Korean Lespedeza	German Millet – Strain R
Weeping Lovegrass	Clover – Red/White/Crimson
Carpetgrass	

Minimum 78% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 76% pure live seed will not be approved.

Common or Sweet Sundangrass

Minimum 76% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 74% pure live seed will not be approved.

Rye (grain; all varieties)  
Kentucky Bluegrass (all approved varieties)  
Hard Fescue (all approved varieties)  
Shrub (bicolor) Lespedeza

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 noxious weed seed per pound. Seed less than 70% pure live seed will not be approved.

Centipedegrass	Japanese Millet
Crownvetch	Reed Canary Grass
Pensacola Bahiagrass	Zoysia

Creeping Red Fescue

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 5% inert matter; maximum 144 restricted noxious weed seed per pound.

Barnyard Grass  
Big Bluestem  
Little Bluestem  
Bristly Locust  
Birdsfoot Trefoil  
Indiangrass  
Orchardgrass  
Switchgrass  
Yellow Blossom Sweet Clover

**STANDARD SPECIAL PROVISION**  
**ERRATA**

(1-16-24)(Rev. 5-19-26)

Z-4

Revise the *2024 Standard Specifications* as follows:

**Division 1**

**Page 1-1, Article 101-2 ABBREVIATIONS, line 25**, replace "American Wood-Preservers' Association" with "American Wood Protection Association".

**Page 1-18, Article 102-10 BID BOND OR BID DEPOSIT, line 26**, replace " Subarticle 102-8(A)(8)(b)" with "Subarticle 102-8(A)(12)(b)".

**Page 1-36, Subarticle 104-12(B) Evaluation of Proposals, line 21**, replace "Design-Build Unit" with "Alternative Delivery Unit".

**Page 1-36, Subarticle 104-12(D) Preliminary Review, line 37**, replace "Design-Build Unit" with "Alternative Delivery Unit".

**Page 1-37, Subarticle 104-12(E) Final Proposal, line 3**, replace "Design-Build Unit" with "Alternative Delivery Unit".

**Page 1-37, Subarticle 104-12(F) Design-Build VEPs, line 36**, replace "Design-Build Unit" with "Alternative Delivery Unit".

**Page 1-38, Subarticle 104-12(G) Modifications, line 1**, replace "Design-Build Unit" with "Alternative Delivery Unit".

**Division 3**

**Page 3-5, Article 305-2 MATERIALS, after line 16**, replace " 1032-3(A)(7)" with "1032-3" and add the item "Galvanized Corrugated Steel Pipe" with Section "1032-3".

**Page 3-6, Article 310-2 MATERIALS, after line 9**, add the item "Galvanized Corrugated Steel Pipe" with Section "1032-3".

**Division 6**

**Page 6-15, Article 610-1 DESCRIPTION, line 20**, replace "The work includes" with "The work includes, but is not limited to,".

**Page 6-15, Article 610-1 DESCRIPTION, line 22**, replace "applying the tack coat as specified." with "applying the tack coat in accordance with Section 605.".

**Page 6-30, Article 610-14 DENSITY ACCEPTANCE, line 39**, replace "QC process." with "QC process in accordance with Section 609.".

**Page 6-31, Article 610-16 MEASUREMENT AND PAYMENT, line 13**, replace "*Hot Mix Asphalt Pavement*" with "*Asphalt Concrete \_\_\_\_\_ Course, Type \_\_\_\_\_*".

**Page 6-50, Subarticle 661-4(A) Equipment, lines 4-7**, replace the first two sentences of the seventh paragraph with the following:

When an erected fixed stringline is utilized for longitudinal profile and cross slope control furnish and erect the necessary guide line for the equipment.

#### Division 7

**Page 7-18, Subarticle 710-10(A) General, lines 7-8**, delete "for *Surface Testing Concrete Pavement*" from the last paragraph.

#### Division 8

**Page 8-27, Article 846-1 DESCRIPTION, line 8**, delete "4 inch" from the first paragraph.

#### Division 9

**Page 9-17, Article 904-4 MEASUREMENT AND PAYMENT, prior to line 1**, replace " Sign Erection, Relocate Type (Ground Mounted)" with "Sign Erection, Relocate Type \_\_\_ (Ground Mounted)".

#### Division 10

**Page 10-51, Article 1024-4 WATER, prior to line 1**, delete the "unpopulated blank row" in Table 1024-2 between "Time of set, deviation from control" and "Chloride Ion Content, Max.".

**Page 10-170, Subarticle 1081-1(C) Requirements, line 4**, replace "maximum" with "minimum".

#### Division 11

**Page 11-15, Article 1160-4 MEASUREMENT AND PAYMENT, line 24**, replace "Where barrier units are moved more than one" with "Where barrier units are moved more than once".

#### Division 15

**Page 15-10, Article 1515-4 MEASUREMENT AND PAYMENT, lines 11**, replace " All piping" with "All labor, the manhole, other materials, excavation, backfilling, piping".

#### Division 16

**Page 16-14, Article 1633-5 MEASUREMENT AND PAYMENT, line 20-24 and prior to line 25**, delete and replace with the following " *Flocculant* will be measured and paid in accordance with Article 1642-5 applied to the temporary rock silt checks."

**Page 16-3, Article 1609-2 MATERIALS, after line 26, replace "Type 4" with "Type 4a".**

**Page 16-25, Article 1644-2 MATERIALS, after line 22, replace "Type 4" with "Type 4a".**

**Page 16-27, Article 1644-5 MEASUREMENT AND PAYMENT, line 31, replace "Article 1630-4" with "Article 1630-3".**

**Division 17**

**Page 17-15, Article 1715-4 MEASUREMENT AND PAYMENT, line 23, delete and replace "1.25" with "1-1/4".**

**Page 17-15, Article 1715-4 MEASUREMENT AND PAYMENT, line 24, delete and replace "(1.25" with " , 1-1/4".**

**STANDARD SPECIAL PROVISION****PLANT AND PEST QUARANTINES****(Imported Fire Ant, Guava Root Knot Nematode, Spongy Moth (formerly known as gypsy moth), Witchweed, Cogon Grass, And Any Other Regulated Noxious Weed or Plant Pest)**

(3-18-03)(Rev. 3-18-25)

Z-04a

**Within Quarantined Area**

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

**Originating in a Quarantined County**

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

**Contact**

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-707-3730, or <https://www.ncagr.gov/divisions/plant-industry/plant-protection/plant-industry-plant-pest-quarantines> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

**Regulated Articles Include**

1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
2. Plants with roots including grass sod.
3. Plant crowns and roots.
4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
5. Hay, straw, fodder, and plant litter of any kind.
6. Clearing and grubbing debris.
7. Used agricultural cultivating and harvesting equipment.
8. Used earth-moving equipment.
9. Any other products, articles, or means of conveyance of any character, if determined by an inspector present a hazard of spreading imported fire ant, guava root knot nematode, spongy moth (formerly known as gypsy moth), witchweed, cogon grass, or other regulated noxious weed or plant pest.

**STANDARD SPECIAL PROVISION****TITLE VI AND NONDISCRIMINATION:**

(6-28-77)(Rev 1/16/2024)

Z-6

The North Carolina Department of Transportation is committed to carrying out the U.S. Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts.

The provisions of this section related to United States Department of Transportation (US DOT) Order 1050.2A, Title 49 Code of Federal Regulations (CFR) part 21, 23 United States Code (U.S.C.) 140 and 23 CFR part 200 (or 49 CFR 303, 49 U.S.C. 5332 or 49 U.S.C. 47123) are applicable to all North Carolina Department of Transportation (NCDOT) contracts and to all related subcontracts, material supply, engineering, architectural and other service contracts, regardless of dollar amount. Any Federal provision that is specifically required not specifically set forth is hereby incorporated by reference.

**(1) Title VI Assurances (USDOT Order 1050.2A, Appendix A)**

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

**(a) Compliance with Regulations**

The contractor (hereinafter includes consultants) shall comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation, Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.

**(b) Nondiscrimination**

The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.

**(c) Solicitations for Subcontractors, Including Procurements of Materials and Equipment**

In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.

**(d) Information and Reports**

The contractor shall provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be

determined by the Recipient or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor shall so certify to the Recipient or the FHWA, as appropriate, and shall set forth what efforts it has made to obtain the information.

(e) Sanctions for Noncompliance:

In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it and/or the FHWA may determine to be appropriate, including, but not limited to:

- (i) Withholding payments to the contractor under the contract until the contractor complies; and/or
- (ii) Cancelling, terminating, or suspending a contract, in whole or in part.

(f) Incorporation of Provisions

The contractor shall include the provisions of paragraphs (a) through (f) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor shall take action with respect to any subcontract or procurement as the Recipient or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

**(2) Title VI Nondiscrimination Program (23 CFR 200.5(p))**

The North Carolina Department of Transportation (NCDOT) has assured the USDOT that, as a condition to receiving federal financial assistance, NCDOT will comply with Title VI of the Civil Rights Act of 1964 and all requirements imposed by Title 49 CFR part 21 and related nondiscrimination authorities to ensure that no person shall, on the ground of race, color, national origin, limited English proficiency, sex, age, or disability (including religion/creed or income-level, where applicable), be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any programs, activities, or services conducted or funded by NCDOT. Contractors and other organizations under contract or agreement with NCDOT must also comply with Title VI and related authorities, therefore:

- (a) During the performance of this contract or agreement, contractors (e.g., subcontractors, consultants, vendors, prime contractors) are responsible for complying with NCDOT's Title VI Program. Contractors are not required to prepare or submit Title VI Programs. To comply with this section, the prime contractor shall:
  1. Post NCDOT's Notice of Nondiscrimination and the Contractor's own Equal Employment Opportunity (EEO) Policy in conspicuous locations accessible to all employees, applicants and subcontractors on the jobsite.

2. Physically incorporate the required Title VI clauses into all subcontracts on federally-assisted and state-funded NCDOT projects, and ensure inclusion by subcontractors into all lower-tier subcontracts.
  3. Required Solicitation Language. The Contractor shall include the following notification in all solicitations for bids and requests for work or material, regardless of funding source:

“The North Carolina Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award. In accordance with other related nondiscrimination authorities, bidders and contractors will also not be discriminated against on the grounds of sex, age, disability, low-income level, creed/religion, or limited English proficiency in consideration for an award.”
  4. Physically incorporate the FHWA-1273, in its entirety, into all subcontracts and subsequent lower tier subcontracts on Federal-aid highway construction contracts only.
  5. Provide language assistance services (i.e., written translation and oral interpretation), free of charge, to LEP employees and applicants. Contact NCDOT OCR for further assistance, if needed.
  6. For assistance with these Title VI requirements, contact the NCDOT Title VI Nondiscrimination Program at 1-800-522-0453.
- (b) Subrecipients (e.g. cities, counties, LGAs, planning organizations) may be required to prepare and submit a Title VI Plan to NCDOT, including Title VI Assurances and/or agreements. Subrecipients must also ensure compliance by their contractors and subrecipients with Title VI. (23 CFR 200.9(b)(7))
- (c) If reviewed or investigated by NCDOT, the contractor or subrecipient agrees to take affirmative action to correct any deficiencies found within a reasonable time period, not to exceed 90 calendar days, unless additional time is granted by NCDOT. (23 CFR 200.9(b)(15))
- (d) The Contractor is responsible for notifying subcontractors of NCDOT’s External Discrimination Complaints Process.
1. Applicability

Title VI and related laws protect participants and beneficiaries (e.g., members of the public and contractors) from discrimination by NCDOT employees, subrecipients and contractors, regardless of funding source.

## 2. Eligibility

Any person—or class of persons—who believes he/she has been subjected to discrimination based on race, color, national origin, Limited English Proficiency (LEP), sex, age, or disability (and religion in the context of employment, aviation, or transit) may file a written complaint. The law also prohibits intimidation or retaliation of any sort.

## 3. Time Limits and Filing Options

Complaints may be filed by the affected individual(s) or a representative and must be filed no later than 180 calendar days after the following:

- (i) The date of the alleged act of discrimination; or
- (ii) The date when the person(s) became aware of the alleged discrimination; or
- (iii) Where there has been a continuing course of conduct, the date on which that conduct was discontinued or the latest instance of the conduct.

Title VI and related discrimination complaints may be submitted to the following entities:

- North Carolina Department of Transportation, Office of Civil Rights, Title VI Program, 1511 Mail Service Center, Raleigh, NC 27699-1511; toll free 1-800-522-0453
- Federal Highway Administration, North Carolina Division Office, 310 New Bern Avenue, Suite 410, Raleigh, NC 27601, 919-747-7010
- US Department of Transportation, Departmental Office of Civil Rights, External Civil Rights Programs Division, 1200 New Jersey Avenue, SE, Washington, DC 20590; 202-366-4070

## 4. Format for Complaints

Complaints must be in writing and signed by the complainant(s) or a representative, and include the complainant's name, address, and telephone number. Complaints received by fax or e-mail will be acknowledged and processed. Allegations received by telephone will be reduced to writing and provided to the complainant for confirmation or revision before processing. Complaints will be accepted in other languages, including Braille.

## 5. Discrimination Complaint Form

Contact NCDOT Civil Rights to receive a full copy of the Discrimination Complaint Form and procedures.

## 6. Complaint Basis

Allegations must be based on issues involving race, color, national origin (LEP), sex, age, disability, or religion (in the context of employment, aviation or transit). "Basis" refers to the complainant's membership in a protected group category.

**TABLE 103-1  
COMPLAINT BASIS**

<b>Protected Categories</b>	<b>Definition</b>	<b>Examples</b>	<b>Applicable Nondiscrimination Authorities</b>
Race and Ethnicity	An individual belonging to one of the accepted racial groups; or the perception, based usually on physical characteristics that a person is a member of a racial group	Black/African American, Hispanic/Latino, Asian, American Indian/Alaska Native, Native Hawaiian/Pacific Islander, White	Title VI of the Civil Rights Act of 1964; 49 CFR Part 21; 23 CFR 200; 49 U.S.C. 5332(b); 49 U.S.C. 47123. ( <i>Executive Order 13166</i> )
Color	Color of skin, including shade of skin within a racial group	Black, White, brown, yellow, etc.	
National Origin ( <i>Limited English Proficiency</i> )	Place of birth. Citizenship is not a factor. ( <i>Discrimination based on language or a person's accent is also covered</i> )	Mexican, Cuban, Japanese, Vietnamese, Chinese	
Sex	Gender. The sex of an individual. <i>Note: Sex under this program does not include sexual orientation.</i>	Women and Men	1973 Federal-Aid Highway Act; 49 U.S.C. 5332(b); 49 U.S.C. 47123.
Age	Persons of any age	21-year-old person	Age Discrimination Act of 1975 49 U.S.C. 5332(b); 49 U.S.C. 47123.
Disability	Physical or mental impairment, permanent or temporary, or perceived.	Blind, alcoholic, para-amputee, epileptic, diabetic, arthritic	Section 504 of the Rehabilitation Act of 1973; Americans with Disabilities Act of 1990
Religion (in the context of employment) ( <i>Religion/ Creed in all aspects of any aviation or transit-related construction</i> )	An individual belonging to a religious group; or the perception, based on distinguishable characteristics that a person is a member of a religious group. In practice, actions taken as a result of the moral and ethical beliefs as to what is right and wrong, which are sincerely held with the strength of traditional religious views. <i>Note: Does not have to be associated with a recognized religious group or church; if an individual sincerely holds to the belief, it is a protected religious practice.</i>	Muslim, Christian, Sikh, Hindu, etc.	Title VII of the Civil Rights Act of 1964; 23 CFR 230; FHWA-1273 Required Contract Provisions. ( <i>49 U.S.C. 5332(b); 49 U.S.C. 47123</i> )

### (3) Pertinent Nondiscrimination Authorities

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest agrees to comply with the following non-discrimination statutes and authorities, including, but not limited to:

- (a) Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.

- (b) The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- (c) Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- (d) Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR Part 27;
- (e) The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- (f) Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- (g) The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- (h) Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- (i) The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- (j) Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures Nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- (k) Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of Limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- (l) Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).
- (m) Title VII of the Civil Rights Act of 1964 (42 U.S.C. § 2000e et seq., Pub. L. 88-352), (prohibits employment discrimination on the basis of race, color, religion, sex, or national origin).

**(4) Additional Title VI Assurances**

*\*\*The following Title VI Assurances (Appendices B, C and D) shall apply, as applicable*

- (a) Clauses for Deeds Transferring United States Property (1050.2A, Appendix B)

The following clauses will be included in deeds effecting or recording the transfer of real property, structures, or improvements thereon, or granting interest therein from the United States pursuant to the provisions of Assurance 4.

NOW, THEREFORE, the U.S. Department of Transportation as authorized by law and upon the condition that the North Carolina Department of Transportation (NCDOT) will accept title to the lands and maintain the project constructed thereon in accordance with the North Carolina General Assembly, the Regulations for the Administration of the Federal-Aid Highway Program, and the policies and procedures prescribed by the Federal Highway Administration of the U.S. Department of Transportation in accordance and in compliance with all requirements imposed by Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation pertaining to and effectuating the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252; 42 U.S.C. § 2000d to 2000d-4), does hereby remise, release, quitclaim and convey unto the NCDOT all the right, title and interest of the U.S. Department of Transportation in and to said lands described in Exhibit A attached hereto and made a part hereof.

(HABENDUM CLAUSE)

TO HAVE AND TO HOLD said lands and interests therein unto the North Carolina Department of Transportation (NCDOT) and its successors forever, subject, however, to the covenants, conditions, restrictions and reservations herein contained as follows, which will remain in effect for the period during which the real property or structures are used for a purpose for which Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits and will be binding on the NCDOT, its successors and assigns.

The NCDOT, in consideration of the conveyance of said lands and interests in lands, does hereby covenant and agree as a covenant running with the land for itself, its successors and assigns, that (1) no person will on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination with regard to any facility located wholly or in part on, over, or under such lands hereby conveyed [,] [and]\* (2) that the NCDOT will use the lands and interests in lands and interests in lands so conveyed, in compliance with all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, Effectuation of Title VI of the Civil Rights Act of 1964, and as said Regulations and Acts may be amended [, and (3) that in the event of breach of any of the above-mentioned nondiscrimination conditions, the Department will have a right to enter or re-enter said lands and facilities on said land, and that above described land and facilities will thereon revert to and vest in and become the absolute property of the U.S. Department of Transportation and its assigns as such interest existed prior to this instruction].\*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary in order to make clear the purpose of Title VI.)

(b) Clauses for Transfer of Real Property Acquired or Improved Under the Activity, Facility, or Program (1050.2A, Appendix C)

The following clauses will be included in deeds, licenses, leases, permits, or similar instruments entered into by the North Carolina Department of Transportation (NCDOT) pursuant to the provisions of Assurance 7(a):

1. The (grantee, lessee, permittee, etc. as appropriate) for himself/herself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree [in the case of deeds and leases add "as a covenant running with the land"] that:
  - (i.) In the event facilities are constructed, maintained, or otherwise operated on the property described in this (deed, license, lease, permit, etc.) for a purpose for which a U.S. Department of Transportation activity, facility, or program is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee, lessee, permittee, etc.) will maintain and operate such facilities and services in compliance with all requirements imposed by the Acts and Regulations (as may be amended) such that no person on the grounds of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities.
2. With respect to licenses, leases, permits, etc., in the event of breach of any of the above Nondiscrimination covenants, the NCDOT will have the right to terminate the (lease, license, permit, etc.) and to enter, re-enter, and repossess said lands and facilities thereon, and hold the same as if the (lease, license, permit, etc.) had never been made or issued. \*
3. With respect to a deed, in the event of breach of any of the above Nondiscrimination covenants, the NCDOT will have the right to enter or re-enter the lands and facilities thereon, and the above described lands and facilities will there upon revert to and vest in and become the absolute property of the NCDOT and its assigns. \*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary to make clear the purpose of Title VI.)

(c) Clauses for Construction/Use/Access to Real Property Acquired Under the Activity, Facility or Program (1050.2A, Appendix D)

The following clauses will be included in deeds, licenses, permits, or similar instruments/ agreements entered into by the North Carolina Department of Transportation (NCDOT) pursuant to the provisions of Assurance 7(b):

1. The (grantee, licensee, permittee, etc., as appropriate) for himself/herself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add, "as a covenant running with the land") that (1) no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over, or under such land, and the furnishing of services thereon, no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that the (grantee, licensee, lessee, permittee, etc.) will use the premises in compliance with all other requirements imposed by or pursuant to the Acts and Regulations, as amended, set forth in this Assurance.
2. With respect to (licenses, leases, permits, etc.), in the event of breach of any of the above Non-discrimination covenants, the NCDOT will have the right to terminate the (license, permit, etc., as appropriate) and to enter or re-enter and repossess said land and the facilities thereon, and hold the same as if said (license, permit, etc., as appropriate) had never been made or issued. \*
3. With respect to deeds, in the event of breach of any of the above Nondiscrimination covenants, the NCDOT will there upon revert to and vest in and become the absolute property of the NCDOT and its assigns. \*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary to make clear the purpose of Title VI.)

**STANDARD SPECIAL PROVISION****MINORITY AND FEMALE EMPLOYMENT REQUIREMENTS**

Z-7

NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (*EXECUTIVE NUMBER 11246*)

1. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, see as shown on the attached sheet entitled "Employment Goals for Minority and Female participation".

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in *41 CFR Part 60-4* shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in *41 CFR 60-4.3(a)*, and its effort to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the executive Order and the regulations in *41 CFR Part 60-4*. Compliance with the goals will be measured against the total work hours performed.

2. As used in this Notice and in the contract resulting from this solicitation, the "covered area" is the county or counties shown on the cover sheet of the proposal form and contract.

**EMPLOYMENT GOALS FOR MINORITY  
AND FEMALE PARTICIPATION**

Economic Areas

**Area 023 29.7%**

Bertie County  
Camden County  
Chowan County  
Gates County  
Hertford County  
Pasquotank County  
Perquimans County

**Area 024 31.7%**

Beaufort County  
Carteret County  
Craven County  
Dare County  
Edgecombe County  
Green County  
Halifax County  
Hyde County  
Jones County  
Lenoir County  
Martin County  
Nash County  
Northampton County  
Pamlico County  
Pitt County  
Tyrrell County  
Washington County  
Wayne County  
Wilson County

**Area 025 23.5%**

Columbus County  
Duplin County  
Onslow County  
Pender County

**Area 026 33.5%**

Bladen County  
Hoke County  
Richmond County  
Robeson County  
Sampson County  
Scotland County

**Area 027 24.7%**

Chatham County  
Franklin County  
Granville County  
Harnett County  
Johnston County  
Lee County  
Person County  
Vance County  
Warren County

**Area 028 15.5%**

Alleghany County  
Ashe County  
Caswell County  
Davie County  
Montgomery County  
Moore County  
Rockingham County  
Surry County  
Watauga County  
Wilkes County

**Area 029 15.7%**

Alexander County  
Anson County  
Burke County  
Cabarrus County  
Caldwell County  
Catawba County  
Cleveland County  
Iredell County  
Lincoln County  
Polk County  
Rowan County  
Rutherford County  
Stanly County

**Area 0480 8.5%**

Buncombe County  
Madison County

**Area 030 6.3%**

Avery County  
Cherokee County  
Clay County  
Graham County  
Haywood County  
Henderson County  
Jackson County  
McDowell County  
Macon County  
Mitchell County  
Swain County  
Transylvania County  
Yancey County

**SMSA Areas**

**Area 5720 26.6%**

Currituck County

**Area 9200 20.7%**

Brunswick County

New Hanover County

**Area 2560 24.2%**

Cumberland County

**Area 6640 22.8%**

Durham County

Orange County

Wake County

**Area 1300 16.2%**

Alamance County

**Area 3120 16.4%**

Davidson County

Forsyth County

Guilford County

Randolph County

Stokes County

Yadkin County

**Area 1520 18.3%**

Gaston County

Mecklenburg County

Union County

**Goals for Female**

**Participation in Each Trade**

(Statewide) 6.9%

FHWA-1273 -- Revised October 23, 2023

## REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

### ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

### I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

### II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### 6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### 10. Assurances Required:

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov). The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov), refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division

under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

## 2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph

2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its reprocurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, 31 U.S.C. 3901–3907.

### 3. Records and certified payrolls (29 CFR 5.5)

*a. Basic record requirements (1) Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

*(2) Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in 40 U.S.C. 3141(2)(B) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

*(3) Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in 40 U.S.C. 3141(2)(B) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

*(4) Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

*b. Certified payroll requirements (1) Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting

agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

*(2) Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker (e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

*(3) Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR part 3; and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

*(4) Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature.* The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification.* The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention.* The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents.* The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access (1) Required record disclosures and access to workers.* The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements.* If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures.* Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address

of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

#### 4. Apprentices and equal employment opportunity (29 CFR 5.5)

a. *Apprentices (1) Rate of pay.* Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits.* Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio.* The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates.* Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity.* The use of apprentices and journeyworkers under this part must be in conformity with

the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.** a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

**11. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

## V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or

mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

\* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

### 3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its reprocurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901–3907](#).

**4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the

event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

**5. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or

d. Informing any other person about their rights under CWHSSA or this part.

### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

#### **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and

health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

#### **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

**IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

**1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

\* \* \* \* \*

**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

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**3. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

\* \* \* \* \*

**4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or

cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**XII. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)**  
This provision is applicable to all Federal-aid projects funded  
under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**STANDARD SPECIAL PROVISION****ON-THE-JOB TRAINING**

(10-16-07) (Rev. 4-21-15)

Z-10

**Description**

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

**Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

**Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year.\

### **Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators	Office Engineers
Truck Drivers	Estimators
Carpenters	Iron / Reinforcing Steel Workers
Concrete Finishers	Mechanics
Pipe Layers	Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

### **Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

**Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

**Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

60 percent	of the journeyman wage for the first half of the training period
75 percent	of the journeyman wage for the third quarter of the training period
90 percent	of the journeyman wage for the last quarter of the training period

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

**Achieving or Failing to Meet Training Goals**

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

**Measurement and Payment**

No compensation will be made for providing required training in accordance with these contract documents.

**STANDARD SPECIAL PROVISION**  
**MINIMUM WAGES**  
**GENERAL DECISION NC20260086 01/02/2026 NC86**

Z-086

Date: January 2, 2026

General Decision Number: NC20260086 01/02/2026 NC86

Superseded General Decision Numbers: NC20250086

State: North Carolina

Construction Type: HIGHWAY

**COUNTIES:**

Alleghany	Jackson	Surry
Ashe	Lincoln	Swain
Avery	Macon	Transylvania
Cherokee	McDowell	Watauga
Clay	Mitchell	Wilkes
Cleveland	Polk	Yancey
Graham	Rutherford	

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification Number  
0

Publication Date  
01/02/2026

SUNC2014-001 11/13/2014

	Rates	Fringes
BLASTER	21.83	
CARPENTER	12.54	
CEMENT MASON/CONCRETE FINISHER	14.10	
ELECTRICIAN		
Electrician	19.19	2.39
Telecommunications Technician	15.13	
IRONWORKER	14.53	
LABORER		
Asphalt Raker and Spreader	12.23	
Asphalt Screed/Jackman	15.22	
Carpenter Tender	10.00	
Cement Mason/Concrete Finisher Tender	12.26	
Common or General	10.68	
Guardrail/Fence Installer	13.43	
Pipelayer	12.22	
Traffic Signal/Lighting Installer	15.85	
PAINTER		
Bridge	19.62	
POWER EQUIPMENT OPERATORS		
Asphalt Broom Tractor	11.00	
Bulldozer Fine	16.20	

	Rates	Fringes
Bulldozer Rough	13.89	
Concrete Grinder/Groover	24.66	
Crane Boom Trucks	14.44	.53
Crane Other	19.59	
Crane Rough/All-Terrain	21.25	
Drill Operator Rock	15.25	
Drill Operator Structure	20.92	
Excavator Fine	16.11	
Excavator Rough	13.10	
Grader/Blade Fine	19.24	
Grader/Blade Rough	13.07	
Loader 2 Cubic Yards or Less	13.38	
Loader Greater Than 2 Cubic Yards	16.01	
Material Transfer Vehicle (Shuttle Buggy)	17.39	
Mechanic	18.51	
Milling Machine	13.88	
Off-Road Hauler/Water Tanker	13.87	
Oiler/Greaser	14.98	
Pavement Marking Equipment	13.33	
Paver Asphalt	15.68	.05
Roller Asphalt Breakdown	14.05	.06
Roller Asphalt Finish	14.98	.04
Roller Other	11.75	
Scraper Finish	13.87	
Scraper Rough	11.53	
Slip Form Machine	20.79	
Tack Truck/Distributor Operator	14.67	.06
TRUCK DRIVER		
GVWR of 26,000 Lbs or Less	11.72	
GVWR of 26,001 Lbs or Greater	13.50	

Welders – Receive rate prescribed for craft performing operation to which welding is incidental.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <http://www.dol.gov/agencies/whd/government-contracts>.

Note: Executive Order 13658 generally applies to contracts subject to the Davis-Bacon Act that were awarded on or between January 1, 2015 and January 29, 2022, and that have not been renewed or extended on or after January 30, 2022. Executive Order 13658 does not apply to contracts subject only to the Davis-Bacon Related Acts regardless of when they were awarded. If a contract is subject to Executive Order 13658, the contractor must pay all covered workers at least \$13.30 per hour (or the applicable wage rate listed on this wage determination, if it is

higher) for all hours spent performing on the contract in 2025. The applicable Executive Order minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under Executive Order 13658 is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(iii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

#### Union Rate Identifiers

A four-letter identifier beginning with characters other than "SU", "UAVG", "SA", or "SC" denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing this classification.

#### Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio. The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

#### Survey Rate Identifiers

The "SU" identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination.

The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

"SU" wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has discretion to update such rates under 29 CFR 1.6(c)(1).

#### State Adopted Rate Identifiers

The "SA" identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the "SA" identifier took effect under state law in the state from which the rates were adopted.

#### WAGE DETERMINATION APPEALS PROCESS

- 1) Has there been an initial decision in the matter? This can be:
  - a) a survey underlying a wage determination
  - b) an existing published wage determination
  - c) an initial WHD letter setting forth a position on a wage determination matter
  - d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to [davisbaconinfo@dol.gov](mailto:davisbaconinfo@dol.gov) or by mail to:

Branch of Wage Surveys  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to [BCWD-Office@dol.gov](mailto:BCWD-Office@dol.gov) or by mail to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

- 2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to [dba.reconsideration@dol.gov](mailto:dba.reconsideration@dol.gov) or by mail to:

Wage and Hour Administrator

U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

- 3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

END OF GENERAL DECISION

**PROJECT SPECIAL PROVISIONS**

**GEOTECHNICAL**

GEOTEXTILE FOR EMBANKMENT STABILIZATION (SPECIAL)	GT1.1 - GT-1.3
ROCK EMBANKMENTS (SPECIAL)	GT2.1 - GT-2.2
ROCK FILL FOR EMBANKMENT STABILIZATION (SPECIAL)	GT3.1 - GT3.1
HORIZONTAL DRAINS (SPECIAL)	GT4.1 - GT4.2
ROCK BLASTING (SPECIAL)	GT5.1 - GT5.4
TENSIONED SPOT ROCK BOLT (SPECIAL)	GT6.1 - GT6.7
SLOPE STABILIZATION FOR WEATHERED ROCK AND ROCK SLOPES (SPECIAL)	GT7.1 - GT7.5
NATURAL LANDSCAPE STONE (SPECIAL)	GT8.1 - GT8.1
SOIL NAIL RETAINING WALLS (1-16-24)	GT9.1 - GT9.12
TEMPORARY SHORING (1-16-24)	GT10.1 - GT10.12
TEMPORARY SOIL NAIL WALLS (1-16-24)	GT11.1 - GT11.9
STANDARD SHORING (1-16-24)	GT12.1 - GT-12.4

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*Carlinas Geotechnical Group, PLLC*  
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**GEOTEXTILE FOR EMBANKMENT STABILIZATION:****(Special)****Description**

This work consists of furnishing and installing synthetic geotextile for stabilizing embankment in accordance with this provision and as directed by the Engineer. The work shall include maintaining the geotextile in the required configuration until completion and acceptance of overlying work items. The geotextile shall be placed at the locations shown in the plans or as directed by the Engineer.

**Materials**

The geotextile for embankment stabilization shall be made of high-tenacity polyester in the machine direction with a plain or straight-warp weave pattern and polyester or polypropylene in the cross-machine direction or approved equal. The geotextile shall be composed of strong rot-proof synthetic fibers formed into a geotextile of the woven type. The geotextile shall be free of any treatment or coating which might significantly alter its physical properties after installation.

The geotextile shall contain stabilizers and/or inhibitors to make the filaments resistant to deterioration resulting from ultraviolet light or heat exposure. The geotextile shall be a pervious sheet of synthetic fibers oriented into a stable network so that the fibers retain their relative positions with respect to each other. The edges of geotextile shall be finished to prevent the outer yarn from pulling away from the geotextile. The geotextile shall be free of defects or flaws which significantly affect its physical and/or filtering properties. Sheets of geotextile shall be sewn together with a seam that furnishes the required minimum strengths, when sewing is required. No seams are permitted perpendicular to the machine direction, geotextile sheets shall be continuous in the machine direction. Lamination of geotextile sheets to produce the physical requirements of a geotextile layer will not be accepted.

During all periods of shipment and storage, the geotextile shall be wrapped in a heavy duty protective covering to protect the geotextile from direct sunlight ultraviolet rays, mud, dust, dirt, and debris. The geotextile shall not be exposed to temperatures greater than 140° F. After the protective wrapping has been removed, the geotextile shall not be left uncovered under any circumstances for longer than one (1) week.

The geotextile shall meet the following physical requirements:

All values represent minimum average roll values (MARV) as defined by ASTM D4439 for geotextile properties (any roll in a lot, or single day's production, should meet or exceed the minimum values in this table). Machine direction (MD) and cross-machine direction (CD) are as defined by ASTM D4439.

Provide Type 1 Certified Mill Test Report in accordance with Article 106-3 of the *Standard Specifications* with minimum average roll values (MARV) as defined by ASTM D4439 for geotextile properties. For testing geotextiles, a lot is defined as a single day's production. The Engineer reserves the right to inspect or test the geotextiles at any time. If requested by the Engineer, provide a sample of the geotextile for testing.

Use woven polyester geotextiles with properties meeting the following requirements:

## Geotextile for Embankment Stabilization

Property	ASTM Test Method	Requirement (MARV)
Wide Width Tensile Strength @ 5% Strain (MD)	D4595	2,500 lb/ft
Wide Width Tensile Strength @ Ultimate (MD)	D4595	1,200 lb/ft
Apparent Opening Size <sup>1</sup>	D4751	No. 30
Ultraviolet Stability (retained strength) <sup>2</sup>	D4355	50%
Ultimate Seam Strength (MD)	D4884	1,000 lb/ft
1 Per AASHTO M92 2 After 500 hours of exposure		

**Construction Methods**

The geotextile for embankment stabilization shall be placed at the locations shown in the plans or as directed by the Engineer. The location should be cleared and free of obstructions, debris, and pockets. Stumps shall be cut smooth at the ground elevation with the root system left intact. Where geotextile for embankment stabilization is being used in conjunction with rock embankments, the geotextile should be placed on the ground as described above or incorporated into the base of the rock embankment. Where the geotextile for embankment stabilization is being placed within the rock embankment, fill voids and provide a smooth surface for placing the geotextile to prevent damage when installed and covered. At the time of installation the geotextile shall be rejected if it has defects, rips, holes, flaws, deterioration or damage incurred during manufacture, transportation, or storage.

The geotextile for embankment stabilization shall be placed with the machine direction as shown on the plans or as directed by the Engineer. Geotextile shall be laid smooth and free from tension, stress fold, wrinkles or creases without any joint, seam, or overlapping in the machine (roll) direction. All joints in the cross machine direction may be sewn by an approved method or overlapped a minimum of 18 inches. All sewn seams must be placed upward to allow for inspection. All geotextile which is damaged as a result of installation shall be replaced or repaired at the discretion of the Engineer with no additional cost to the Department. Compaction equipment must be operated such that it will not damage the geotextile.

Where piles will penetrate geotextile for embankment stabilization, establish horizontal control for bridge foundation construction and slit the geotextile using a hot knife in the machine direction as detailed in the plans.

Any geotextile which is left uncovered for longer than one week after placement shall be replaced at no additional cost to the Department.

**Measurement and Payment**

The quantity of geotextile to be paid for will be the number of square yard of *Geotextile for Embankment Stabilization* measured along the surface of the ground, which has been placed and accepted by the engineer. No measurement will be made for overlapping geotextiles or sewing

seams.

The quantity of geotextile, measured as described above, will be paid for at the contract unit price per square yard for *Geotextile for Embankment Stabilization*. Such price and payment will be full compensation for furnishing, transporting, placing, sewing, testing, and all incidentals necessary to complete the work as described in this provision and the plans.

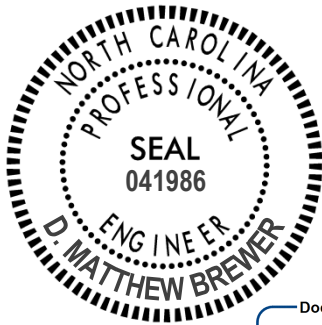
Payment will be made under:

**Pay Item**

Geotextile for Embankment Stabilization

**Pay Unit**

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*D. Matthew Brewer*

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**ROCK EMBANKMENTS:****(SPECIAL)****Description**

Construct rock embankments in accordance with the contract. Use core material as necessary or required where piles will be driven through rock embankments and as shown in the plans. Rock embankments are required to construct embankments in water or steepened fills at widenings at locations shown in the plans and as directed.

**Materials**

Refer to Division 10 of the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Geotextile for Rock Embankments, Type 2	1056
Rip Rap Materials	1042
Select Materials	1016

Provide Class VII select material for rock embankments. Use Class VI select material (standard size No. 57) for core material and Class A and B rip rap and Select Material, Class VI to fill voids in rock embankments. Obtain aggregates from sources participating in the Department's Aggregate QC/QA Program in accordance with Section 1006 of the *Standard Specifications* or use similar size onsite material approved by the Engineer.

**Construction Methods**

Construct rock embankments in accordance with the slopes, dimensions and elevations shown in the plans and Section 235 of the *Standard Specifications*. Place Class VII so smaller rocks are uniformly distributed throughout rock embankments. Provide a uniform surface free of obstructions, debris and groups of large rocks that could cause voids in embankments. When placing Class VII in lifts, place core material to top of the current lift before placing the next lift of Class VII.

Before placing embankment fill material or geotextiles over rock embankments, fill voids in the top of rock embankments with rip rap and Select Material, Class VI. Place and compact Class B rip rap first followed by Class A rip rap. Then, fill any remaining voids with Select Material, Class VI so geotextiles are not torn, ripped or otherwise damaged when installed and covered. Compact rip rap and Select Material, Class VI with tracked equipment or other approved methods. Install geotextiles on top of Class VII, rip rap and Select Material, Class VI in accordance with Article 270-3 of the *Standard Specifications* before placing embankment fill material. If required, provide Select Material, Class IV on top of Select Material, Class VI in accordance with the project plans.

**Measurement and Payment**

*Select Material, Class VII for Rock Embankments* and *Select Material, Class VI for Rock Embankments* will be measured and paid in tons. If required, *Select Material, Class IV for Rock Embankments* will be measured and paid in tons. Select material will be measured by weighing material in trucks in accordance with Article 106-7 of the *Standard Specifications*. *Rip Rap, Class A* and *Rip Rap, Class B* will be measured and paid in accordance with Article 876-4 of the *Standard Specifications*. The contract unit prices for *Select Material, Class VII for Rock Embankments*, *Select Material, Class VI for Rock Embankments*, *Select Material, Class IV for Rock Embankments*, *Rip Rap Class A*, and *Rip Rap Class B* will be full compensation for providing,

hauling, handling, placing, compacting and maintaining select material and rip rap.

*Geotextile for Rock Embankments* will be measured and paid in square yards. Geotextiles will be measured along the top of rock embankments as the square yards of exposed geotextiles before placing embankment fill material. No measurement will be made for overlapping geotextiles. The contract unit price for *Geotextile for Rock Embankments* will be full compensation for providing, transporting and installing geotextiles.

Payment will be made under:

**Pay Item**

- Select Material, Class VII for Rock Embankments
- Select Material, Class VI for Rock Embankments
- Select Material, Class IV for Rock Embankments
- Rip Rap Class A
- Rip Rap Class B
- Geotextile for Rock Embankments

**Pay Unit**

- Ton
- Ton
- Ton
- Ton
- Ton
- Square Yard



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**ROCK FILL FOR EMBANKMENT STABILIZATION**

**(SPECIAL)**

**Description**

Use rock fill for stabilization of ground beneath proposed embankments in accordance with the contract.

**Materials**

Refer to Division 10 of the Standard Specifications

<b>Item</b>	<b>Section</b>
Select Material, Class VI	1016
Geotextile, Type 2	1056
Rip Rap Materials	1042

Provide Type 2 geotextile for separation geotextiles. Use Class A, Class B, or Class 1 rip rap as rock fill to stabilize ground beneath proposed embankments in accordance with the plans and as directed by the Engineer. Use Class VI Select Material to fill voids in the rock fill.

**Construction Methods**

The Engineer will select the rip rap size to use for rock fill. Place rock fill in thin lifts and track into the existing ground using a front-end loader, trackhoe or similar equipment. Do not use the rock fill to bridge over the existing ground. Provide a uniform rock fill free of obstructions and debris that could cause voids within embankments. Repeatedly place and track rock fill into the existing ground until the existing ground is stabilized. As directed by the Engineer, place and grade Class VI Select Material at the top of the rock fill to fill voids. Install separation geotextiles on top of the Class VI Select Material in accordance with Article 270-3 of the *Standard Specifications* before placing embankment fill material.

**Measurement and Payment**

*Rock Fill for Embankment Stabilization* and *Select Material, Class VI for Rock Fill* will be measured and paid for in tons. Material will be measured by weighing material in trucks in accordance with Article 106-7 of the Standard Specifications. The contract unit prices for *Rock Fill for Embankment Stabilization* and *Class VI for Rock Fill* will be full compensation for providing, hauling, handling, placing, compacting, and maintaining material.

*Geotextile for Rock Fill* will be measured and paid in square yards. Geotextiles will be measured along the top of the completed rock fill as the square yards of exposed geotextiles. No measurement will be made for overlapping geotextiles. The contract unit price for *Geotextile for Rock Fill* will be full compensation for providing, transporting, and installing geotextiles.

Payment will be made under:

**Pay Item**

Rock Fill for Embankment Stabilization  
Select Material, Class VI for Rock Fill  
Geotextile for Rock Fill

**Pay Item**

Ton  
Ton  
Square Yard



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*D. Matthew Brewer*

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**HORIZONTAL DRAINS****(SPECIAL)****1.0 GENERAL**

Construct horizontal drains for slopes, rock cuts and retaining walls in accordance with the contract and the project plans. A horizontal drain typically consists of a slotted PVC pipe placed in a drilled hole inclined at an angle above horizontal but in some holes, the pipe may be omitted. Horizontal drains are required to drain water from slopes and rock cuts and from behind retaining walls at locations and elevations shown in the plans and as directed.

**2.0 MATERIALS**

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
PVC Pipe	1044-6

Use solid and slotted PVC Schedule 40 or 80 pipes as shown in the plans for drain pipe. Provide slotted PVC pipes with 0.01" wide horizontal slots in the direction perpendicular to the pipe length and evenly spaced around and along pipe so that open area is at least 1 sq in per linear ft of pipe.

**3.0 CONSTRUCTION METHODS**

The Engineer will determine the number, locations, elevations, inclination and lengths of horizontal drains required. The approximate known drain locations, elevations, inclination and lengths are shown in the plans. Drain pipe requirements including those drains without pipes will also be determined by the Engineer and known pipe information is shown in the plans.

Use drill rigs of the sizes necessary to install horizontal drains and with sufficient capacity to drill through whatever materials are encountered. Drilling through boulders, cobbles and rock lenses may be required but drilling in continuous intact weathered or hard rock as determined by the Engineer is not required unless drain pipe is omitted. Drill straight and clean holes with the dimensions and orientation shown in the plans or as directed. Drill holes within 6" of planned locations and elevations and 2° of required inclination.

For horizontal drains with drain pipes, do not insert PVC pipes into drill holes until hole locations, elevations, dimensions, inclination and cleanliness are approved. Insert drain pipes through hollow stem augers or into open clean drill holes. Do not vibrate, drive or otherwise force pipes into holes. If a drain pipe cannot be completely and easily inserted into a drill hole, remove the pipe and clean or redrill the hole.

Extend solid PVC sections of drain pipes out past slope face far enough to connect pipe to a drainage system or discharge water as directed. Seal all around drain pipe at collar of drill hole with a method acceptable to the Engineer. Record horizontal drain number, location, elevation and installation date, description of drilling conditions and completed drain pipe, if applicable, and drill hole diameter, length and inclination for each horizontal drain and provide this information to the Engineer.

**4.0 MEASUREMENT AND PAYMENT**

The contract unit price for *Horizontal Drains* will be full compensation for providing labor, tools, equipment and drain materials, drilling and removing cuttings, installing, connecting and sealing around drain pipes and supplying PVC pipes, pipe fittings, sealing materials and any incidentals necessary to construct horizontal drains.

Payment will be made under:

**Pay Item**  
Horizontal Drains

**Pay Unit**  
Linear Foot



Signed by:  
*Robert E. Kral*  
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01/05/2026

**ROCK BLASTING:**

**(SPECIAL)**

**Description**

Blast rock to excavate, break up or remove rock and construct stable rock cuts using production, controlled and trench blasting. Use production blasting to fracture rock into manageable sizes for excavation. Use controlled blasting to form cut slopes in rock by limiting the effects of blasting with pre-splitting, cushion or trim blasting. Use trench blasting to create trenches in rock for utilities and pipes and construct open ditches. Provide blasting submittals, use blasting consultants, conduct pre-blast surveys and test blasts, design and monitor blasts, blast and pre-split rock and produce post-blast reports in accordance with the contract, accepted submittals and Section 220 of the *Standard Specifications*.

**Project Requirements**

Pre-splitting is required for rock cuts from -Y2- Station 134+00 to 137+50, RT, 153+50 to 158+25 (RT), and 156+50 to 160+00 (LT).

At a minimum, conduct pre-blast surveys for any structure where a PPV of more than 0.4"/sec may occur. Determine PPV based on distance to structures and maximum charge per delay for blasts using the following:

$$PPV = K \left( \frac{D}{\sqrt{W}} \right)^m \quad \text{or} \quad PPV = K (D_s)^m$$

Where,

- PPV = peak particle velocity ("/sec),
- K = confinement factor (K factor),
- D = distance to structure (ft),
- W = maximum charge per delay (lb),
- m = decay constant and
- D<sub>s</sub> = scaled distance (ft/lb<sup>0.5</sup>).

Typically, K is 240 and m is -1.6. However, K and m are site specific and may be determined from regression analysis of multiple PPV and D<sub>s</sub> data pairs. Select K and m based on site conditions, rock type and structure, subsurface information and blast monitoring results.

Provide pre-blast surveys and post-blast reports sealed by an engineer licensed in the state of North Carolina and approved as a Project Manager (key person) for the Blast Monitoring Consultant.

Monitor vibration and air overpressure where required by the Engineer.

Design blasts so the PPV and air overpressure at any utility or structure meet the following blasting criteria:

Variable	Warning Level	Not-to-Exceed Limit
PPV (frequency < 40 Hz)	0.40"/sec	0.50"/sec
PPV (frequency > 40 Hz)	0.75"/sec	1.0"/sec
Air Overpressure	120 dB (linear)	133 dB (linear)

If warning levels are exceeded, the Engineer may require additional blast monitoring.

Where required, perform blasting at other locations so fly rock does not occur.

**Construction Methods****(A) Blasting Submittals**

Submit 2 copies and a PDF copy of blasting plans and post-blast reports and if required, a personnel and experience submittal and pre-blast surveys. Submit one copy to the Resident Engineer and the other copy and PDF copy to the appropriate Geotechnical Engineering Unit regional office.

**(1) Personnel and Experience Submittal**

Submit the proposed personnel and experience submittal for acceptance at least 30 days before submitting the general blasting plan. The Engineer may waive this submittal if blasting consultants are not required and the Blaster-in-Charge was previously accepted within the last 3 years for another NCDOT project with blasting similar to that anticipated for this project. Do not submit the general blasting plan until the personnel and experience submittal is waived or a submittal is accepted.

Submit documentation that the proposed Blaster-in-Charge is approved as a Blaster-in-Charge (key person) for the Blasting Contractor and has at least 5 years of experience with subsurface conditions and blasting of a scope and complexity similar to that anticipated for this project. Documentation should include resumes, references, letters, certifications, project lists, experience descriptions and details, etc. If the Blaster-in-Charge changes, discontinue explosives use until a new Blaster-in-Charge is accepted.

**(B) Blast Designs**

Design blasts in accordance with the Project Requirements Section of this provision, if applicable, Subarticle 220-3(A) of the *Standard Specifications* and the following unless otherwise approved:

**(1) Production Blasting**

- (a) Provide at least 6 ft clearance between production blast holes and slope faces.
- (b) Drill production blast holes with a maximum diameter of 6".
- (c) Do not drill production blast holes below bottom of adjacent controlled blast holes.
- (d) Use delay blasting to detonate production blast holes towards a free face.

**(2) Controlled Blasting**

Use controlled blasting for slopes steeper than 1:1 (H:V) with rock cuts.

**(a) Pre-Splitting**

- (i) Drill pre-split blast holes with a diameter of 2" to 3".
- (ii) Space pre-split blast holes at least 10 pre-split hole diameters apart.
- (iii) Limit subdrilling to the offset width between lifts.

- (iv) Do not subdrill below finished grade.
  - (v) Pre-split rock at least 30 ft beyond blasting limits or to the end of cuts.
  - (vi) Provide benches or lifts with a maximum height of 25 ft.
  - (vii) Do not use ANFO or other bulk loaded products.
  - (viii) Use cartridge explosives or other explosive types designed for pre-splitting.
  - (ix) Use charges with a maximum diameter of one-half the pre-split hole diameter except for charges in bottom 2 ft of holes.
  - (x) If pre-split and production blast holes are fired in the same blast, fire pre-split holes at least 25 ms before production holes.
- (b) Cushion or Trim Blasting
- (i) Drill cushion or trim blast holes with a maximum diameter of 6".
  - (ii) Limit subdrilling to that necessary for excavation of slopes.
  - (iii) Do not subdrill below finished grade.
  - (iv) Provide benches or lifts with a maximum height of 25 ft.
  - (v) Do not use ANFO or other bulk loaded products.
  - (vi) Design cushion or trim blasting with a maximum charge density and burden of one-half the charge density and burden for production blasting.
  - (vii) If cushion, trim and production blast holes are fired in the same blast, fire cushion or trim holes at least 25 ms after production holes.
- (3) Trench Blasting
- (a) Drill trench blast holes with a maximum diameter of 3".
  - (b) Do not use ANFO or other bulk loaded products.
  - (c) Use cartridge explosives or other explosive types designed for trench blasting.
  - (d) Use charges with a diameter of 1/2" to 3/4" less than the trench hole diameter.

**(C) Test Blasts**

Define a "test blast" as drilling, blasting and excavating a test section before starting or resuming blasting. If test blasts are required, conduct at least one test blast for each blast type (production, controlled or trench blasting) and location requiring test blasts.

If blasting results in injuries or damages to any utility or structure in any direction from blast the Engineer may suspend blasting and require test blasts before resuming blasting. When this occurs, inform the Engineer of test blast locations before submitting blasting plans.

Submit a site specific blasting plan for each test blast at least 72 hours before beginning drilling. Conduct test blasts in accordance with the accepted submittals and Article 220-3 of the *Standard Specifications*. Production, controlled or trench blasting may not begin or resume until the post-blast report for a test blast is reviewed, the rock cut from a test blast is fully exposed and the Engineer determines the exposed cut is acceptable. Examples of test blast results that may be unacceptable include excessive vibration, air overpressure or flyrock, overbreakage or overhangs and damaged rock cuts.

**(D) Pre-Splitting Requirements**

If pre-splitting is required, pre-split rock in accordance with the accepted submittals and Subarticle 220-3(D) of the *Standard Specifications*. Pre-split rock so that irregularities in pre-split rock cuts between holes are less than 1 ft from slope planes.

Alignment is crucial for pre-split blast holes. Maintain pre-split hole alignment within 6" of slope planes and parallel to adjacent pre-split blast holes. Monitor and accurately measure pre-split hole alignment during drilling with a method acceptable to the Engineer.

When rock cut heights require multiple benches or lifts, offset pre-split blast holes horizontally for each lift no more than the clearance necessary for drilling equipment.

**Measurement and Payment**

No direct payment will be made for blasting including blasting submittals, blasting consultants, pre-blast surveys, test blasts, blast monitoring, post-blast reports, scaling and stabilizing rock cuts. Blasting will be considered incidental to other items in the contract in accordance with Article 220-4 of the *Standard Specifications*.

*Pre-splitting of Rock* will be measured and paid in square yards. Pre-splitting will be measured along the slope faces of pre-split rock cuts as the square yards of exposed pre-split rock. No payment will be made for unsatisfactory pre-splitting as determined by the Engineer.

No additional payment will be made and no extension of completion date or time will be allowed when the Engineer suspends blasting and requires test blasts or additional blast monitoring or blasting submittals.

Payment will be made under:

**Pay Item**  
Pre-splitting of Rock

**Pay Unit**  
Square Yard



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*D. Matthew Brewer*  
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01/05/2026

**TENSIONED SPOT ROCK BOLT – 75 KIPS****(SPECIAL)****1.0 DESCRIPTION**

This work consists of furnishing, drilling, installing and testing grouted rock bolts and accessories at the locations and in the patterns shown on the plans or as directed by the Engineer. On this project, it may be necessary to install rock bolts at any location on the rock face.

**2.0 MATERIALS**

Provide Type 3 Manufacturer's Certifications in accordance with Article 106-3 of the *Standard Specifications* for all rock bolt materials. Store steel materials on blocking a minimum of 12" (300 mm) above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store steel materials such that they are kept clean and free of damage. Damaged or bent materials will be rejected.

**Galvanize all accessories including plates, washers, wedges, clips, clamps, wires, rings and all incidentals necessary for installation, to the requirements of ASTM A-153-80.**

**Rock Bolt Bars.**

Rock bolt bars shall be straight shaft, deformed, solid, continuous thread bar, new, and undamaged. Rock bolt bars shall be ASTM A722 Grade 150 steel and shall have a minimum diameter of 1.0 inch.

**Anchorage Assembly, Couplers, Covers and Centralizers.**

The anchorage, couplers and bearing plate shall be capable of developing a minimum of 100 percent of the guaranteed minimum ultimate tensile strength of the steel rock bolt bar.

**Surface Bearing Plates.**

Surface bearing plates shall be fabricated from Grade 50 steel and shall have a minimum size of 7 X 7 X 1.0 in (177.8 X 177.8 X 38.1 mm).

**Beveled Washers, Flat Washers and Wedges.**

Beveled washers, hardened flat washers, and wedges for the anchorage shall be designed to preclude premature failure of the pre-stressing steel due to notch or pinching effects.

**Heavy 2H Spherical Hex Nuts.**

Heavy 2H spherical hex nuts shall be constructed for threaded engagement with the outer end of the rock bolt bar. The nut should be heavy duty type with round head, conforming to ASTM A-325.

**Centralizer.**

Fabricate centralizers from schedule 40 polyvinyl chloride (PVC) plastic pipe or tube, steel or other material not detrimental to steel bars (no wood). Size centralizers to position the bar within 1/2 inch (12.5 mm) of the drill hole center and allow a tremie to be inserted to the bottom of the hole. Use centralizers that do not interfere with grout placement or flow around bars.

**Coupler Protection.**

The coupler and any exposed bar section next to it shall be covered with a corrosion proof compound or wax impregnated cloth tape. The coupler area shall be covered by a smooth plastic tube complying with the requirements set forth in section addressing sheathing, overlapping the adjacent sheathed bar by at least 1 in (25 mm). The two joints shall be sealed each by a coated heat shrink sleeve of at least 6 in (150 mm) length, or approved equal. The corrosion proof compound shall completely fill the space inside the cover tube.

**Cement Grout.**

Cement grout shall be Type 2 according to Section 1003 of the *Standard Specifications*.

**Sheathing.**

Sheathing used over the rock bolt bar in the unbonded (free stressing) zone shall be made of material with the following properties: resistant to chemical attack from aggressive environments, grout or corrosion inhibiting compounds; resistant to aging by ultra-violet light; non-detrimental to the rock bolt bar and capable of withstanding abrasion, impact and bending during handling and installation. The minimum wall thickness for the sheathing shall be 0.060 in (1.5 mm) for polyethylene or polypropylene, 0.040 in (1.0 mm) for PVC tubing and 0.20 in (5.0 mm) for steel tubing or pipe. The sheathing shall permit the unobstructed elongation of the unbonded length during stressing.

**3.0 CONSTRUCTION METHODS****Contractor Qualifications.**

The work defined in this section is specialty construction requiring a Contractor who is highly knowledgeable and experienced in the installation of rock bolts. The Contractor performing the work in this section shall submit proof of five projects within five years on which the Contractor has successfully installed rock bolts of similar types and capacities required for this project. A brief description of each project with the owner's name and current phone number shall be included.

**Design Load.**

The design load for the **TENSIONED ROCK BOLTS** is **75 kips**.

The minimum hole diameter and minimum rock socket will be as shown on plans or as directed by the Engineer. A minimum of 1.0 in (25.4 mm) of grout cover is required around the rock bolt bar within the bonded zone.

The unbonded length shall be as directed by the Engineer or as shown on the plans.

Centralizers will be located as shown on the plan sheet or as directed by the Engineer.

**Drilling.**

Rotary percussion equipment shall be used to drill the holes. The minimum drill hole diameter is shown on the plan sheets. The drill hole must extend 3 in (75 mm) below the design depth of the rock bolt bar. Care shall be taken to insure an accurate and straight hole. Drilled holes shall be cleaned of all drill cuttings, sludge and debris before the rock bolt bar is inserted into the hole.

**Installation.**

As a minimum, the bottom of the rock bolt bar shall be positioned 3 in (75 mm) above the bottom of the drilled hole.

The threaded outer end of the rock bolt bar shall extend the minimum length beyond the anchorage that will be capable of accepting the proof test and lift off equipment. The anchorage nut shall be tightened using an approved post tensioning hydraulic jack, which permits tightening of the nut during tensioning.

All equipment used for placing shall be such that it will not damage the rock bolt or its accessories.

Chipping out the rock may be required to provide a level surface for the bearing plate. Facing bits may also be used for this purpose. This is to provide a reasonably even bearing surface, the bearing face does not need to be perpendicular to the bolt orientation.

**No couplers will be allowed in the bonded zone.**

**Grouting.**

Remove oil, rust inhibitors, residual drilling fluids and similar foreign materials from holding tanks/hoppers, stirring devices, pumps, lines, grout tubes and all other equipment in contact with grout before use. All surplus water and diluted grout shall be flushed or blown from all lines before commencing injections. The grout line shall be attached to the grout injection tube with suitable fittings such that leakage is entirely prevented.

Grouting of the annular space around the rock bolt shall be accomplished by pressure grouting with a grout pump, capable of providing a minimum of 90 psi (620 kPa) capacity

at the grouting location. Mixers and pumps shall have adequate capacity and hoses shall be sized to allow continuous grouting of an individual bolt within one hour or less.

The grout shall be injected at a pressure, which is sufficient to overcome hydrostatic head. Dewatering or pre-grouting may be required for proper grouting of the rock bolt in groundwater or poor rock conditions. Fill drill holes progressively from the bottom to top and withdraw grout tube at a slow even rate as the hole is filled to prevent voids in the grout. Extend grout tube into grout a minimum of 5 ft (1.5 m) at all times except when grout is initially placed in a drill hole.

Provide grout free of segregation, intrusions, contamination, structural damage or inadequate consolidation (honeycombing). Cold joints in grout are not allowed in bonded zone.

#### **Proof Tests, Acceptance Criteria and Lock Off Procedures.**

Each production rock bolt shall be proof tested in accordance with the requirements of this specification. Testing of the rock bolts shall not be performed until the rock bolt grout has attained at least 100 percent of the minimum required compressive strength. Rock bolts which do not meet the proof test criteria contained herein shall be rejected and a replacement rock bolt shall be installed.

The testing equipment shall be capable of stressing the rock bolt to the maximum specified test load within the rated capacity. The pump shall be capable of applying each load increment in less than 60 seconds. The equipment shall permit the rock bolt to be stressed in increments so that the load can be raised or lowered in accordance with the test specifications. Stressing equipment shall have been calibrated within an accuracy of  $\pm 2\%$  within 60 days prior to use. The calibration certificate and graph shall be available on site at all times. The calibration shall be traceable to the National Institute of Standards and Technology (NIST). The production gauge shall have graduations not larger than 100 psi (690 kPa). One certified dial gauge, traceable to the National Institute of Standards and Technology (NIST), shall be used which permit the measurement of total movement at every load increment to be read to the nearest 0.001 in (0.025 mm). The dial gauge shall have sufficient travel to record the total rock bolt movement at the maximum test load without the need to reset at an interim point.

#### **Testing Equipment Setup**

Prior to setting the dial gauge, the alignment load shall be accurately placed on the rock bolt. The alignment load (AL) shall be determined by the Engineer as a percent of the design load. The dial gauge shall bear on the pulling head of the jack and the stems shall be in alignment with the rock bolt direction. The dial gauge shall be supported on an independent fixed frame, such as a tripod, which will not move as a result of stressing or other construction activities during the test.

#### **Testing Schedule.**

Proof tests shall be performed by incrementally loading the rock bolt to 125 percent of the design test load (DTL). The rock bolt movement at each load shall be measured and recorded by the Installer and/or the Inspector. At load increments other than the maximum test load, the load shall be held long enough to obtain a stable reading. Incremental loading for proof tests shall be in accordance with the following schedule:

AL  
0.25 DTL  
0.50 DTL  
0.75 DTL  
1.00 DTL  
1.25 DTL  
AL

Lock-off

All load increments shall be maintained within 5 percent of the intended load. Depending on performance, either 10 minute or 60 minute creep tests shall be performed at the maximum test load (1.25 DTL). The creep period shall start as soon as the maximum test load is applied and rock bolt movement shall be measured and recorded at 1, 2, 3, 4, 5, 6, and 10 minutes. Where the rock bolt movement between 1 and 10 minutes exceeds 0.040 in ( 1.00 mm ), the maximum test load shall be maintained an additional 50 minutes and movements shall be recorded at 20, 30, 40, 50, and 60 minutes. Total creep movement must not exceed 0.080 in (2.0 mm ) between the 6 and 60 minute readings.

#### **Proof Test Acceptance Criteria.**

Proof tests shall be considered acceptable when all of the following criteria is met.

A total creep movement of less than 0.04 in (1.00 mm) is observed between the 1 and 10 minute readings or a total creep movement of less than 0.08 in (2.00 mm) is observed between the 6 and 60 minute readings.

The creep rate is constant or decreasing throughout the creep test load hold period.

The total movement at the maximum test load exceeds 80 percent of the theoretical elastic elongation of the rock bolt unbonded length.

A pullout failure does not occur at the maximum test load. Pullout failure is defined as the load at which attempts to increase the test load simply result in continued pullout movement of the rock bolt. The pullout failure load shall be recorded as part of the test data.

#### **Lock Off Procedures.**

After completion and acceptance of the proof test, the rock bolt shall be locked off at the design load or at a load as directed by the Engineer.

**Corrosion Protection.**

All accessible rock bolt bars, plates, washers, wedges and nuts, shall be protected against corrosion in accordance with ASTM A780, Standard Practice for Repair of Damaged Hot-Dipped Galvanized Coatings, Annex A2. This includes a minimum of 12 inches (300 mm) behind the rock face. Thoroughly clean areas to produce a clean, bare and dry bright metal surface with a roughened profile. For bolts use a thorough wire brushing and SP1 cleaning as a minimum. Apply an approved organic zinc-rich repair painting containing 92 percent (min.) zinc by weight in the dry film, according to the manufacturer's recommendations, in two to four coats. Paints shall be approved and may be a liquid and brushed on or an aerosol and sprayed, whichever is appropriate to achieve and aesthetic finish as long as cure is achieved.

**Submittals.**

The Contactor shall submit the rock bolt bonded length for approval by the Engineer. The bonded length shall be sufficient to provide a capacity of 2.0 times the specified design load. Provide Type 3 Manufacturer's Certifications in accordance with Article 106-3 of the *Standard Specifications* for rock bolt materials. Store steel materials on blocking a minimum of 12" (300 mm) above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store soil nail wall materials such that they are kept clean and free of damage. Damaged or bent materials will be rejected.

**Construction Records**

Provide 2 original hard copies to the Inspector of rock bolt installation records including the following within 24 hours of completing each bolt.

1. Project description, county, NCDOT contract, TIP and WBS element number
2. Rock bolt locations, diameters, lengths and inclinations, bar types, sizes and grades, corrosion protection and temporary casing information.
3. Date and time rock bolts are placed, grout mixed and/or arrives on-site and grout placement begins and ends
4. Ground and surface water conditions and elevations, if applicable
5. Weather conditions including air temperature at time of grout placement
6. All other pertinent details related to rock bolt placement

**4.0 METHOD OF MEASUREMENT**

Rock bolts shall be measured by the linear foot to the nearest foot for the length of rock bolt bar installed. Only the embedded length of the installed rock bolt is measured for payment.

**5.0 BASIS OF PAYMENT**

The accepted quantity of rock bolts shall be paid for at the contract price per linear foot complete in place and passing the required proof test.

The unit price shall include all items for the anchor assembly, couplers, bearing plate, washers, wedges, hex nut, grout, drilling of the hole, installation, preparation of a bearing surface by chipping out the rock or using a facing bit, corrosion protection, and any other accessories or equipment required to install and test the rock bolts.

Drill holes which are found to be unsuitable for use due to undesirable conditions will be paid for at 10 percent of the contract price per linear foot of the rock bolts.

The quantities in the contract are approximate only. There will be no adjustment in the contract price for differences between the estimated and the actual quantities.

Such price and payment will be full compensation for furnishing all labor, materials, equipment, and supervision necessary for the actual installation of the rock bolts and the performance of pull out tests as specified by the Engineer.

Payment will be made under:

**Pay Item**

Tensioned Spot Rock Bolt - 75 kips

**Pay Unit**

Linear Feet



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*D. Matthew Brewer*

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01/05/2026

**SLOPE STABILIZATION FOR WEATHERED ROCK AND ROCK SLOPES (SPECIAL):****Description**

This provision addresses rock anchors and wire mesh to be used for rock slope stabilization, rockfall protection and other applications in accordance with the contract. Provide rock slope materials as shown in the plans and as directed.

**Materials**

Refer to Division 10 of the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Grout	1003
Portland Cement Concrete	1000
Steel Plates	1072-2

Use neat cement grout for Type 2 grout for anchors and bolts and Class AA concrete for foundations. Provide Type 3 material certifications for rock slope materials in accordance with Article 106-3 of the *Standard Specifications*. Store materials on blocking at least 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store rock slope materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

**(A) Untensioned Rock Anchors**

Provide 1" diameter rock anchors consisting of grouted steel bars, anchor plates, nuts and if applicable, bearing plates and washers. Use deformed steel bars that meet AASHTO M 275 or M 31, Grade 150 and splice bars in accordance with Article 1070-9 of the *Standard Specifications* unless required otherwise in the contract. Use steel plates for anchor and bearing plates and steel washers and hex nuts recommended by the Anchor Manufacturer. Galvanize bars, plates, nuts and washers in accordance with Section 1076 of the *Standard Specifications*.

Fabricate centralizers from schedule 40 PVC plastic pipe or tube, steel or other material not detrimental to steel bars (no wood). Size centralizers to position bars within 1" of drill hole centers and allow tremies to be inserted to ends of holes. Use centralizers that do not interfere with grout placement or flow around bars.

Three proof tests will be required on installed anchors to 120% of Design Load (75 kips) or 90 kips total.

**(B) Wire Mesh and Nets**

This project will utilize Type 1 or Type 2 Wire Mesh from the table below, Wire Mesh Requirements

At the Contractor's option, use galvanized steel plates recommended by the Wire Mesh/Net

Manufacturer instead of anchor plates required above to anchor wire mesh or nets to excavation or slope faces.

Provide support ropes to suspend wire mesh or nets from rock anchors. At the Contractor's option and when noted in the plans, suspend wire mesh or nets from grouted cable anchors instead of rock anchors and connect cable anchors to support ropes with shackles.

Provide any wire mesh and net components or hardware not addressed in this provision in accordance with the Wire Mesh/Net Manufacturer's recommendations. Galvanize steel components not addressed in this provision in accordance with Section 1076 of the *Standard Specifications*.

(1) Hardware

Use shackles that meet Federal Specification RR-C-271, Type IVA or IVB, Grade B, Class 2 or 3 with a zinc-coated finish. Use thimbles that meet Federal Specification FF-T-276, Type III and clamps, i.e., U-bolt wire rope clips that meet Federal Specification FF-C-450, Type I, Class 1. Provide shackles, thimbles and clamps of a size recommended by the Wire Mesh/Net Manufacturer.

(2) Steel Wire and Wire Ropes

For double-twisted hexagonal mesh wire, use carbon steel wires that meet ASTM A641, Class 3 or A Coating or better, Soft Temper with a tensile strength of at least 60,000 psi. If PVC coated double-twisted hexagonal mesh wire is required, provide PVC coating (gray color) that meets ASTM A975. For high-strength wire, use cold-drawn nonalloy or hard-drawn carbon steel wires that meet either of the following:

- (a) ASTM A764, Tensile Class I or II with Class 3 or A Coating or better that meets ASTM A856 or
- (b) European Standard EN 10264-2, Grade 1370 or better, Class A or B Coating.

Use galvanized stranded carbon steel wire ropes with a steel core (SC) that meet ASTM A1023 for wire ropes. Use wire ropes with an independent wire rope core (IWRC), 6D19 construction, at least 1/2" diameter and minimum breaking force recommended by the Wire Mesh/Net Manufacturer for boundary and support ropes and cable anchors. Use wire ropes with 7D7 or 7D19 construction, at least 5/16" diameter and minimum breaking force recommended by the Wire Mesh/Net Manufacturer for lacing cables, seam and perimeter ropes and wire nets.

(3) Wire Mesh

Provide high-strength mesh or double-twisted hexagonal mesh with wire ropes woven into mesh, if necessary, for wire mesh. Use double-twisted hexagonal mesh that meets ASTM A975 and high-strength wires for high-strength mesh. Use boundary or perimeter ropes at ends of wires or fasten ends of wires together to prevent wire mesh from unraveling. Provide wire mesh types that are on the NCDOT Approved Products List and in accordance with the contract. Use wire mesh with properties that meet the following:

<b>WIRE MESH REQUIREMENTS</b>			
<b>Property</b>	<b>Requirement</b>		
	<b>Type 1</b>	<b>Type 2</b>	<b>Type 3</b>
Minimum Mesh Tensile Strength in Longitudinal Direction <sup>A</sup>	3,500 lb/ft	8,900 lb/ft	8,900 lb/ft
Minimum Mesh Tensile Strength in Transverse Direction <sup>B</sup>	1,400 lb/ft	3,400 lb/ft	6,200 lb/ft
Maximum Mesh Opening Width	4"		
Minimum Double-Twisted Hexagonal Mesh Wire Diameter	ASTM A975, Table 1 (8 by 10 mesh type)		
Double-Twisted Hexagonal Mesh Wire PVC Coating Thickness	None	ASTM A975, Table 1 (8 by 10 mesh type)	
Minimum High-Strength Wire Diameter	0.079" (2 mm)	0.118" (3 mm)	0.157" (4 mm)

- A. Direction of largest mesh opening
- B. Direction perpendicular to longitudinal direction

Provide lacing cables, seam ropes, hog rings or connection clips to lace, seam or connect wire mesh sections together. Use fasteners, i.e., hog rings that meet ASTM A975 and connection clips consisting of high-strength wires with a wire diameter of at least 0.118" (3 mm). Weave lacing cables or seam ropes or install hog rings or connection clips in accordance with the plans and Wire Mesh Manufacturer's instructions.

Payment for mesh will include materials, material overlap to manufacturer's specification, installation and all ancillary clips, rings lacing and supporting cables.

(4) Wire Nets

Provide cable, rope or ring nets for wire nets. Provide cable and rope nets with secure intersections that do not separate when nets are loaded. Use wire ropes with 7D7 or 7D19 construction or better or high-strength wires with 1D3 construction or better for cable and rope nets. Use boundary or perimeter ropes at ends of wire ropes or fasten ends of ropes together to prevent ropes and nets from unraveling.

Provide ring nets with interlocking rings that pass through all adjoining rings to link rings together. Use rings consisting of coils of high-strength wires with at least 2 clips or sleeves spaced equally around each ring to hold coils together. Provide wire net types that are on the NCDOT APL and in accordance with the contract. Use wire nets with properties that meet the following:

<b>WIRE NET REQUIREMENTS</b>			
<b>Property</b>	<b>Requirement</b>		
	<b>Type 1</b>	<b>Type 2</b>	<b>Type 3</b>
<i>Wire Net Type</i>	<i>Cable/Rope</i>	<i>Cable/Rope/</i>	<i>Ring Net</i>

	<i>Net</i>	<i>Ring Net</i>	
Minimum Net Tensile Strength in Longitudinal Direction <sup>A,C</sup>	12,300 lb/ft	9,400 lb/ft	15,900 lb/ft
Minimum Net Tensile Strength in Transverse Direction <sup>B,C</sup>	6,800 lb/ft	9,400 lb/ft	15,900 lb/ft
Maximum Net Opening Diameter	12"	14"	
Minimum Wire Rope Diameter	5/16" (8 mm)		–
Minimum Number of Coils per Ring	–	7	10
Minimum High-Strength Wire Diameter	0.157" (4 mm)	0.118" (3 mm)	

- A. Direction of largest net opening for cable and rope nets  
 B. Direction perpendicular to longitudinal direction  
 C. Based on minimum breaking load for 3 ring chain in kilonewtons (kN) D number of rings per meter (m) in each direction for ring nets

Provide lacing cables, seam ropes or shackles to lace, seam or connect wire net sections together except shackles are required for nets anchored to excavation or slope faces. Weave lacing cables or seam ropes or install shackles in accordance with the plans and Wire Net Manufacturer's instructions.

When shown in the plans, completely cover underside of wire nets with Type 1 wire mesh or chain-link mesh so mesh is between nets and excavation or slope faces. Use chain-link mesh with a mesh size of 2" that meets AASHTO M 181, Type I Fabric, Class C or D Coating. Align longitudinal direction of wire mesh with longitudinal direction of cable or rope nets. Attach wire or chain-link mesh to wire nets in accordance with the plans and Wire Net Manufacturer's instructions. Use fasteners, i.e., hog rings, that meet ASTM A975 to attach wire or chain-link mesh to wire nets.

#### MEASUREMENT AND PAYMENT

*Wire Mesh (Type 1)* or *Wire Mesh (Type 2)* will be measured and paid in square yards. Wire Mesh will be measured along the slope face as the square yards of exposed wire mesh before installing anchors. No measurement will be made for overlapping wire mesh. The contract unit price for *Wire Mesh (Type 1)* or *Wire Mesh (Type 2)* will be full compensation for providing, transporting, and installing Wire Mesh and will include materials, material overlap to manufacturer's specification, installation, and all ancillary clips, rings lacing and supporting cables.

*Untensioned Rock Anchors* will be measured and paid in linear feet. Untensioned Rock Anchors will be measured as the linear feet of hole drilled and no measurement will be made for any rods or bars installed in or extending out from drill holes. The contract unit price for *Untensioned Rock Anchors* will be full compensation for providing labor, tools, equipment and materials, drilling and removing cuttings, installing, connecting and sealing, grouting, and supplying all the necessary

materials and any incidentals necessary to construct *Untensioned Rock Anchors*. This will also include items to 6” beyond the installed face plate. 3 proof tests are considered incidental items.

**Pay Item**

Wire Mesh (Type 1)

Wire Mesh (Type 2)

Untensioned Rock Anchor

**Pay Unit**

Square Yard

Square Yard

Linear Feet



DocuSigned by:  
*D. Matthew Brewer*  
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04/09/2026

**NATURAL LANDSCAPE STONE**

**(SPECIAL)**

**Description**

Use natural landscape stone to cover exposed areas for rock embankments adjacent to ponds.

**Materials**

Use field stone or rough unhewn quarry stone for *Natural Landscape Stone*. Use stone that is sound, tough, dense, resistant to the action of air and water and suitable in all other respects for the purpose intended. If required by the Engineer, rounded stone with a variation of colors may be selected for the intended landscaping purpose. The final selected size range and color scheme of the *Natural Landscape Stone* is to be approved by the Engineer.

Color shall be a special range and shall be in multiple colors of red, orange, yellow, gray, brown, white, and black, or as required by the engineer, to achieve a full, natural color variation.

While no specific gradation is required, there shall be equal distribution of the various sizes of the stone within the required size range. The size range shall be between 1 and 4 inches in diameter. The size of an individual stone particle will be determined by measuring its long dimension. No more than 5.0% of the material furnished can be less than the minimum size specified nor no more than 10.0% of the material can exceed the maximum size specified. Mechanical sorting of the material may be required.



Example Photo: Natural Landscape Stone

**Measurement and Payment**

*Natural Landscape Stone* will be measured and paid for in tons. Material will be measured by weighing material in trucks in accordance with Article 106-7 of the Standard Specifications. The contract unit prices *Natural Landscape Stone* will be full compensation for providing, hauling, handling, placing, compacting, and maintaining material.

Payment will be made under:

**Pay Item**

Natural Landscape Stone

**Pay Item**

Ton



DocuSigned by:

*D. Matthew Brewer*

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**SOIL NAIL RETAINING WALLS****(1-16-24)****1.0 GENERAL**

Construct soil nail retaining walls consisting of soil nails spaced at a regular pattern and connected to a CIP reinforced concrete face. A soil nail consists of a solid steel bar grouted in a drilled hole inclined at an angle below horizontal. Use shotcrete for temporary support of excavations during construction. Design and construct soil nail retaining walls based on actual elevations and wall dimensions in accordance with the contract and accepted submittals. Use a prequalified Anchored Wall Contractor to construct soil nail retaining walls. Define “soil nail wall” as a soil nail retaining wall and “Soil Nail Wall Contractor” as the Anchored Wall Contractor installing soil nails and applying shotcrete. Define “nail” as a soil nail and “concrete facing” as a CIP reinforced concrete face. An abutment wall is defined as a soil nail wall with nails that extend under a bridge end bent or a soil nail wall connected to an abutment wall. Even if only one nail extends under a bridge end bent, the entire soil nail wall is considered an abutment wall.

**2.0 MATERIALS**

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Geosynthetics	1056
Joint Materials	1028
Masonry	1040
Portland Cement	1024-1
Portland Cement Concrete, Class A	1000
Reinforcing Steel	1070
Select Material, Class VI	1016
Shotcrete	1002
Shoulder Drain Materials	816-2
Steel Plates	1072-2
Water	1024-4
Welded Stud Shear Connectors	1072-6

Provide Class VI select material (standard size No. 57 stone) for leveling pads. Use Type 5 grout for soil nails.

Provide soil nails consisting of grouted steel bars and nail head assemblies. Use deformed solid steel bars that meet AASHTO M 275 or M 31, Grade 60, 75 or 80. Splice bars in accordance with Article 1070-9 of the *Standard Specifications*.

Provide epoxy coated bars that meet Article 1070-7 of the *Standard Specifications*. Provide Class A corrosion protection (encapsulated bar) or Class B corrosion protection (epoxy coated bar only, no galvanized bar) for soil nails in accordance with Article 34.3.3 of the *AASHTO LRFD Bridge Construction Specifications*. Use centralizers that meet Article 34.3.4 of the AASHTO LRFD specifications.

Provide nail head assemblies consisting of nuts, washers and bearing plates with welded stud shear connectors. Use steel plates for bearing plates and steel washers and hex nuts recommended by the Soil Nail Manufacturer.

Provide Type 3 material certifications for soil nail materials in accordance with Article 106-3 of the *Standard Specifications*. Store steel materials on blocking at least 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store soil nail wall materials so materials are kept clean and free of damage. Do not crack, fracture or otherwise damage grout inside sheaths of encapsulated nails. Bent, damaged or defective materials will be rejected.

### 3.0 PRECONSTRUCTION REQUIREMENTS

#### A. Soil Nail Wall Surveys

The Retaining Wall Plans show a plan view, typical sections, details, notes and an elevation or profile view (wall envelope) for each soil nail wall. Before beginning soil nail wall design, survey existing ground elevations shown in the plans and other elevations in the vicinity of soil nail wall locations as needed. For proposed slopes above or below soil nail walls, survey existing ground elevations to at least 10 ft beyond slope stake points. Based on these elevations, finished grades and actual soil nail wall dimensions and details, submit revised wall envelopes for acceptance. Use accepted wall envelopes for design.

#### B. Soil Nail Wall Designs

For soil nail wall designs, submit PDF files of working drawings and design calculations at least 30 days before the preconstruction meeting. Do not begin soil nail wall construction until a design submittal is accepted.

Use a prequalified Anchored Wall Design Consultant to design soil nail walls. Provide designs sealed by a Design Engineer approved as a Geotechnical Engineer (key person) for the Anchored Wall Design Consultant.

Design soil nail walls in accordance with the plans and the *AASHTO LRFD Bridge Design Specifications* unless otherwise required. For abutment walls only, design soil nail walls for seismic if wall sites meet either or both of the following:

- Wall site is in seismic zone 2 based on Figure 2-1 of the *Structure Design Manual*,
- Wall site is classified as AASHTO Site Class E, as noted in the plans, and is in or west of Pender, Duplin, Wayne, Johnston, Wake, Durham or Person County.

Design soil nails that meet the following unless otherwise approved:

1. Horizontal and vertical spacing of at least 3 ft,
2. Inclination of at least 12° below horizontal,

3. Clearance between ends of bars and drill holes of at least 6",
4. Grout cover between epoxy coated bars and drill hole walls of at least 1" or in accordance with Article 11.12.8 of the AASHTO LRFD specifications for encapsulated bars and
5. Diameter of 6" to 10".

Four inch diameter soil nails may be approved for nails in rock at the discretion of the Engineer. Do not extend nails beyond right-of-way or easement limits. If existing or future obstructions such as foundations, guardrail, fence or handrail posts, pavements, pipes, inlets or utilities will interfere with nails, maintain a clearance of at least 6" between obstructions and nails.

When noted in the plans, design soil nail walls for a live load (traffic) surcharge of 250 psf. For steel beam guardrail with 8 ft posts above soil nail walls, analyze facing and top row of nails for a nominal horizontal load ( $P_{HI}$ ) of 300 lb/ft of wall in accordance with Figure 3.11.6.3-2(a) of the AASHTO LRFD specifications. For concrete barrier rail above soil nail walls, analyze facing and top row of nails for a nominal  $P_{HI}$  of 500 lb/ft of wall in accordance with Figure 3.11.6.3-2(a).

Provide wall drainage systems consisting of geocomposite sheet drains, an aggregate shoulder drain and outlet components. Place sheet drains with a horizontal spacing of no more than 10 ft and center drains between adjacent nails. Attach sheet drains to excavation faces and connect drains to aggregate leveling pads. Locate a continuous aggregate shoulder drain along the base of concrete facing in front of leveling pads. Provide aggregate shoulder drains and outlet components in accordance with Roadway Standard Drawing No. 816.02.

Use No. 57 stone for aggregate leveling pads. Use 6" thick leveling pads beneath concrete facing. Unless required otherwise in the plans, embed top of leveling pads at least 12" below bottom of walls shown in the plans.

Design shotcrete and concrete facing in accordance with the plans and Article 11.12.6.2 of the *AASHTO LRFD Bridge Design Specifications*. Use shotcrete and concrete facing with the dimensions shown in the plans and attach facing to nail heads with welded stud shear connectors. When concrete barrier rail is required above soil nail walls, use concrete barrier rail with moment slab as shown in the plans.

Submit working drawings and design calculations including unit grout/ground bond strengths for acceptance in accordance with Article 105-2 of the *Standard Specifications*. Submit working drawings showing plan views, wall profiles with nail locations including known test nail locations, typical sections and details of nails, drainage, shotcrete, leveling pads and concrete facing. If necessary, include details on working drawings for concrete barrier rail with moment slab and obstructions extending through walls or interfering with nails, barriers or moment slabs. Submit design calculations for each wall section with different surcharge loads, geometry or material parameters. Include analysis of temporary conditions in design calculations. At least one analysis is required for each

wall section with different nail lengths. Analyze internal and compound stability with a computer software program that uses limit equilibrium methods and submit all PDF output files from the program with the design calculations. See Article C11.12.2 of the AASHTO LRFD specifications for determining the maximum soil nail force,  $T_{\max sn}$ . Once  $T_{\max sn}$  and pullout length behind slip surface,  $L_P$ , are determined from limit equilibrium methods at the target soil failure resistance factor (1 over factor of safety output from computer software), use these values for soil nail (pullout and tensile resistance) and wall facing (flexure, punching shear and headed-stud tensile resistance) design in accordance with Articles 11.12.5.2, 11.12.6.1 and 11.12.6.2 of the AASHTO LRFD specifications.

When designing soil nail walls with computer software Snail manufactured by the California Department of Transportation (CALTRANS), use Snail, version 2.2.0 or later, to calculate factors of safety and  $T_{\max sn}$  and  $L_P$  values in accordance with the following:

1. Allowable Stress Design for Analysis Method with no load factors applied except those applied to factored surcharge loads from structures or traffic,
2. Perform Below Toe Search option selected when any soil layer has a friction angle less than  $30^\circ$  and
3. Default value of 0.33 for Interface Friction Reduction Factor.

When designing soil nail walls with computer software other than Snail, use bi-linear (or tri-linear, as applicable) search surfaces intended to reproduce Snail results. Factors of safety and  $T_{\max sn}$  and  $L_P$  values are acceptable if they are within 5% of the factors of safety and  $T_{\max sn}$  and  $L_P$  values calculated by the Engineer using the computer software Slide2 manufactured by Rocscience, Inc.

#### C. Soil Nail Wall Construction Plan

Submit a PDF file of a soil nail wall construction plan at least 30 days before the preconstruction meeting. Do not begin soil nail wall construction until the construction plan submittal is accepted. Provide detailed project specific information in the soil nail wall construction plan that includes the following:

1. Overall description and sequence of soil nail wall construction;
2. List and sizes of excavation equipment, drill rigs and tools, tremies and grouting equipment;
3. Procedures for excavations, drilling and grouting, soil nail and wall drainage system installation and facing construction;
4. Details of shotcrete equipment and application including mix process, test panels, thickness gauges and shooting methods;
5. Shotcrete nozzleman with certification in accordance with Article 1002-1 of the *Standard Specifications*;
6. Plan and methods for nail testing with calibration certificates dated within 90 days of

the submittal date;

7. Examples of construction records to be provided that meet Section 4.0(F) and test nail records to be used in accordance with Section 5.0(D) of this provision;
8. Grout mix design with acceptable ranges for grout flow and density;
9. Shotcrete mix design that meets Section 1002 of the *Standard Specifications*; and
10. Other information shown in the plans or requested by the Engineer.

If alternate construction procedures are proposed or necessary, a revised soil nail wall construction plan submittal may be required. If the work deviates from the accepted submittal without prior approval, the Engineer may suspend soil nail wall construction until a revised plan is accepted.

#### D. Preconstruction Meeting

Before starting soil nail wall construction, hold a preconstruction meeting to discuss the construction, inspection and testing of the soil nail walls. If this meeting occurs before all soil nail wall submittals have been accepted, additional preconstruction meetings may be required before beginning construction of soil nail walls without accepted submittals. The Resident or Bridge Maintenance Engineer, Area Construction Engineer, Geotechnical Operations Engineer, Contractor and Soil Nail Wall Contractor Superintendent will attend preconstruction meetings.

### 4.0 CONSTRUCTION METHODS

Control drainage during construction in the vicinity of soil nail walls. Direct run off away from soil nail walls and areas above and behind walls.

Notify the Engineer before blasting in the vicinity of soil nail walls. Perform blasting in accordance with the contract. Unless required otherwise in the plans, install foundations located behind soil nail walls before beginning wall construction.

Install soil nail walls in accordance with the accepted submittals and as directed. Do not excavate behind soil nail walls. If overexcavation occurs, repair walls with an approved method and a revised soil nail wall design or construction plan may be required.

#### A. Excavation

Excavate for soil nail walls from the top down in accordance with the accepted submittals. Excavate in staged horizontal lifts with no negative batter (excavation face leaning forward). Excavate lifts in accordance with the following:

1. Heights not to exceed vertical nail spacing,
2. Bottom of lifts no more than 3 ft below nail locations for current lift and
3. Horizontal and vertical alignment within 2" of location shown in the accepted submittals.

Remove any cobbles, boulders, rubble or debris that will protrude more than 2" into the required shotcrete thickness. Rocky ground such as colluvium, boulder fills and weathered rock may be difficult to excavate without leaving voids.

Apply shotcrete to excavation faces within 24 hours of excavating each lift unless otherwise approved. Shotcreting may be delayed if it can be demonstrated that delays will not adversely affect excavation stability. If excavation faces will be exposed for more than 24 hours, use polyethylene sheets anchored at top and bottom of lifts to protect excavation faces from changes in moisture content.

If an excavation becomes unstable at any time, suspend soil nail wall construction and temporarily stabilize the excavation by immediately placing an earth berm up against the unstable excavation face. When this occurs, repair walls with an approved method and a revised soil nail wall design or construction plan may be required.

Do not excavate the next lift until nail installations and testing and shotcrete application for the current lift are accepted and grout and shotcrete for the current lift have cured at least 3 days and 1 day, respectively.

## B. Soil Nails

Install soil nails in the same way as acceptable test nails. Drill and grout nails the same day and do not leave drill holes open overnight.

Control drilling and grouting to prevent excessive ground movements, damaging structures and pavements or fracturing rock and soil formations. If ground heave or subsidence occurs, suspend soil nail wall construction and take corrective action to minimize movement. If property damage occurs, make repairs with an approved method and a revised soil nail wall design or construction plan may be required.

### 1. Drilling

Use drill rigs of the sizes necessary to install soil nails and with sufficient capacity to drill through whatever materials are encountered. Drill straight and clean holes with the dimensions and inclination shown in the accepted submittals. Drill holes within 6" of locations and 2° of inclination shown in the accepted submittals unless otherwise approved.

Stabilize drill holes with temporary casings if unstable, caving or sloughing material is anticipated or encountered. Do not use drilling fluids to stabilize drill holes or remove cuttings.

### 2. Steel Bars

Center steel bars in drill holes with centralizers. Securely attach centralizers along bars at no more than 8 ft centers. Attach uppermost and lowermost centralizers 18" from excavation faces and ends of holes.

Do not insert steel bars into drill holes until hole locations, dimensions, inclination and cleanliness are approved. Do not vibrate, drive or otherwise force bars into holes. If a steel bar cannot be completely and easily inserted into a drill hole, remove the bar and clean or redrill the hole.

### 3. Grouting

Mix and place grout in accordance with Subarticles 1003-5, 1003-6 and 1003-7 of the *Standard Specifications*. Remove oil, rust inhibitors, residual drilling fluids and similar foreign materials from holding tanks/hoppers, stirring devices, pumps, lines, tremie pipes and any other equipment in contact with grout before use. Measure grout temperature, density and flow during grouting with at least the same frequency grout cubes are made for compressive strength. Perform density and flow field tests in the presence of the Engineer in accordance with American National Standards Institute/American Petroleum Institute Recommended Practice 13B-1 (Section 4, Mud Balance) and ASTM C939 (Flow Cone), respectively.

Inject grout at the lowest point of drill holes through tremies, e.g., grout tubes, casings, hollow-stem augers or drill rods, in one continuous operation. Fill drill holes progressively from ends of holes to excavation faces and withdraw tremies at a slow even rate as holes are filled to prevent voids in grout. Extend tremies into grout at least 5 ft at all times except when grout is initially placed in holes.

Provide grout free of segregation, intrusions, contamination, structural damage or inadequate consolidation (honeycombing). Cold joints in grout are not allowed except for test nails. Remove any temporary casings as grout is placed and record grout volume for each drill hole.

### 4. Nail Heads

Weld stud shear connectors to bearing plates of nails in accordance with Article 1072-6 of the *Standard Specifications*. Install nail head assemblies after shotcreting. Before shotcrete reaches initial set, seat bearing plates and tighten nuts so plates contact shotcrete uniformly. If uniform contact is not possible, install nail head assemblies on mortar pads so nail heads are evenly loaded.

## C. Wall Drainage Systems

Install wall drainage systems as shown in the accepted submittals and in accordance with Section 816 of the *Standard Specifications*. Before installing shotcrete reinforcement, place geocomposite sheet drains with the geotextile side against excavation faces. For highly irregular faces and at the discretion of the Engineer, sheet drains may be placed after shotcreting over weep holes through the shotcrete. Hold sheet drains in place with anchor pins so drains are in continuous contact with surfaces to which they are attached and allow for full flow the entire height of soil nail walls. Discontinuous sheet drains are not allowed. If splices are needed, overlap sheet drains at least 12" so flow is not impeded. Connect sheet drains to aggregate leveling pads by embedding drain ends at least 4" into No. 57 stone.

#### D. Shotcrete

Clean ungrouted zones of drill holes and excavation faces of loose materials, mud, rebound and other foreign material. Moisten surfaces to receive shotcrete. Install shotcrete reinforcement in accordance with the contract and accepted submittals. Secure reinforcing steel so shooting does not displace or vibrate reinforcement. Install approved thickness gauges on 5 ft centers in the horizontal and vertical directions to measure shotcrete thickness.

Apply shotcrete in accordance with the contract, accepted submittals and Subarticle 1002-3(F) of the *Standard Specifications*. Use approved shotcrete nozzlemen who made satisfactory preconstruction test panels to apply shotcrete. Direct shotcrete at right angles to excavation faces except when shooting around reinforcing steel. Rotate nozzle steadily in small circular patterns and apply shotcrete from bottom of lifts up.

Make shotcrete surfaces uniform and free of sloughing or sagging. Completely fill ungrouted zones of drill holes and any other voids with shotcrete. Taper construction joints to a thin edge over a horizontal distance of at least the shotcrete thickness. Wet joint surfaces before shooting adjacent sections.

Repair surface defects as soon as possible after shooting. Remove any shotcrete which lacks uniformity, exhibits segregation, honeycombing or lamination or contains any voids or sand pockets and replace with fresh shotcrete to the satisfaction of the Engineer. Protect shotcrete from freezing and rain until shotcrete reaches initial set.

#### E. Leveling Pads and Concrete Facing

Construct aggregate leveling pads at elevations and with dimensions shown in the accepted submittals. Compact leveling pads with a vibratory compactor to the satisfaction of the Engineer.

Construct concrete facing in accordance with the accepted submittals and Section 420 of the *Standard Specifications*. Do not remove forms until concrete attains a compressive strength of at least 2,400 psi. Unless required otherwise in the plans, provide a Class 2 surface finish for concrete facing that meets Subarticle 420-17(F) of the *Standard Specifications*. Construct concrete facing joints at a spacing of 10 ft to 12 ft unless required otherwise in the plans. Make 1/2" thick expansion joints that meet Article 420-10 of the *Standard Specifications* for every third joint and 1/2" deep grooved contraction or sawed joints that meet Subarticle 825-10(B) or 825-10(E) respectively for the remaining joints. Stop reinforcing steel for concrete facing 2" on either side of expansion joints.

If a brick veneer is required, construct brick masonry in accordance with Section 830 of the *Standard Specifications*. Anchor brick veneers to soil nail walls in accordance with Subarticle 453-4 of the *Standard Specifications*. Seal joints above and behind soil nail walls between concrete facing and slope protection with silicone sealant.

**F. Construction Records**

Provide 2 copies of soil nail wall construction records within 24 hours of completing each lift. Include the following in construction records:

1. Names of Soil Nail Wall Contractor, Superintendent, Nozzleman, Drill Rig Operator, Project Manager and Design Engineer;
2. Wall description, county, Department's contract, TIP and WBS element number;
3. Wall station and number and lift location, dimensions, elevations and description;
4. Nail locations, dimensions and inclinations, bar types, sizes and grades, corrosion protection and temporary casing information;
5. Date and time drilling begins and ends, steel bars are inserted into drill holes, grout and shotcrete are mixed and arrives on-site and grout placement and shotcrete application begins and ends;
6. Grout volume, temperature, flow and density records;
7. Ground and surface water conditions and elevations if applicable;
8. Weather conditions including air temperature at time of grout placement and shotcrete application; and
9. All other pertinent details related to soil nail wall construction.

After completing each soil nail wall or stage of a wall, provide a PDF file of all corresponding construction records.

**5.0 NAIL TESTING**

Test soil nails in accordance with the contract and as directed. "Verification tests" are performed on nails not incorporated into soil nail walls, i.e., sacrificial nails and "proof tests" are performed on nails incorporated into walls, i.e., production nails. Define "verification test nail" and "proof test nail" as a nail tested with either a verification or proof test, respectively. Define "test nails" as verification or proof test nails.

Verification tests are typically required for at least one nail per soil type per soil nail wall or 2 nails per wall, whichever is greater. Proof tests are typically required for at least one nail per nail row per soil nail wall or at least 5% of production nails, whichever is greater. More or less test nails may be required depending on subsurface conditions encountered. The Engineer will determine the number and locations of verification and proof tests required. The approximate known test nail locations may be shown in the plans.

Do not test nails until grout and shotcrete attain the required 3-day compressive strength. Do not install any production nails until verification tests are accepted.

**A. Test Equipment**

Use the following equipment to test nails:

1. Two dial gauges with rigid supports,
2. Hydraulic jack and pressure gauge,
3. Jacking block or reaction frame and
4. Electrical resistance load cell (verification tests only).

Provide dial gauges with enough range and precision to measure the maximum test nail movement to 0.001". Use pressure gauges graduated in 100 psi increments or less. Submit identification numbers and calibration records for load cells, jacks and pressure gauges with the soil nail wall construction plan. Calibrate each jack and pressure gauge as a unit.

Align test equipment to uniformly and evenly load test nails. Use a jacking block or reaction frame that does not damage or contact shotcrete within 3 ft of nail heads. Place dial gauges opposite each other on either side of test nails and align gauges within 5° of bar inclinations. Set up test equipment so resetting or repositioning equipment during nail testing is not needed.

#### B. Test Nails

Test nails include both unbonded and bond lengths. Grout only bond lengths before nail testing. Provide unbonded and bond lengths of at least 3 ft and 10 ft, respectively.

Steel bars for production nails may be overstressed under higher test nail loads. If necessary, use larger size or higher grade bars with more capacity for test nails instead of shortening bond lengths to less than the minimum required.

#### C. Nail Tests

Install verification test nails with the same equipment, installation methods and drill hole diameter and inclination as production nails. Test verification and proof test nails in accordance with the accepted submittals and Articles 34.5.5.2 and 34.5.5.3, respectively of the *AASHTO LRFD Bridge Construction Specifications* except correct Eq. 34.5.5.2-2 to  $VTL = L_{BVT} \times r_{po}$  (kips/ft).

#### D. Test Nail Acceptance

Submit 2 copies of test nail records including load versus movement and time versus creep movement plots within 24 hours of completing each verification or proof test. The Engineer will review the test nail records to determine if test nails are acceptable. Test nail acceptance is based in part on the acceptance criteria in Article 34.5.5.4 of the *AASHTO LRFD Bridge Construction Specifications*.

For proof test nails, maintain stability of unbonded lengths for subsequent grouting. If a proof test nail is accepted but the unbonded length cannot be satisfactorily grouted, do not incorporate the proof test nail into the soil nail wall and add another production nail to replace the test nail.

If the Engineer determines a verification test nail is unacceptable, revise the soil nail design or installation methods. Submit a revised soil nail wall design or construction plan for acceptance and provide acceptable verification test nails with the revised design or installation methods.

If the Engineer determines a proof test nail is unacceptable, either perform additional proof tests on adjacent production nails or revise the soil nail design or installation methods for the production nails represented by the unacceptable proof test nail as determined by the Engineer. Submit a revised soil nail wall design or construction plan for acceptance, provide an acceptable proof test nail with the revised design or installation methods and install additional production nails for the nails represented by the unacceptable proof test nail.

After completing nail testing for each soil nail wall or stage of a wall, provide a PDF file of all corresponding test nail records.

## 6.0 MEASUREMENT AND PAYMENT

*Soil Nail Retaining Walls* will be measured and paid in square feet. Soil nail walls will be measured as the square feet of wall face area with the pay height equal to the difference between top of wall and top of leveling pad elevations. Define “top of wall” as top of concrete facing.

The contract unit price for *Soil Nail Retaining Walls* will be full compensation for providing designs, submittals, labor, tools, equipment and soil nail wall materials, excavating, hauling and removing excavated materials, installing soil nails, grouting, shotcreting and supplying wall drainage systems, leveling pads, concrete facing and any incidentals necessary to construct soil nail walls. The contract unit price for *Soil Nail Retaining Walls* will also be full compensation for brick veneers, if required. No additional payment will be made and no extension of completion date or time will be allowed for repairing property damage, overexcavations or unstable excavations, unacceptable test nails or thicker shotcrete or concrete facing.

The contract unit price for *Soil Nail Retaining Walls* does not include the cost for ditches, fences, handrails, barrier or guardrail associated with soil nail walls as these items will be paid for elsewhere in the contract.

*Soil Nail Verification Tests* and *Soil Nail Proof Tests* will be measured and paid in units of each. Soil nail testing will be measured as the number of initial verification or proof tests performed. The contract unit prices for *Soil Nail Verification Tests* and *Soil Nail Proof Tests* will be full compensation for initial nail testing. No payment will be made for subsequent nail testing performed on the same or replacement test nails.

Payment will be made under:

### Pay Item

Soil Nail Retaining Walls  
Soil Nail Verification Tests

### Pay Unit

Square Foot  
Each

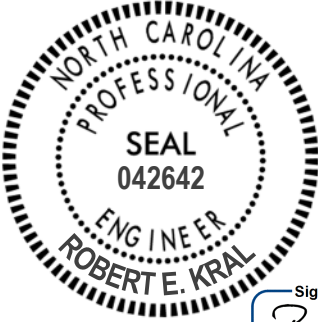
A-0009CD

# GT-9.12

Graham County

Soil Nail Proof Tests

Each



Signed by:  
*Robert E. Kral*  
AA88FF73C5E4B1...

01/05/2026

**TEMPORARY SHORING:**

(2-20-07)(Rev. 1-16-24)

SP11 R02

**Description**

Temporary shoring includes cantilever, braced and anchored shoring and temporary mechanically stabilized earth (MSE) walls. Temporary shoring does not include trench boxes. At the Contractor's option, use any type of temporary shoring unless noted otherwise in the plans or as directed. Design and construct temporary shoring based on actual elevations and shoring dimensions in accordance with the contract and accepted submittals. Construct temporary shoring at locations shown in the plans and as directed. Temporary shoring is required to maintain traffic when a 2:1 (H:V) slope from the top of an embankment or bottom of an excavation will intersect the existing ground line less than 5 feet from the edge of pavement of an open travelway. This provision does not apply to pipe, inlet or utility installation unless noted otherwise in the plans.

Positive protection includes concrete barrier and temporary guardrail. Provide positive protection for temporary shoring at locations shown in the plans and as directed. Positive protection is required if temporary shoring is located in the clear zone in accordance with the *AASHTO Roadside Design Guide*.

**(A) Cantilever and Braced Shoring**

Cantilever shoring consists of steel sheet piles or H-piles with timber lagging. Braced shoring consists of sheet piles or H-piles with timber lagging and bracing such as beams, plates, walers, struts, rakers, etc. Define "piles" as sheet piles or H-piles.

**(B) Anchored Shoring**

Anchored shoring consists of sheet piles with walers or H-piles with timber lagging anchored with ground or helical anchors. Driven anchors may be accepted at the discretion of the Engineer. A ground anchor consists of a grouted steel bar or multi-strand tendon with an anchorage. A helical anchor consists of a lead section with a central steel shaft and at least one helix steel plate followed by extensions with only central shafts (no helixes) and an anchorage. Anchorages consist of steel bearing plates with washers and hex nuts for bars or steel wedge plates and wedges for strands. Use a prequalified Anchored Wall Contractor to install ground anchors. Define "anchors" as ground, helical or driven anchors.

**(C) Temporary MSE Walls**

Temporary MSE walls include temporary geosynthetic and wire walls. Define "temporary wall" as a temporary MSE wall and "Temporary Wall Vendor" as the vendor supplying the temporary MSE wall. Define "reinforcement" as geotextile, geogrid, geostrip, welded wire grid or metallic strip reinforcement.

Temporary geosynthetic walls consist of geotextiles or geogrids wrapped behind welded wire facing or geostrips connected to welded wire facing. Define "temporary geotextile

wall” as a temporary geosynthetic wall with geotextile reinforcement, “temporary geogrid wall” as a temporary geosynthetic wall with geogrid reinforcement and “temporary geostrip wall” as a temporary geosynthetic wall with geostrip reinforcement.

Temporary wire walls consist of welded wire grid or metallic strip reinforcement connected to welded wire facing. Define “Wire Wall Vendor” as the vendor supplying the temporary wire wall.

(D) Embedment

Define “embedment” for cantilever, braced and anchored shoring as the pile depth below the grade in front of shoring. Define “embedment” for temporary walls as the wall embedment below the grade at the wall face.

(E) Positive Protection

Define “unanchored or anchored portable concrete barrier” as portable concrete barrier (PCB) that meets *Roadway Standard Drawing* No. 1170.01. Define “concrete barrier” as unanchored or anchored PCB or an approved equal. Define “temporary guardrail” as temporary steel beam guardrail that meets *Roadway Standard Drawing* No. 862.02.

## Materials

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Concrete Barrier Materials	1170-2
Flowable Fill, Excavatable	1000-7
Geosynthetics	1056
Grout, Type 1	1003
Portland Cement	1024-1
Portland Cement Concrete	1000
Select Materials	1016
Steel Beam Guardrail Materials	862-2
Steel Plates	1072-2
Steel Sheet Piles and H-Piles	1084
Untreated Timber	1082-2
Water	1024-4
Welded Wire Reinforcement	1070-3

Provide Type 6 material certifications for shoring materials in accordance with Article 106-3 of the *Standard Specifications*. Use Class IV select material for temporary guardrail and Class A concrete that meets Article 450-2 of the *Standard Specifications* or Type 1 grout for drilled-in piles. Provide untreated timber with a thickness of at least 3 inches and a bending stress of at least 1,000 pounds per square inch for timber lagging. Provide steel bracing that meets ASTM A36.

(A) Shoring Backfill

Use Class II, Type 1, Class III, Class V or Class VI select material or material that meets AASHTO M 145 for soil classification A-2-4 with a maximum PI of 6 for shoring backfill except do not use A-2-4 soil for backfill around culverts.

(B) Anchors

Store anchor materials on blocking a minimum of 12 inches above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store anchor materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

(1) Ground Anchors

Use high-strength deformed steel bars that meet AASHTO M 275 or seven-wire strands that meet ASTM A886 or Article 1070-5 of the *Standard Specifications*. Splice bars in accordance with Article 1070-9 of the *Standard Specifications*. Do not splice strands. Use bondbreakers, spacers and centralizers that meet Article 6.3.5 of the *AASHTO LRFD Bridge Construction Specifications*.

Use neat cement grout that only contains cement and water with a water cement ratio of 0.4 to 0.5 which is approximately 5.5 gallons of water per 94 pounds of Portland cement. Provide grout with a compressive strength at 3 and 28 days of at least 1,500 and 4,000 psi, respectively.

(2) Helical Anchors

Use helical anchors with an ICC Evaluation Service, Inc. (ICC-ES) report. Provide couplers, thread bar adapters and bolts recommended by the Anchor Manufacturer to connect helical anchors together and to piles.

(3) Anchorages

Provide steel plates for bearing plates and steel washers, hex nuts, wedge plates and wedges recommended by the Anchor Manufacturer.

(C) Temporary Walls

(1) Welded Wire Facing

Use welded wire reinforcement for welded wire facing, struts and wires. For temporary wire walls, provide welded wire facing supplied by the Wire Wall Vendor or a manufacturer approved or licensed by the vendor. For temporary wire walls with separate reinforcement and facing components, provide connectors (e.g.,

bars, clamps, plates, etc.) and fasteners (e.g., bolts, nuts, washers, etc.) required by the Wire Wall Vendor.

(2) Geotextiles

Provide Type 2 geotextile for separation and retention geotextiles. Provide Type 5a geotextile for geotextile reinforcement with ultimate tensile strengths in accordance with the accepted submittals.

(3) Geogrid and Geostrip Reinforcement

Use geogrids with a roll width of at least 4 feet. Use geogrids for geogrid reinforcement and geostrips for geostrip reinforcement with an “approved” status code in accordance with the NCDOT Geosynthetic Reinforcement Evaluation Program. The list of approved geogrids and geostrips is available from:

[connect.ncdot.gov/resources/Geological/Pages/Products.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Products.aspx)

Provide geogrids and geostrips with design strengths in accordance with the accepted submittals. Geogrids and geostrips are approved for short-term design strengths (3-year design life) in the machine direction (MD) and cross-machine direction (CD) based on material type. Define material type from the website above for shoring backfill as follows:

<b>Material Type</b>	<b>Shoring Backfill</b>
Borrow	A-2-4 Soil
Fine Aggregate	Class II, Type 1 or Class III Select Material
Coarse Aggregate	Class V or VI Select Material

(4) Welded Wire Grid and Metallic Strip Reinforcement

Provide welded wire grid and metallic strip reinforcement supplied by the Wire Wall Vendor or a manufacturer approved or licensed by the vendor. Use welded wire grid reinforcement (“mesh”, “mats” and “ladders”) that meet Article 1070-3 of the *Standard Specifications* and metallic strip reinforcement (“straps”) that meet ASTM A572 or A1011.

### **Preconstruction Requirements**

(A) Concrete Barrier

Define “clear distance” behind concrete barrier as the horizontal distance between the barrier and edge of pavement. The minimum required clear distance for concrete barrier is shown in the plans. At the Contractor’s option or if the minimum required clear distance is not available, set concrete barrier next to and up against traffic side of temporary shoring except for barrier above temporary walls. Concrete barrier with the minimum required clear distance is required above temporary walls.

## (B) Temporary Guardrail

Define “clear distance” behind temporary guardrail as the horizontal distance between guardrail posts and temporary shoring. At the Contractor’s option or if clear distance for cantilever, braced and anchored shoring is less than 4 feet, attach guardrail to traffic side of shoring as shown in the plans. Place ABC in clear distance and around guardrail posts instead of pavement. Do not use temporary guardrail above temporary walls.

## (C) Temporary Shoring Designs

Before beginning temporary shoring design, survey existing ground elevations in the vicinity of shoring locations to determine actual design heights (H). Submit PDF files of working drawings and design calculations for temporary shoring designs in accordance with Article 105-2 of the *Standard Specifications*. Submit working drawings showing plan views, shoring profiles, typical sections and details of temporary shoring design and construction sequence. Do not begin shoring construction until a design submittal is accepted.

Have cantilever and braced shoring designed, detailed and sealed by an engineer licensed in the state of North Carolina. Use a prequalified Anchored Wall Design Consultant to design anchored shoring. Provide anchored shoring designs sealed by a Design Engineer approved as a Geotechnical Engineer (key person) for an Anchored Wall Design Consultant. Include details in anchored shoring working drawings of anchor locations and lock-off loads, unit grout/ground bond strengths for ground anchors or minimum installation torque and torsional strength rating for helical anchors and if necessary, obstructions extending through shoring or interfering with anchors. Include details in the anchored shoring construction sequence of pile and anchor installation, excavation and anchor testing.

Provide temporary wall designs sealed by a Design Engineer licensed in the state of North Carolina and employed or contracted by the Temporary Wall Vendor. Include details in temporary wall working drawings of geotextile and reinforcement types, locations and directions and obstructions extending through walls or interfering with reinforcement.

## (1) Soil Parameters

Design temporary shoring for the assumed soil parameters and groundwater or flood elevations shown in the plans. Assume the following soil parameters for shoring backfill:

(a) Unit weight ( $\gamma$ ) = 120 pcf,

(b)	Friction Angle ( $\phi$ )	Shoring Backfill
	30°	A-2-4 Soil
	34°	Class II, Type 1 or Class III Select Material

38°

Class V or VI Select Material

(c) Cohesion (c) = 0 psf.

(2) Traffic Surcharge

Design temporary shoring for a traffic surcharge of 250 pounds per square foot if traffic will be above and within H of shoring. This traffic surcharge does not apply to construction traffic. Design temporary shoring for any construction surcharge if construction traffic will be above and within H of shoring. Design temporary shoring for a traffic (live load) surcharge in accordance with Article 11.5.6 of the *AASHTO LRFD Bridge Design Specifications*.

(3) Cantilever, Braced and Anchored Shoring Designs

Use shoring backfill for fill sections and voids between cantilever, braced and anchored shoring and the critical failure surface. Use concrete or Type 1 grout for embedded portions of drilled-in H-piles. Do not use drilled-in sheet piles.

Define “top of shoring” for cantilever, braced and anchored shoring as where the grade intersects the back of sheet piles or H-piles and timber lagging. Design cantilever, braced and anchored shoring for a traffic impact load of 2,000 pounds per foot applied 18 inches above top of shoring if concrete barrier is above and next to shoring or temporary guardrail is above and attached to shoring. Extend cantilever, braced and anchored shoring at least 32 inches above top of shoring if shoring is designed for traffic impact. Otherwise, extend shoring at least 6 inches above top of shoring.

Design cantilever, braced and anchored shoring for a maximum deflection of 3 inches if the horizontal distance to the closest edge of pavement or structure is less than H. Otherwise, design shoring for a maximum deflection of 6 inches. Design cantilever and braced shoring in accordance with the plans and *AASHTO Guide Design Specifications for Bridge Temporary Works*.

Design anchored shoring in accordance with the plans and Article 11.9 of the *AASHTO LRFD Bridge Design Specifications*. Use a resistance factor of 0.80 for tensile resistance of anchors with bars, strands or shafts. Extend the unbonded length for ground anchors and the shallowest helix for helical anchors at least 5 feet behind the critical failure surface. Do not extend anchors beyond right-of-way or easement limits. If existing or future obstructions such as foundations, guardrail posts, pavements, pipes, inlets or utilities will interfere with anchors, maintain a clearance of at least 6 inches between obstructions and anchors.

(4) Temporary Wall Designs

Use shoring backfill in the reinforced zone of temporary walls. Separation

geotextiles are required between shoring backfill and backfill, natural ground or culverts along the sides of the reinforced zone perpendicular to the wall face. For Class V or VI select material in the reinforced zone, separation geotextiles are also required between shoring backfill and backfill or natural ground on top of and at the back of the reinforced zone.

Design temporary walls in accordance with the plans and Article 11.10 of the *AASHTO LRFD Bridge Design Specifications*. Embed temporary walls at least 18 inches except for walls on structures or rock as determined by the Engineer. Use a uniform reinforcement length throughout the wall height of at least 0.7H or 6 feet, whichever is longer. Extend the reinforced zone at least 6 inches beyond end of reinforcement. Do not locate the reinforced zone outside right-of-way or easement limits.

Use the simplified method for determining maximum reinforcement loads in accordance with the AASHTO LRFD specifications. For geotextile reinforcement, use geotextile properties approved by the Department or default values in accordance with the AASHTO LRFD specifications. For geogrid and geostrip reinforcement, use approved geosynthetic reinforcement properties available from the website shown elsewhere in this provision. Use geosynthetic properties for the direction reinforcement will be installed, a 3-year design life and shoring backfill to be used in the reinforced zone.

Do not use more than 4 different reinforcement strengths for each temporary geosynthetic wall. Design temporary geotextile walls for a reinforcement coverage ratio ( $R_c$ ) of 1.0. For temporary geogrid walls with an  $R_c$  of less than 1.0, use a maximum horizontal clearance between geogrids of 3 feet and stagger reinforcement so geogrids are centered over gaps in the reinforcement layer below.

For temporary geosynthetic walls, use “L” shaped welded wire facing with 18 to 24 inch long legs. Locate geosynthetic reinforcement so reinforcement layers are at the same level as the horizontal legs of welded wire facing. Use vertical reinforcement spacing equal to facing height. Wrap geotextile or geogrid reinforcement behind welded wire facing and extend reinforcement at least 3 feet back behind facing into shoring backfill. Attach geostrip reinforcement to welded wire facing with a connection approved by the Department.

For temporary wire walls with separate reinforcement and facing components, attach welded wire grid or metallic strip reinforcement to welded wire facing with a connection approved by the Department. For temporary geogrid, geostrip and wire walls, retain shoring backfill at welded wire facing with retention geotextiles and extend geotextiles at least 3 feet back behind facing into backfill.

(D) Preconstruction Meeting

The Engineer may require a shoring preconstruction meeting to discuss the construction,

inspection and testing of the temporary shoring. If required and if this meeting occurs before all shoring submittals have been accepted, additional preconstruction meetings may be required before beginning construction of temporary shoring without accepted submittals. The Resident, District or Bridge Maintenance Engineer, Area Construction Engineer, Geotechnical Operations Engineer, Contractor and Shoring Contractor Superintendent will attend preconstruction meetings.

### **Construction Methods**

Control drainage during construction in the vicinity of shoring. Direct run off away from shoring and shoring backfill. Contain and maintain backfill and protect material from erosion.

Install positive protection in accordance with the contract and accepted submittals. Use PCB in accordance with Section 1170 of the *Standard Specifications* and *Roadway Standard Drawing* No. 1170.01. Use temporary guardrail in accordance with Section 862 of the *Standard Specifications* and *Roadway Standard Drawing* Nos. 862.01, 862.02 and 862.03.

#### **(A) Tolerances**

Construct shoring with the following tolerances:

- (1) Horizontal wires of welded wire facing are level in all directions,
- (2) Shoring location is within 6 inches of horizontal and vertical alignment shown in the accepted submittals, and
- (3) Shoring plumbness (batter) is not negative and within 2 degrees of vertical.

#### **(B) Cantilever, Braced and Anchored Shoring Installation**

If overexcavation behind cantilever, braced or anchored shoring is shown in the accepted submittals, excavate before installing piles. Otherwise, install piles before excavating for shoring. Install cantilever, braced or anchored shoring in accordance with the construction sequence shown in the accepted submittals. Remove piles and if applicable, timber lagging when shoring is no longer needed.

##### **(1) Pile Installation**

Install piles with the minimum required embedment and extension in accordance with Subarticles 450-3(D) and 450-3(E) of the *Standard Specifications* except that a pile driving equipment data form is not required. Piles may be installed with a vibratory hammer as approved by the Engineer.

Do not splice sheet piles. Use pile excavation to install drilled-in H-piles. After filling holes with concrete or Type 1 grout to the elevations shown in the accepted submittals, remove any fluids and fill remaining portions of holes with flowable

fill. Cure concrete or grout at least 7 days before excavating.

Notify the Engineer if refusal is reached before pile excavation or driven piles attain the minimum required embedment. When this occurs, a revised design submittal may be required.

(2) Excavation

Excavate in front of piles from the top down in accordance with the accepted submittals. For H-piles with timber lagging and braced and anchored shoring, excavate in staged horizontal lifts with a maximum height of 5 feet. Remove flowable fill and material in between H-piles as needed to install timber lagging. Position lagging with at least 3 inches of contact in the horizontal direction between the lagging and pile flanges. Do not excavate the next lift until timber lagging for the current lift is installed and if applicable, bracing and anchors for the current lift are accepted. Backfill behind cantilever, braced or anchored shoring with shoring backfill.

(3) Anchor Installation

If applicable, install foundations located behind anchored shoring before installing anchors. Fabricate and install ground anchors in accordance with the accepted submittals, Articles 6.4 and 6.5 of the *AASHTO LRFD Bridge Construction Specifications* and the following unless otherwise approved:

- (a) Materials in accordance with this provision are required instead of materials conforming to Articles 6.4 and 6.5.3 of the *AASHTO LRFD Specifications*,
- (b) Encapsulation-protected ground anchors in accordance with Article 6.4.1.2 of the *AASHTO LRFD specifications* are not required, and
- (c) Corrosion protection for unbonded lengths of ground anchors and anchorage covers are not required.
- (d) Mix and place neat cement grout in accordance with Subarticles 1003-5, 1003-6 and 1003-7 of the *Standard Specifications*. Measure grout temperature, density and flow during grouting with at least the same frequency grout cubes are made for compressive strength. Perform density and flow field tests in the presence of the Engineer in accordance with American National Standards Institute/American Petroleum Institute Recommended Practice 13B-1 (Section 4, Mud Balance) and ASTM C939 (Flow Cone), respectively.

Install helical anchors in accordance with the accepted submittals and Anchor Manufacturer's instructions. Measure torque during installation and do not exceed the torsional strength rating of the helical anchor. Attain the minimum required

installation torque and penetration before terminating anchor installation. When replacing a helical anchor, embed last helix of the replacement anchor at least 3 helix plate diameters past the location of the first helix of the previous anchor.

(4) Anchor Testing

Proof test and lock-off anchors in accordance with the accepted submittals and Article 6.5.5 of the *AASHTO LRFD Bridge Construction Specifications* except for the acceptance criteria in Article 6.5.5.5. For the AASHTO LRFD specifications, “ground anchor” refers to a ground or helical anchor and “tendon” refers to a bar, strand or shaft.

(a) Anchor Acceptance

Anchor acceptance is based in part on the following criteria.

- (i) For ground and helical anchors, total movement is less than 0.04 inches between the 1 and 10 minute readings or less than 0.08 inches between the 6 and 60 minute readings.
- (ii) For ground anchors, total movement at maximum test load exceeds 80% of the theoretical elastic elongation of the unbonded length.

(b) Anchor Test Results

Submit PDF files of anchor test records including movement versus load plots for each load increment within 24 hours of completing each row of anchors. The Engineer will review the test records to determine if the anchors are acceptable.

If the Engineer determines an anchor is unacceptable, revise the anchor design or installation methods. Submit a revised anchored shoring design for acceptance and provide an acceptable anchor with the revised design or installation methods. If required, replace the anchor or provide additional anchors with the revised design or installation methods.

(C) Temporary Wall Installation

Excavate as necessary for temporary walls in accordance with the plans and accepted submittals. If applicable, install foundations located in the reinforced zone before placing shoring backfill or reinforcement unless otherwise approved. Notify the Engineer when foundation excavation is complete. Do not place shoring backfill or reinforcement until excavation dimensions and foundation material are approved.

Erect welded wire facing so the wall position is as shown in the plans and accepted submittals. Set welded wire facing adjacent to each other in the horizontal and vertical direction to completely cover the wall face with facing. Stagger welded wire facing to

create a running bond by centering facing over joints in the row below.

Attach geostrip reinforcement to welded wire facing and wrap geotextile reinforcement and retention geotextiles behind welded wire facing as shown in the plans and accepted submittals. Cover geotextiles with at least 3" of shoring backfill. Overlap adjacent geotextile reinforcement and retention and separation geotextiles at least 18 inches with seams oriented perpendicular to the wall face. Hold geotextiles in place with wire staples or anchor pins as needed.

Place reinforcement within 3 inches of locations shown in the plans and accepted submittals. Before placing shoring backfill, pull geosynthetic reinforcement taut so it is in tension and free of kinks, folds, wrinkles or creases. Install reinforcement with the direction shown in the plans and accepted submittals. For temporary wire walls with separate reinforcement and facing components, attach welded wire grid or metallic strip reinforcement to welded wire facing as shown in the accepted submittals. Do not splice or overlap reinforcement so seams are parallel to the wall face. Contact the Engineer when unanticipated existing or future obstructions such as foundations, pavements, pipes, inlets or utilities will interfere with reinforcement.

Place shoring backfill in the reinforced zone in 8 to 10 inch thick lifts. Compact A-2-4 soil and Class II, Type 1 and Class III select material in accordance with Subarticle 235-3(C) of the *Standard Specifications*. Use only hand operated compaction equipment to compact backfill within 3 feet of welded wire facing. At a distance greater than 3 feet, compact shoring backfill with at least 4 passes of an 8 to 10 ton vibratory roller in a direction parallel to the wall face. Smooth wheeled or rubber tired rollers are also acceptable for compacting backfill. Do not use sheepsfoot, grid rollers or other types of compaction equipment with feet. Do not displace or damage reinforcement when placing and compacting shoring backfill. End dumping directly on geosynthetics is not permitted. Do not operate heavy equipment on reinforcement until it is covered with at least 8 inches of shoring backfill. Replace any damaged reinforcement to the satisfaction of the Engineer.

Backfill for temporary walls outside the reinforced zone in accordance with Article 410-8 of the *Standard Specifications*. Bench temporary walls into the sides of excavations where applicable. For temporary geosynthetic walls with top of wall within 5 feet of finished grade, remove top facing and incorporate top reinforcement layer into fill when placing fill in front of wall. Temporary walls remain in place permanently unless otherwise required.

### **Measurement and Payment**

*Temporary Shoring* will be measured and paid in square feet. Temporary walls will be measured as the square feet of exposed wall face area. Cantilever, braced or anchored shoring will be measured as the square feet of exposed shoring face area with the shoring height equal to the difference between the top and bottom of shoring elevations. Define "top of shoring" as where the grade intersects the back of sheet piles or H-piles and timber lagging. Define "bottom of shoring" as where the grade intersects front of sheet piles or H-piles and timber lagging. No measurement will be made for any embedment, shoring extension above top of shoring

or pavement thickness above temporary walls.

The contract unit price for *Temporary Shoring* will be full compensation for providing shoring designs, submittals and materials, excavating, backfilling, hauling and removing excavated materials and supplying all labor, tools, equipment and incidentals necessary to construct temporary shoring.

No payment will be made for temporary shoring not shown in the plans or required by the Engineer including shoring for OSHA reasons or the Contractor's convenience. No value engineering proposals will be accepted based solely on revising or eliminating shoring locations shown in the plans or estimated quantities shown in the bid item sheets as a result of actual field measurements or site conditions.

PCB will be measured and paid in accordance with Article 1170-4 of the *Standard Specifications*. No additional payment will be made for anchoring PCB for temporary shoring. Costs for anchoring PCB will be incidental to temporary shoring.

Temporary guardrail will be measured and paid for in accordance with Article 862-6 of the *Standard Specifications*.

Payment will be made under:

**Pay Item**  
Temporary Shoring

**Pay Unit**  
Square Foot



DocuSigned by:

*D. Matthew Brewer*

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01/05/2026

**TEMPORARY SOIL NAIL WALLS:****(1-16-24)****Description**

Construct temporary soil nail walls consisting of soil nails spaced at a regular pattern and connected to a reinforced shotcrete face. A soil nail consists of a solid or hollow steel bar grouted in a drilled hole inclined at an angle below horizontal. At the Contractor's option, use temporary soil nail walls instead of temporary shoring for full cut sections. Design and construct temporary soil nail walls based on actual elevations and wall dimensions in accordance with the contract and accepted submittals. Use a prequalified Anchored Wall Contractor to construct temporary soil nail walls. Define "soil nail wall" as a temporary soil nail wall and "Soil Nail Wall Contractor" as the Anchored Wall Contractor installing soil nails and applying shotcrete. Define "nail" as a soil nail.

Provide positive protection for soil nail walls at locations shown in the plans and as directed. See *Temporary Shoring* provision for positive protection types and definitions.

**Materials**

Refer to Division 10 of the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Geocomposites	1056
Portland Cement	1024-1
Reinforcing Steel	1070
Shotcrete	1002
Select Material, Class IV	1016
Steel Plates	1072-2
Water	1024-4

Use Type 5 grout for soil nails.

Use Class IV select material for temporary guardrail. Provide soil nails consisting of grouted steel bars and nail head assemblies. Use deformed solid steel bars that meet AASHTO M 275 or M 31, Grade 60, 75 or 80. Splice solid bars in accordance with Article 1070-9 of the *Standard Specifications*. Use hollow steel bars manufactured by DYWIDAG-Systems International USA Inc., Nucor Skyline, Williams Form Engineering Corp. or an approved equal.

Use centralizers that meet Article 34.3.4 of the *AASHTO LRFD Bridge Construction Specifications*. Provide nail head assemblies consisting of nuts, washers and bearing plates. Use steel plates for bearing plates and steel washers and hex nuts recommended by the Soil Nail Manufacturer.

Provide Type 6 material certifications for soil nail materials in accordance with Article 106-3 of the *Standard Specifications*. Store steel materials on blocking at least 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store soil nail wall materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

**Preconstruction Requirements**

**(A) Concrete Barrier**

Define “clear distance” behind concrete barrier as the horizontal distance between the barrier and edge of pavement. The minimum required clear distance for concrete barrier is shown in the plans. At the Contractor’s option or if the minimum required clear distance is not available, set concrete barrier next to and up against traffic side of soil nail walls except for barrier above walls. Concrete barrier with the minimum required clear distance is required above soil nail walls.

**(B) Temporary Guardrail**

Define “clear distance” behind temporary guardrail as the horizontal distance between guardrail posts and soil nail walls. At the Contractor’s option or if clear distance for soil nail walls is less than 4 ft, use temporary guardrail with 8 ft posts and a clear distance of at least 2.5 ft. Place ABC in clear distance and around guardrail posts instead of pavement.

**(C) Soil Nail Wall Designs**

Before beginning soil nail wall design, survey existing ground elevations in the vicinity of wall locations to determine actual design heights (H). Use a prequalified Anchored Wall Design Consultant to design soil nail walls. Provide designs sealed by a Design Engineer approved as a Geotechnical Engineer (key person) for the Anchored Wall Design Consultant.

Design soil nail walls in accordance with the plans and the *AASHTO LRFD Bridge Design Specifications* unless otherwise required. Design soil nails that meet the following unless otherwise approved:

- (1) Horizontal and vertical spacing of at least 3 ft,
- (2) Inclination of at least 12° below horizontal and
- (3) Diameter of 4" to 10".

Do not extend nails beyond right-of-way or easement limits. If existing or future obstructions such as foundations, pavements, pipes, inlets or utilities will interfere with nails, maintain a clearance of at least 6" between obstructions and nails.

Design soil nail walls for a traffic surcharge of 250 psf if traffic will be above and within H of walls. This traffic surcharge does not apply to construction traffic. Design soil nail walls for any construction surcharge if construction traffic will be above and within H of walls. For temporary guardrail with 8 ft posts above soil nail walls, analyze shotcrete and top row of nails for a nominal horizontal load of 300 lb/ft of wall with a load factor of 1.0.

Place geocomposite sheet drains with a horizontal spacing of no more than 10 ft and center drains between adjacent nails. Attach sheet drains to excavation faces. Design shotcrete in accordance with Article 11.12.6.2 of the *AASHTO LRFD Bridge Design Specifications*.

Submit PDF files of working drawings and design calculations for soil nail wall designs in accordance with Article 105-2 of the *Standard Specifications*. Submit working drawings showing plan views, wall profiles, typical sections and details of soil nail wall design and construction sequence. Include details in working drawings of soil nail locations, unit grout/ground bond strengths, shotcrete reinforcement and if necessary, obstructions extending through walls or interfering with nails. Include details in construction sequence

of excavation, grouting, installing reinforcement, nail testing and shotcreting with mix designs and shotcrete nozzleman certifications. Do not begin soil nail wall construction until a design submittal is accepted.

Submit design calculations for each wall section with different surcharge loads, geometry or material parameters. Include analysis of temporary conditions during construction in design calculations. At least one analysis is required for each wall section with different nail lengths. Analyze internal and compound stability with a computer software program that uses limit equilibrium methods and submit all PDF output files from the program with the design calculations. See Article C11.12.2 of the AASHTO LRFD specifications for determining the maximum soil nail force,  $T_{\max\text{sn}}$ . Once  $T_{\max\text{sn}}$  and pullout length behind slip surface,  $L_P$ , are determined from limit equilibrium methods at the target soil failure resistance factor (1 over factor of safety output from computer software), use these values for soil nail (pullout and tensile resistance) and wall facing (flexure, punching shear and headed-stud tensile resistance) design in accordance with Articles 11.12.5.2, 11.12.6.1 and 11.12.6.2 of the AASHTO LRFD specifications.

- (1) When designing soil nail walls with computer software Snail manufactured by the California Department of Transportation (CALTRANS), use Snail version 2.2.0 or later, to calculate factors of safety and  $T_{\max\text{sn}}$  and  $L_P$  values in accordance with the following: Allowable Stress Design for Analysis Method with no load factors applied except those applied to factored surcharge loads from structures or traffic,
- (2) Perform Below Toe Search option selected when any soil layer has a friction angle less than  $30^\circ$  and
- (3) Default value of 0.33 for Interface Friction Reduction Factor.

When designing soil nail walls with computer software other than Snail, use bi-linear (or tri-linear, as applicable) search surfaces intended to reproduce Snail results. Factors of safety and  $T_{\max\text{sn}}$  and  $L_P$  values are acceptable if they are within 5% of the factors of safety and  $T_{\max\text{sn}}$  and  $L_P$  values calculated by the Engineer using the computer software Slide2 manufactured by Rocscience, Inc.

**(D) Preconstruction Meeting**

Before starting soil nail wall construction, hold a preconstruction meeting to discuss the construction, inspection and testing of the soil nail walls. If this meeting occurs before all soil nail wall submittals have been accepted, additional preconstruction meetings may be required before beginning construction of soil nail walls without accepted submittals. The Resident, District or Bridge Maintenance Engineer, Area Construction Engineer, Geotechnical Operations Engineer, Contractor and Soil Nail Wall Contractor Superintendent will attend preconstruction meetings.

**Construction Methods**

Control drainage during construction in the vicinity of soil nail walls. Direct run off away from soil nail walls and areas above and behind walls.

Install foundations located behind soil nail walls before beginning wall construction. Do not excavate behind soil nail walls. If overexcavation occurs, repair walls with an approved method

and a revised soil nail wall design may be required.

Install positive protection in accordance with the contract and accepted submittals. Use PCB in accordance with Section 1170 of the *Standard Specifications* and Roadway Standard Drawing No. 1170.01. Use temporary guardrail in accordance with Section 862 of the *Standard Specifications* and Roadway Standard Drawing No. 862.01, 862.02 and 862.03.

**(A) Excavation**

Excavate for soil nail walls from the top down in accordance with the accepted submittals. Excavate in staged horizontal lifts with no negative batter (excavation face leaning forward). Excavate lifts in accordance with the following:

- (1) Heights not to exceed vertical nail spacing,
- (2) Bottom of lifts no more than 3 ft below nail locations for current lift and
- (3) Horizontal and vertical alignment within 6" of location shown in the accepted submittals.

Remove any cobbles, boulders, rubble or debris that will protrude more than 2" into the required shotcrete thickness. Rocky ground such as colluvium, boulder fills and weathered rock may be difficult to excavate without leaving voids.

Apply shotcrete to excavation faces within 24 hours of excavating each lift unless otherwise approved. Shotcreting may be delayed if it can be demonstrated that delays will not adversely affect excavation stability. If excavation faces will be exposed for more than 24 hours, use polyethylene sheets anchored at top and bottom of lifts to protect excavation faces from changes in moisture content.

If an excavation becomes unstable at any time, suspend soil nail wall construction and temporarily stabilize the excavation by immediately placing an earth berm up against the unstable excavation face. When this occurs, repair walls with an approved method and a revised soil nail wall design may be required.

Do not excavate the next lift until nail installations and testing and shotcrete application for the current lift are accepted and grout and shotcrete for the current lift have cured at least 3 days and 1 day, respectively.

**(B) Soil Nails**

Drill and grout nails the same day and do not leave drill holes open overnight. Control drilling and grouting to prevent excessive ground movements, damaging structures and pavements or fracturing rock and soil formations. If ground heave or subsidence occurs, suspend soil nail wall construction and take corrective action to minimize movement. If property damage occurs, make repairs with an approved method and a revised soil nail wall design may be required.

The drilling, steel bar and grouting requirements below are for solid bar nails and may not apply to hollow bar nails. Hollow bar nails are typically installed by simultaneously drilling and grouting as a sacrificial drill bit is advanced and grout is pumped through the bar. For hollow bar nails, submit drilling and grouting procedures for approval before installing soil nails.

## (1) Drilling

Use drill rigs of the sizes necessary to install soil nails and with sufficient capacity to drill through whatever materials are encountered. Drill straight and clean holes with the dimensions and inclination shown in the accepted submittals. Drill holes within 6" of locations and 2° of inclination shown in the accepted submittals unless otherwise approved.

Stabilize drill holes with temporary casings if unstable, caving or sloughing material is anticipated or encountered. Do not use drilling fluids to stabilize drill holes or remove cuttings.

## (2) Steel Bars

Center solid steel bars in drill holes with centralizers. Securely attach centralizers along bars at no more than 8 ft centers. Attach uppermost and lowermost centralizers 18" from excavation faces and ends of holes.

Do not insert solid steel bars into drill holes until hole locations, dimensions, inclination and cleanliness are approved. Do not vibrate, drive or otherwise force bars into holes. If a steel bar cannot be completely and easily inserted into a drill hole, remove the bar and clean or redrill the hole.

## (3) Grouting

Mix and place grout in accordance with Subarticles 1003-5, 1003-6 and 1003-7 of the *Standard Specifications*. Remove oil, rust inhibitors, residual drilling fluids and similar foreign materials from holding tanks/hoppers, stirring devices, pumps, lines, tremie pipes and any other equipment in contact with grout before use. Measure grout temperature, density and flow during grouting with at least the same frequency grout cubes are made for compressive strength. Perform density and flow field tests in the presence of the Engineer in accordance with American National Standards Institute/American Petroleum Institute Recommended Practice 13B-1 (Section 4, Mud Balance) and ASTM C939 (Flow Cone), respectively.

Inject grout at the lowest point of drill holes through tremies, e.g., grout tubes, casings, hollow-stem augers or drill rods, in one continuous operation. Fill drill holes progressively from ends of holes to excavation faces and withdraw tremies at a slow even rate as holes are filled to prevent voids in grout. Extend tremies into grout at least 5 ft at all times except when grout is initially placed in holes.

Provide grout free of segregation, intrusions, contamination, structural damage or inadequate consolidation (honeycombing). Cold joints in grout are not allowed except for test nails. Remove any temporary casings as grout is placed and record grout volume for each drill hole.

## (4) Nail Heads

Install nail head assemblies after shotcreting. Before shotcrete reaches initial set, seat bearing plates and tighten nuts so plates contact shotcrete uniformly. If uniform contact is not possible, install nail head assemblies on mortar pads so nail

heads are evenly loaded.

**(C) Sheet Drains**

Install geocomposite sheet drains as shown in the accepted submittals. Before installing shotcrete reinforcement, place sheet drains with the geotextile side against excavation faces. For highly irregular faces and at the discretion of the Engineer, sheet drains may be placed after shotcreting over weep holes through the shotcrete. Hold sheet drains in place with anchor pins so drains are in continuous contact with surfaces to which they are attached and allow for full flow the entire height of soil nail walls. Discontinuous sheet drains are not allowed. If splices are needed, overlap sheet drains at least 12" so flow is not impeded. Cut off excess sheet drain length and expose drain ends below shotcrete when soil nail wall construction is complete.

**(D) Shotcrete**

Clean ungrouted zones of drill holes and excavation faces of loose materials, mud, rebound and other foreign material. Moisten surfaces to receive shotcrete. Install shotcrete reinforcement in accordance with the contract and accepted submittals. Secure reinforcing steel so shooting does not displace or vibrate reinforcement. Install approved thickness gauges on 5 ft centers in the horizontal and vertical directions to measure shotcrete thickness.

Apply shotcrete in accordance with the contract, accepted submittals and Subarticle 1002-3(F) of the *Standard Specifications*. Use approved shotcrete nozzlemen who made satisfactory preconstruction test panels to apply shotcrete. Direct shotcrete at right angles to excavation faces except when shooting around reinforcing steel. Rotate nozzle steadily in small circular patterns and apply shotcrete from bottom of lifts up.

Make shotcrete surfaces uniform and free of sloughing or sagging. Completely fill ungrouted zones of drill holes and any other voids with shotcrete. Taper construction joints to a thin edge over a horizontal distance of at least the shotcrete thickness. Wet joint surfaces before shooting adjacent sections.

Repair surface defects as soon as possible after shooting. Remove any shotcrete which lacks uniformity, exhibits segregation, honeycombing or lamination or contains any voids or sand pockets and replace with fresh shotcrete to the satisfaction of the Engineer. Protect shotcrete from freezing and rain until shotcrete reaches initial set.

**(E) Construction Records**

Provide 2 copies of soil nail wall construction records within 24 hours of completing each lift. Include the following in construction records:

- (1) Names of Soil Nail Wall Contractor, Superintendent, Nozzleman, Drill Rig Operator, Project Manager and Design Engineer;
- (2) Wall description, county, Department's contract, TIP and WBS element number;
- (3) Wall station and number and lift location, dimensions, elevations and description;
- (4) Nail locations, dimensions and inclinations, bar types, sizes and grades and temporary casing information;

- (5) Date and time drilling begins and ends, steel bars are inserted into drill holes, grout and shotcrete are mixed and arrives on-site and grout placement and shotcrete application begins and ends;
- (6) Grout volume, temperature, flow and density records;
- (7) Ground and surface water conditions and elevations if applicable;
- (8) Weather conditions including air temperature at time of grout placement and shotcrete application; and
- (9) All other pertinent details related to soil nail wall construction.

After completing each soil nail wall or stage of a wall, provide a PDF file of all corresponding construction records.

### **Nail Testing**

“Proof tests” are performed on nails incorporated into walls, i.e., production nails. Define “test nail” as a nail tested with a proof test. Proof tests are typically required for at least one nail per nail row per soil nail wall or at least 5% of production nails, whichever is greater. More or less test nails may be required depending on subsurface conditions encountered. The Engineer will determine the number and locations of proof tests required. Do not test nails until grout and shotcrete attain the required 3-day compressive strength.

#### **(A) Test Equipment**

Use the following equipment to test nails:

- (1) Two dial gauges with rigid supports,
- (2) Hydraulic jack and pressure gauge and
- (3) Jacking block or reaction frame.

Provide dial gauges with enough range and precision to measure the maximum test nail movement to 0.001". Use pressure gauges graduated in 100 psi increments or less. Submit identification numbers and calibration records for load cells, jacks and pressure gauges with the soil nail wall design. Calibrate each jack and pressure gauge as a unit.

Align test equipment to uniformly and evenly load test nails. Use a jacking block or reaction frame that does not damage or contact shotcrete within 3 ft of nail heads. Place dial gauges opposite each other on either side of test nails and align gauges within 5° of bar inclinations. Set up test equipment so resetting or repositioning equipment during nail testing is not needed.

#### **(B) Test Nails**

Test nails include both unbonded and bond lengths. Grout only bond lengths before nail testing. Provide unbonded and bond lengths of at least 3 ft and 10 ft, respectively.

Steel bars for production nails may be overstressed under higher test nail loads. If necessary, use larger size or higher grade bars with more capacity for test nails instead of shortening bond lengths to less than the minimum required.

#### **(C) Proof Tests**

Test proof test nails in accordance with the accepted submittals and Article 34.5.5.3, respectively of the *AASHTO LRFD Bridge Construction Specifications*.

**(D) Test Nail Acceptance**

Submit 2 copies of test nail records including load versus movement and time versus creep movement plots within 24 hours of completing each proof test. The Engineer will review the test nail records to determine if test nails are acceptable. Test nail acceptance is based in part on the acceptance criteria in Article 34.5.5.4 of the *AASHTO LRFD Bridge Construction Specifications*.

Maintain stability of unbonded lengths for subsequent grouting. If a test nail is accepted but the unbonded length cannot be satisfactorily grouted, do not incorporate the test nail into the soil nail wall and add another production nail to replace the test nail.

If the Engineer determines a test nail is unacceptable, either perform additional proof tests on adjacent production nails or revise the soil nail design or installation methods for the production nails represented by the unacceptable test nail as determined by the Engineer. Submit a revised soil nail wall design for acceptance, provide an acceptable test nail with the revised design or installation methods and install additional production nails for the nails represented by the unacceptable test nail.

After completing nail testing for each soil nail wall or stage of a wall, provide a PDF file of all corresponding test nail records.

**Measurement and Payment**

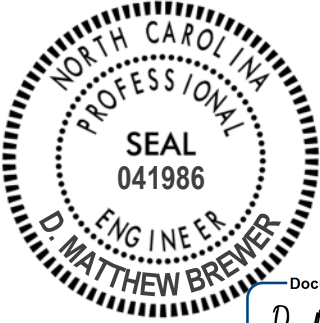
Temporary soil nail walls will be measured and paid in square feet. Temporary soil nail walls will be paid for at the contract unit price for *Temporary Shoring*. Temporary soil nail walls will be measured as the square feet of exposed wall face area. No measurement will be made for any embedment or pavement thickness above soil nail walls.

The contract unit price for *Temporary Shoring* will be full compensation for providing soil nail wall designs, submittals, labor, tools, equipment and soil nail wall materials, excavating, hauling and removing excavated materials, installing and testing soil nails, grouting, shotcreting and supplying sheet drains and any incidentals necessary to construct soil nail walls. No additional payment will be made and no extension of completion date or time will be allowed for repairing property damage, overexcavations or unstable excavations, unacceptable test nails or thicker shotcrete.

No payment will be made for temporary shoring not shown in the plans or required by the Engineer including shoring for OSHA reasons or the Contractor's convenience. No value engineering proposals will be accepted based solely on revising or eliminating shoring locations shown in the plans or estimated quantities shown in the bid item sheets as a result of actual field measurements or site conditions.

PCB will be measured and paid in accordance with Section 1170 of the *Standard Specifications*. No additional payment will be made for anchoring PCB for soil nail walls. Costs for anchoring PCB will be incidental to soil nail walls.

Temporary guardrail will be measured and paid for in accordance with Section 862 of the *Standard Specifications*.



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*D. Matthew Brewer*

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**STANDARD SHORING:****(1-16-24)****Description**

Standard shoring includes standard temporary shoring and standard temporary mechanically stabilized earth (MSE) walls. At the Contractor's option, use standard shoring as noted in the plans or as directed. When using standard shoring, a temporary shoring design submittal is not required. Construct standard shoring based on actual elevations and shoring dimensions in accordance with the contract and Geotechnical Standard Detail No. 1801.01 or 1801.02.

Define "standard temporary shoring" as cantilever shoring that meets the standard temporary shoring detail (Geotechnical Standard Detail No. 1801.01). Define "standard temporary wall" as a temporary MSE wall with geotextile or geogrid reinforcement that meets the standard temporary wall detail (Geotechnical Standard Detail No. 1801.02). Define "standard temporary geotextile wall" as a standard temporary wall with geotextile reinforcement and "standard temporary geogrid wall" as a standard temporary wall with geogrid reinforcement.

Provide positive protection for standard shoring at locations shown in the plans and as directed. See *Temporary Shoring* provision for positive protection types and definitions.

**Materials**

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Concrete Barrier Materials	1170-2
Flowable Fill, Excavatable	1000-7
Geosynthetics	1056
Grout, Type 1	1003
Portland Cement Concrete, Class A	1000
Select Materials	1016
Steel Beam Guardrail Materials	862-2
Steel Sheet Piles and H-Piles	1084
Untreated Timber	1082-2
Welded Wire Reinforcement	1070-3

Provide Type 6 material certifications for shoring materials. Use Class IV select material for temporary guardrail. Use Class A concrete that meets Article 450-2 of the *Standard Specifications* or Type 1 grout for drilled-in piles.

Based on actual shoring height, positive protection, groundwater elevation, slope or surcharge case and traffic impact at each standard temporary shoring location, use sheet piles with the minimum required section modulus or H-piles with the sizes shown in Geotechnical Standard Detail No. 1801.01. Use untreated timber with a thickness of at least 3" and a bending stress of at least 1,000 psi for timber lagging.

**(A) Shoring Backfill**

Use Class II, Type 1, Class III, Class V or Class VI select material or material that meets AASHTO M 145 for soil classification A-2-4 with a maximum PI of 6 for shoring backfill except do not use the following:

- (1) A-2-4 soil for backfill around culverts,

- (2) A-2-4 soil in the reinforced zone of standard temporary walls with a back slope and
- (3) Class VI select material in the reinforced zone of standard temporary geotextile walls.

**(B) Standard Temporary Walls**

Use welded wire reinforcement for welded wire facing, struts and wires with the dimensions and minimum wire sizes shown in Geotechnical Standard Detail No. 1801.02. Provide Type 2 geotextile for separation and retention geotextiles. Do not use more than 4 different reinforcement strengths for each standard temporary wall.

**(1) Geotextile Reinforcement**

Provide Type 4a geotextile for geotextile reinforcement except for the ultimate tensile strength. Based on actual wall height, groundwater elevation, slope or surcharge case and shoring backfill to be used in the reinforced zone at each standard temporary geotextile wall location, provide geotextiles with ultimate tensile strengths as shown in Geotechnical Standard Detail No. 1801.02.

**(2) Geogrid Reinforcement**

Use geogrids for geogrid reinforcement with a roll width of at least 4 ft and an “approved” status code in accordance with the NCDOT Geosynthetic Reinforcement Evaluation Program. The list of approved geogrids is available from:

[connect.ncdot.gov/resources/Geological/Pages/Products.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Products.aspx)

Based on actual wall height, groundwater or flood elevation, slope or surcharge case and shoring backfill to be used in the reinforced zone at each standard temporary geogrid wall location, provide geogrids for geogrid reinforcement with short-term design strengths as shown in Geotechnical Standard Detail No. 1801.02. Geogrids are approved for short-term design strengths (3-year design life) in the machine direction (MD) and cross-machine direction (CD) based on material type. Define material type from the website above for shoring backfill as follows:

Material Type	Shoring Backfill
Borrow	A-2-4 Soil
Fine Aggregate	Class II, Type 1 or Class III Select Material
Coarse Aggregate	Class V or VI Select Material

**Preconstruction Requirements**

**(A) Concrete Barrier**

Define “clear distance” behind concrete barrier as the horizontal distance between the barrier and edge of pavement. The minimum required clear distance for concrete barrier is shown in the plans. At the Contractor’s option or if the minimum required clear distance is not available, set concrete barrier next to and up against traffic side of standard shoring except for barrier above standard temporary walls. Concrete barrier with the minimum required clear distance is required above standard temporary walls.

**(B) Temporary Guardrail**

Define “clear distance” behind temporary guardrail as the horizontal distance between guardrail posts and standard shoring. At the Contractor’s option or if clear distance for standard temporary shoring is less than 4 ft, attach guardrail to traffic side of shoring as shown in the plans. Place ABC in clear distance and around guardrail posts instead of pavement. Do not use temporary guardrail above standard temporary walls.

**(C) Standard Shoring Selection Forms**

Before beginning standard shoring construction, survey existing ground elevations in the vicinity of standard shoring locations to determine actual shoring or wall heights (H). Submit a standard shoring selection form for each location at least 7 days before starting standard shoring construction. Standard shoring selection forms are available from:

[connect.ncdot.gov/resources/Geological/Pages/Geotech\\_Forms\\_Details.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Geotech_Forms_Details.aspx)

**Construction Methods**

Construct standard shoring in accordance with the *Temporary Shoring* provision.

**(A) Standard Temporary Shoring Installation**

Based on actual shoring height, positive protection, groundwater elevation, slope or surcharge case and traffic impact at each standard temporary shoring location, install piles with the minimum required embedment and extension for each shoring section in accordance with Geotechnical Standard Detail No. 1801.01. For concrete barrier above and next to standard temporary shoring and temporary guardrail above and attached to standard temporary shoring, use “surcharge case with traffic impact” in accordance with Geotechnical Standard Detail No. 1801.01. Otherwise, use “slope or surcharge case with no traffic impact” in accordance with Geotechnical Standard Detail No. 1801.01. If refusal is reached before driven piles attain the minimum required embedment, use drilled-in H-piles with timber lagging for standard temporary shoring.

**(B) Standard Temporary Walls Installation**

Based on actual wall height, groundwater elevation, slope or surcharge case, geotextile or geogrid reinforcement and shoring backfill in the reinforced zone at each standard temporary wall location, construct walls with the minimum required reinforcement length and number of reinforcement layers for each wall section in accordance with Geotechnical Standard Detail No. 1801.02. For standard temporary walls with pile foundations in the reinforced zone, drive piles through reinforcement after constructing temporary walls.

For standard temporary walls with interior angles less than 90°, wrap geosynthetics at acute corners as directed by the Engineer. Place geosynthetics as shown in Geotechnical Standard Detail No. 1801.02. Place separation geotextiles between shoring backfill and backfill, natural ground or culverts along the sides of the reinforced zone perpendicular to the wall face. For Class V or VI select material in the reinforced zone, place separation geotextiles between shoring backfill and backfill or natural ground on top of and at the back of the reinforced zone.

**Measurement and Payment**

Standard shoring will be measured and paid in accordance with the *Temporary Shoring* provision.



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01/05/2026

**PROJECT SPECIAL PROVISIONS  
GEOENVIRONMENTAL**

**CONTAMINATED SOIL (1/14/2026)**

The Contractor's attention is directed to the fact that soil contaminated with petroleum hydrocarbon compounds exist within the project area. The known areas of contamination are indicated on corresponding plans sheets. Information relating to these contaminated areas, sample locations, and investigation reports will be available at the following web address by navigating to the correct letting year and month then selecting, "Plans and Proposals", "A-0009CD", "Individual Sheets/520 GeoEnvironmental":

<http://dotw-xfer01.dot.state.nc.us/dsplan/>

Petroleum contaminated soil may be encountered during any earthwork activities on the project. The Contractor shall only excavate those soils that the Engineer designates necessary to complete a particular task. The Engineer shall determine if soil is contaminated based on areas shown on the plans, petroleum odors, and unusual soil staining. Contaminated soil not required to be excavated is to remain in place and undisturbed. Undisturbed soil shall remain in place, whether contaminated or not. The Contractor shall transport all contaminated soil excavated from the project to a facility licensed to accept contaminated soil.

In the event that a stockpile is needed, the stockpile shall be created within the property boundaries of the source material and in accordance with the Diagram for Temporary Containment and Treatment of Petroleum-Contaminated Soil per North Carolina Department of Environmental Quality's (NCDEQ) Division of Waste Management UST Section GUIDELINES FOR EX SITU PETROLEUM CONTAMINATED SOIL REMEDIATION. If the volume of contaminated material exceeds available space on site, the Contractor shall obtain a permit from the NCDEQ UST Section's Regional Office for off-site temporary storage. The Contractor shall provide copies of disposal manifests completed per the disposal facilities requirements and weigh tickets to the Engineer.

**Measurement and Payment:**

The quantity of contaminated soil hauled and disposed of shall be the actual number of tons of material, which has been acceptably transported and weighed with certified scales as documented by disposal manifests and weigh tickets. The quantity of contaminated soil, measured as provided above, shall be paid for at the contract unit price per ton for "Hauling and Disposal of Petroleum Contaminated Soil".

The above price and payment shall be full compensation for all work covered by this section, including, but not limited to stockpiling, loading, transportation, weighing, laboratory testing, disposal, equipment, decontamination of equipment, labor, and personal protective equipment.

Payment shall be made under:

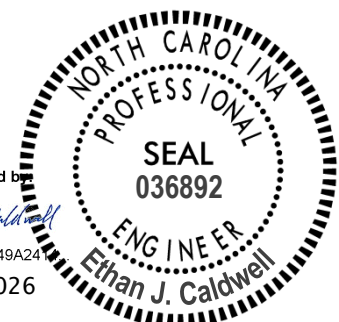
**Pay Item**

Hauling and Disposal of Petroleum Contaminated Soil

**Pay Unit**

Ton

DocuSigned by  
*Ethan J. Caldwell*  
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01/14/2026



# TC-1

A-0009CD

Graham County

## WORK ZONE TRAFFIC CONTROL Project Special Provisions Table of Contents

Special Provision	Page
ADA Compliant Pedestrian Traffic Control Devices	TC-2



2/6/2026

# TC-2

A-0009CD

Graham County

**ADA COMPLIANT PEDESTRIAN TRAFFIC CONTROL DEVICES:**

(10/31/2017) (Rev. 6/3/2022)

**Description**

Furnish, install, and maintain all ADA compliant pedestrian traffic control devices for existing pedestrian facilities that are disrupted, closed, or relocated by planned work activities.

The ADA compliant pedestrian traffic control device used to either close, redirect, divert or detour pedestrian traffic is Pedestrian Channelizing Devices.

**Construction Methods**

The ADA compliant pedestrian traffic control devices involved in the closing or redirecting of pedestrians as designated on the Transportation Management Plan (TMP) shall be manufactured and assembled in accordance with the requirements of the Americans with Disabilities Act (ADA) and be on the NCDOT approved products list.

Pedestrian Channelizing Devices shall be manufactured and assembled to be connected as to eliminate any gaps that allow pedestrians to stray from the channelizing path. Any Pedestrian Channelizing Devices used to close or block a pedestrian facility shall have a “SIDEWALK CLOSED” sign affixed to it.

**Measurement and Payment**

*Pedestrian Channelizing Devices* will be measured and paid as the maximum number of linear feet of *Pedestrian Channelizing Devices* furnished, acceptably placed, and in use at any one time during the life of the project.

No direct payment will be made for any sign affixed to a pedestrian channelizing device. Signs mounted to pedestrian channelizing devices will be considered incidental to the device.

Relocation, replacement, repair, maintenance, or disposal of *Pedestrian Channelizing Devices* will be incidental to the pay item.

Payment will be made under:


**Pay Item**

**Pay Unit**

Pedestrian Channelizing Devices

Linear Foot

PROJECT SPECIAL PROVISIONS  
Utilities by Others



119 Brookstown Avenue, Suite 305  
Winston-Salem, NC 27101  
Voice: (336) 705-8844  
www.telics.com

**General:**

The following utility companies have facilities that will be in conflict with the construction of this project:

- A) Duke Energy Distribution – Power
- B) Frontier – Communications
- C) Zito Media – Communications

The conflicting facilities of these concerns will be adjusted prior to the date of availability, unless otherwise noted and are therefore listed in these special provisions for the benefit of the Contractor. All utility work listed herein will be done by the utility owners. All utilities are shown on the plans from the best available information.

The Contractor’s attention is directed to Article 105-8 of the 2024 Standard Specifications.

**Utilities Requiring Adjustment:**

Utility relocations are shown on the Utilities by Others Plans.

- A) Duke Energy Distribution – Power
  - 1) Contact person for Duke Energy Distribution is Austin Paysinger (864) 906-6657, [apaysinger@duke-energy.com](mailto:apaysinger@duke-energy.com).
- B) Frontier – Communications
  - 1) Contact person for Frontier is Jerry Fisher (828) 631-4009, [jerry.d.fisher@ftr.com](mailto:jerry.d.fisher@ftr.com).

A-0009CD

## UbO-2

Graham County

PROJECT SPECIAL PROVISIONS  
Utilities by Others

- C) Zito – Communications
  - 1) Contact person for Zito is Dean Russell (205) 907-6829,  
[dean.russell@zitomedia.com](mailto:dean.russell@zitomedia.com).

# SN-1

A-0009CD  
1/8/2026

Graham County

## Signing and Delineation Project Special Provisions Table of Contents

Special Provision	Page
Rectangular Rapid Flashing Beacon Assembly (RRFB) Removal	SN-2
Snowplowable Delineation	SN-3



2/6/2026

## SN-2

A-0009CD  
1/8/2026

Graham County

### **RECTANGULAR RAPID FLASHING BEACON ASSEMBLY (RRFB) REMOVAL:**

#### **Description**

The work covered by this special provision consist of the removal of existing rectangular rapid flashing beacon assemblies (RRFB) and returning them to the Department. Each RRFB assembly includes flashing yellow indicators, solar panel, battery, controller assembly, signs, mounting post, and hardware.

Return the removed equipment and material between the hours of 8:00 AM and 12:00 PM, Monday thru Thursday to the following location:

Division 14 Traffic Services Office  
79 Division of Highways Drive  
Sylva, NC 28779

Notify the Engineer prior to delivery.

Replace or repair all material lost or damaged during removal and transit. Label all returned equipment and material to indicate its original location.

#### **Measurement and Payment**

Rectangular rapid flashing beacon assembly (RRFB) removal will be measured and paid for as the actual number of RRFB assemblies removed and returned to the Department.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Rectangular Rapid Flashing Beacon Assembly (RRFB) Removal	Each

# SN-3

A-0009CD  
1/8/2026

Graham County

**SNOWPLOWABLE DELINEATION:**

(10-15-24)

1253

SP12 R53

**Description**

Furnish, install and maintain **Polycarbonate H-shaped Markers** for snowplowable delineation.

**Materials**

Refer to Division 10 of the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Epoxy	1081
Pavement Markings	1087
Snowplowable Pavement Markers	1086-3

Any snowplowable pavement delineation shall conform to the applicable requirements of Sections 1086, 1087, and 1081 of the *Standards and Specifications*. Use snowplowable delineation markers and markings listed on the NCDOT APL. Any treatment that requires pavement cutting or milling shall be installed within 7 calendar days of the pavement cutting or milling operation.

**Construction Methods**

**(A) General**

For any snowplowable delineation, prior to installation, by brushing, blow cleaning, vacuuming or other suitable means, ensure that all materials and the pavement surface are free of dirt, grease, dust, oil, moisture, mud, grass, or any other material that would prevent adhesion to the pavement by brushing blow cleaning, or vacuuming. If required, apply a primer per manufactures recommendations to pavement surfaces before applying pavement marking material.

Install snowplowable delineation per manufacturers specifications every 80 feet. Make sure pavement markers are oriented to traffic correctly and pavement markings are applied in a uniform thickness. Do not apply markings over longitudinal joints. Protect the pavement markings until they are tack free. Apply applicable Sections 1205 and 1250 of the *Standards Specifications*.

If damage occurs during installation the effected treatments shall be corrected or replaced. This work shall be considered incidental to the installation of the marking or marker.

**(B) Polycarbonate H-shaped Markers**

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Bond marker housings to the pavement with epoxy adhesive. Mechanically mix and dispense epoxy adhesives as required by the manufacturer's specifications. Place the markers immediately after the adhesive has been mixed and dispensed.

Install polycarbonate H-shaped markers castings into slots sawcut into the pavement. Make slots in the pavement to exactly duplicate the shape of the casting of the polycarbonate H-shaped markers.

If saw cutting, milling, or grooving operations are used, promptly remove all resulting debris from the pavement surface. Install the marker housings within 7 calendar days after saw cutting, milling, or grooving the pavement. Remove and dispose of loose material from the slots by brushing, blow cleaning or vacuuming. Dry the slots before applying the epoxy adhesive. Install polycarbonate H-shaped markers and inlaid cradle markers according to the manufacturer's recommendations.

Protect the polycarbonate H-shaped markers until the epoxy has initially cured and is track free.

### **(C) Reflector Replacement**

In the event that a reflector is damaged, replace the damaged reflector by using adhesives and methods recommended by the manufacturer of the markers and approved by the Engineer. This work is considered incidental if damage occurs during the initial installation of the marker housings and maintenance of initial polycarbonate H-shaped markers or inlaid cradle markers specified in this section.

If during reflector replacement it is discovered that the housing is missing or broken this will be paid as *Polycarbonate H-shaped Markers*. Missing housings shall be replaced. Broken housings shall be removed and replaced. In both cases the slot for the housings shall be properly prepared prior to installing the new housing; patch the existing marker slots as directed by the Engineer and install the new marker approximately one foot before or after the patch. Removal of broken housings and preparation of slots will be considered incidental to the work of replacing housings.

### **Maintenance**

Maintain all installed snowplowable delineation before acceptance by the Engineer.

### **Measurement and Payment**

*Polycarbonate H-shaped Markers* will be measured and paid as the actual number of polycarbonate H-shaped markers satisfactorily placed and accepted by the Engineer.

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*Replace Snowplowable Pavement Marker Reflector* will be measured and paid in accordance with Article 1253-5.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Polycarbonate H-shaped Markers	Each

**Project Special Provisions  
Erosion Control**

**STABILIZATION REQUIREMENTS:**

(4-30-2019)(Rev. 1-21-2025)

Stabilization for this project shall comply with the time frame guidelines as specified by the NCG-010000 general construction permit issued by the North Carolina Department of Environmental Quality Division of Energy, Mineral, and Land Resources. Temporary or permanent ground cover stabilization shall occur within the following time frames from the last land-disturbing activity:

- Stabilize perimeter dikes, swales, ditches, and perimeter slopes within 7 calendar days.
- Stabilize high quality water (HQW) zones within 7 calendar days.
- Stabilize slopes steeper than 3:1 within 7 calendar days.
  - If slopes are 10 feet or less in length and are not steeper than 2:1, 14 calendar days are allowed.
- Stabilize slopes 3:1 to 4:1 within 14 calendar days.
  - 7 calendar days for slopes greater than 50 feet in length and with slopes steeper than 4:1.
  - 7 calendar days for perimeter dikes, swales, ditches, perimeter slopes, and HQW Zones.
- Stabilize areas with slopes flatter than 4:1 within 14 calendar days.
  - 7 calendar days for perimeter dikes, swales, ditches, perimeter slopes, and HQW Zones.

The stabilization timeframe for High Quality Water (HQW) Zones shall be 7 calendar days with no exceptions for slope grades or lengths. High Quality Water Zones (HQW) Zones are defined by North Carolina Administrative Code 15A NCAC 04A.0105 (25). Temporary and permanent ground cover stabilization shall be achieved in accordance with the provisions in this contract and as directed.

**SEEDING AND MULCHING:**

**(WestEd)**

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

**Shoulder and Median Areas**

**August 1 - June 1**

20# Kentucky Bluegrass  
 75# Hard Fescue  
 25# Rye Grain  
 500# Fertilizer  
 4000# Limestone

**May 1 - September 1**

20# Kentucky Bluegrass  
 75# Hard Fescue  
 10# German or Browntop Millet  
 500# Fertilizer  
 4000# Limestone

Areas Beyond the Mowing Pattern, Waste and Borrow Areas:

**August 1 - June 1**

100# Tall Fescue  
 15# Kentucky Bluegrass  
 30# Hard Fescue  
 25# Rye Grain  
 500# Fertilizer  
 4000# Limestone

**May 1 - September 1**

100# Tall Fescue  
 15# Kentucky Bluegrass  
 30# Hard Fescue  
 10# German or Browntop Millet  
 500# Fertilizer  
 4000# Limestone

Approved Tall Fescue Cultivars:

06 Dust	Escalade	Kalahari	Serengeti
2 <sup>nd</sup> Millennium	Essential	Kitty Hawk 2000	Shelby
3 <sup>rd</sup> Millennium	Evergreen 2	Legitimate	Shenandoah III
Avenger	Faith	Lexington	Shenandoah Elite
Bar Fa	Falcon IV	LifeGuard	Sheridan
Barlexas	Falson NG	LSD	Sidewinder
Barlexas II	Falcon V	Magellan	Signia
Barrera	Fat Cat	Masterpiece	Silver Hawk
Barrington	Fesnova	Millennium SRP	Skyline
Barrobusto	Fidelity	Monet	Solara
Barvado	Finelawn Elite	Mustang 4	Southern Choice II
Biltmore	Finelawn Xpress	Naturally Green	Speedway
Bingo	Finesse II	Ninja 2	Spyder LS
Bizem	Firebird	Ol' Glory	Sunset Gold
Black Tail	Firecracker LS	Padre	Taccoa
Blackwatch	Firenza	Patagonia	Tahoe II
Blade Runner II	Five Point	Pedigree	Talladega
Bonsai	Focus	Picasso	Tanzania
Braveheart	Forte	Piedmont	Temple
Bravo	Garrison	Plantation	Terrano
Bullseye	Gazelle II	Proseeds 5301	Thor
Cannavaro	GLX Aced	Prospect	Thunderstruck
Catalyst	Gold Medallion	Quest	Titanium LS
Cayenne	Grande 3	RainDance	Titan LTD
Cezanne RZ	Greenbrooks	Raptor II	Tracer
Chipper	Greenkeeper	Rebel IV	Traverse SRP
Cochise IV	Gremlin	Rebel Exeda	Trio
Constitution	Greystone	Rebel Sentry	Tulsa Time
Corgi	Guardian 21	Regenerate	Turbo
Corona	Guardian 41	Regiment II	Turbo RZ
Coyote	Hemi	Rembrandt	Tuxedo
Cumberland	Honky Tonk	Rendition	Ultimate
Darlington	Hot Rod	Reunion	Umbrella
DaVinci	Hunter	Rhambler 2 SRP	Van Gogh

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Desire	Inferno	Riverside	Venture
Diablo	Integrity	RNP	Watchdog
Dominion	Jaguar 3	Rocket	Wolfpack II
Dynamic	Jamboree	Saltillo	Xtremegreen
Dynasty	Justice	Scorpion	

Approved Kentucky Bluegrass Cultivars:

4-Season	Blue Coat	Granite	Prosperity
Alexa II	Blue Note	Hampton	Quantum Leap
America	Blue Velvet	Harmonie	Rambo
Apollo	Boomerang	Impact	Rhapsody
Aramintha	Cabernet	Jackrabbit	Rhythm
Arcadia	Champagne	Jefferson	Royce
Aries	Champlain	Juliet	Rubicon
Armada	Chicago II	Keeneland	Rugby II
Arrow	Corsair	Langara	Rush
Arrowhead	Courtyard	Legend	Shariz
Aura	Dauntless	Liberator	Showcase
Avid	Delight	Lunar	Skye
Award	Diva	Madison	Solar Eclipse
Awesome	Dynamo	Mazama	Sonoma
Bandera	Eagleton	Mercury	Sorbonne
Barduke	Emblem	Merlot	Starburst
Barnique	Empire	Midnight	Sudden Impact
Baron	Envicta	Midnight II	Thermal Blue
Baroness	Everest	Moon Shadow	Total Eclipse
Barrister	Everglade	Mystere	Touche
Barvette HGT	Excursion	Nu Destiny	Tsunami
Bedazzled	Freedom II	NuChicago	Valor
Belissimo	Freedom III	NuGlade	Washington
Bewitched	Front Page	Oasis	Zedor
Beyond	Futurity	Odyssey	Zinfandel
Blackjack	Gaelic	Perfection	
Bluebank	Ginney II	Pinot	
Blueberry	Gladstone	Princeton 105	

Approved Hard Fescue Cultivars:

Aurora Gold	Firefly	Nordic	Rhino
Azay Blue	Gladiator	Oxford	Scaldis II
Beacon	Granite	Predator	Spartan II
Berkshire	Heron	Quatro	Stonehenge
Beudin	Jetty	Reliant II	Sword
Blueray	Minimus	Reliant IV	Warwick
Chariot	Miser	Rescue 911	
Eureka II	Nancock	Resolute	

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On cut and fill slopes 2:1 or steeper add 20# Sericea Lespedeza and 15# Crown Vetch January 1 - December 31.

The Crown Vetch Seed should be double inoculated if applied with a hand seeder. Four times the normal rate of inoculant should be used if applied with a hydroseeder. If a fertilizer-seed slurry is used, the required limestone should also be included to prevent fertilizer acidity from killing the inoculant bacteria. Caution should be used to keep the inoculant below 80° F to prevent harm to the bacteria. The rates and grades of fertilizer and limestone shall be the same as specified for *Seeding and Mulching*.

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

**Native Grass Seeding And Mulching (West)**

Native Grass Seeding and Mulching shall be performed on the disturbed areas of wetlands and riparian areas, and adjacent to Stream Relocation and/or trout stream construction within a 50 foot zone on both sides of the stream or depression, measured from top of stream bank or center of depression. The stream bank of the stream relocation shall be seeded by a method that does not alter the typical cross section of the stream bank. Native Grass Seeding and Mulching shall also be performed in the permanent soil reinforcement mat section of preformed scour holes, and in other areas as directed.

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

<b>August 1 - June 1</b>		<b>May 1 – September 1</b>	
18#	Creeping Red Fescue	18#	Creeping Red Fescue
8#	Big Bluestem	8#	Big Bluestem
6#	Indiangrass	6#	Indiangrass
4#	Switchgrass	4#	Switchgrass
35#	Rye Grain	25#	German or Browntop Millet
500#	Fertilizer	500#	Fertilizer
4000#	Limestone	4000#	Limestone

Approved Creeping Red Fescue Cultivars:

Aberdeen                      Boreal                      Epic                      Cindy Lou

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

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Native Grass Seeding and Mulching shall be performed in accordance with Section 1660 of the *Standard Specifications* and vegetative cover sufficient to restrain erosion shall be installed immediately following grade establishment.

**Measurement and Payment**

Native Grass *Seeding and Mulching* will be measured and paid for in accordance with Article 1660-8 of the *Standard Specifications*.

**TEMPORARY SEEDING:**

Fertilizer shall be the same analysis as specified for *Seeding and Mulching* and applied at the rate of 400 pounds and seeded at the rate of 50 pounds per acre. German Millet, or Browntop Millet shall be used in summer months and rye grain during the remainder of the year. The Engineer will determine the exact dates for using each kind of seed.

**FERTILIZER TOPDRESSING:**

Fertilizer used for topdressing shall be 16-8-8 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 2-1-1 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 16-8-8 analysis and as directed.

**SUPPLEMENTAL SEEDING:**

The kinds of seed and proportions shall be the same as specified for *Seeding and Mulching*, and the rate of application may vary from 25# to 75# per acre. The actual rate per acre will be determined prior to the time of topdressing and the Contractor will be notified in writing of the rate per acre, total quantity needed, and areas on which to apply the supplemental seed. Minimum tillage equipment, consisting of a sod seeder shall be used for incorporating seed into the soil as to prevent disturbance of existing vegetation. A clodbuster (ball and chain) may be used where degree of slope prevents the use of a sod seeder.

**MOWING:**

The minimum mowing height on this project shall be six inches.

**LAWN TYPE APPEARANCE:**

All areas adjacent to lawns must be hand finished as directed to give a lawn type appearance. Remove all trash, debris, and stones  $\frac{3}{4}$ " and larger in diameter or other obstructions that could interfere with providing a smooth lawn type appearance. These areas shall be reseeded to match their original vegetative conditions, unless directed otherwise by the Field Operations Engineer.

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**REFORESTATION:****Description**

*Reforestation* will be planted in areas as directed. *Reforestation* is not shown on the plan sheets. See the Reforestation Detail Sheet.

All non-maintained riparian buffers impacted by the placement of temporary fill or clearing activities shall be restored to the preconstruction contours and revegetated with native woody species.

The entire *Reforestation* operation shall comply with the requirements of Section 1670 of the *Standard Specifications*.

**Materials**

*Reforestation* shall be bare root seedlings 12"-18" tall.

**Construction Methods**

*Reforestation* shall be planted as soon as practical following permanent *Seeding and Mulching*. The seedlings shall be planted in a 16-foot wide swath adjacent to mowing pattern line, or as directed.

Root dip: The roots of reforestation seedlings shall be coated with a slurry of water, and either a fine clay (kaolin) or a superabsorbent that is designated as a bare root dip. The type, mixture ratio, method of application, and the time of application shall be submitted to the Engineer for approval.

With the approval of the Engineer, seedlings may be coated before delivery to the job or at the time of planting, but at no time shall the roots of the seedlings be allowed to dry out. The roots shall be moistened immediately prior to planting.

Seasonal Limitations: *Reforestation* shall be planted from November 15 through March 15.

**Measurement and Payment**

*Reforestation* will be measured and paid for in accordance with Article 1670-17 of the *Standard Specifications*.

**ENVIRONMENTALLY SENSITIVE AREAS:****Description**

This project is located in an *Environmentally Sensitive Area*. This designation requires special procedures to be used for clearing and grubbing, temporary stream crossings, and grading operations within the Environmentally Sensitive Areas identified on the plans and as designated

by the Engineer. This also requires special procedures to be used for seeding and mulching and staged seeding within the project.

The Environmentally Sensitive Area shall be defined as a 50-foot buffer zone on both sides of the stream or depression measured from top of streambank or center of depression.

### **Construction Methods**

(A) Clearing and Grubbing

In areas identified as Environmentally Sensitive Areas, the Contractor may perform clearing operations, but not grubbing operations until immediately prior to beginning grading operations as described in Article 200-1 of the *Standard Specifications*. Only clearing operations (not grubbing) shall be allowed in this buffer zone until immediately prior to beginning grading operations. Erosion control devices shall be installed immediately following the clearing operation.

(B) Grading

Once grading operations begin in identified Environmentally Sensitive Areas, work shall progress in a continuous manner until complete. All construction within these areas shall progress in a continuous manner such that each phase is complete and areas are permanently stabilized prior to beginning of next phase. Failure on the part of the Contractor to complete any phase of construction in a continuous manner in Environmentally Sensitive Areas will be just cause for the Engineer to direct the suspension of work in accordance with Article 108-7 of the *Standard Specifications*.

(C) Temporary Stream Crossings

Any crossing of streams within the limits of this project shall be accomplished in accordance with the requirements of Subarticle 107-12 of the *Standard Specifications*.

(D) Seeding and Mulching

Seeding and mulching shall be performed in accordance with Section 1660 of the *Standard Specifications* and vegetative cover sufficient to restrain erosion shall be installed immediately following grade establishment.

Seeding and mulching shall be performed on the areas disturbed by construction immediately following final grade establishment. No appreciable time shall lapse into the contract time without stabilization of slopes, ditches and other areas within the Environmentally Sensitive Areas.

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**(E) Stage Seeding**

The work covered by this section shall consist of the establishment of a vegetative cover on cut and fill slopes as grading progresses. Seeding and mulching shall be done in stages on cut and fill slopes that are greater than 20 feet in height measured along the slope, or greater than 2 acres in area. Each stage shall not exceed the limits stated above.

Additional payments will not be made for the requirements of this section, as the cost for this work shall be included in the contract unit prices for the work involved.

**MINIMIZE REMOVAL OF VEGETATION:**

The Contractor shall minimize removal of vegetation within project limits to the maximum extent practicable. Vegetation along stream banks and adjacent to other jurisdictional resources outside the construction limits shall only be removed upon approval of Engineer. No additional payment will be made for this minimization work.

**CONSTRUCTION MATERIALS MANAGEMENT**

(3-19-19) (rev. 04-27-20)

**Description**

The requirements set forth shall be adhered to in order to meet the applicable materials handling requirements of the NCG010000 permit. Structural controls installed to manage construction materials stored or used on site shall be shown on the E&SC Plan. Requirements for handling materials on construction sites shall be as follows:

**Polyacrylamides (PAMS) and Flocculants**

Polyacrylamides (PAMS) and flocculants shall be stored in leak-proof containers that are kept under storm-resistant cover or surrounded by secondary containment structures designed to protect adjacent surface waters. PAMS or other flocculants used shall be selected from the NC DWR List of Approved PAMS/Flocculants. The concentration of PAMS and other flocculants used shall not exceed those specified in the NC DWR List of Approved PAMS/Flocculants and in accordance with the manufacturer's instructions. The NC DWR List of Approved PAMS/Flocculants is available at:

[https://files.nc.gov/ncdeq/Water+Quality/Environmental+Sciences/ATU/PAM8\\_30\\_18.pdf](https://files.nc.gov/ncdeq/Water+Quality/Environmental+Sciences/ATU/PAM8_30_18.pdf)

**Equipment Fluids**

Fuels, lubricants, coolants, and hydraulic fluids, and other petroleum products shall be handled and disposed of in a manner so as not to enter surface or ground waters and in accordance with applicable state and federal regulations. Equipment used on the site must be operated and maintained properly to prevent discharge of fluids. Equipment, vehicle, and other wash waters

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shall not be discharged into E&SC basins or other E&SC devices. Alternative controls should be provided such that there is no discharge of soaps, solvents, or detergents.

**Waste Materials**

Construction materials and land clearing waste shall be disposed of in accordance with North Carolina General Statutes, Chapter 130A, Article 9 - Solid Waste Management, and rules governing the disposal of solid waste (15A NCAC 13B). Areas dedicated for managing construction material and land clearing waste shall be at least 50 feet away from storm drain inlets and surface waters unless it can be shown that no other alternatives are reasonably available. Paint and other liquid construction material waste shall not be dumped into storm drains. Paint and other liquid construction waste washouts should be located at least 50 feet away from storm drain inlets unless there is no alternative. Other options are to install lined washouts or use portable, removable bags or bins. Hazardous or toxic waste shall be managed in accordance with the federal Resource Conservation and Recovery Act (RCRA) and NC Hazardous Waste Rules at 15A NCAC, Subchapter 13A. Litter and sanitary waste shall be managed in a manner to prevent it from entering jurisdictional waters and shall be disposed of offsite.

**Herbicide, Pesticide, and Rodenticides**

Herbicide, pesticide, and rodenticides shall be stored and applied in accordance with the Federal Insecticide, Fungicide, and Rodenticide Act, North Carolina Pesticide Law of 1971 and labeling restrictions.

**Concrete Materials**

Concrete materials onsite, including excess concrete, must be controlled and managed to avoid contact with surface waters, wetlands or buffers. No concrete or cement slurry shall be discharged from the site. (Note that discharges from onsite concrete plants require coverage under a separate NPDES permit – NCG140000.) Concrete wash water shall be managed in accordance with the *Concrete Washout Structure* provision. Concrete slurry shall be managed and disposed of in accordance with *NCDOT DGS and HOS DCAR Distribution of Class A Residuals Statewide* (Permit No. WQ0035749). Any hardened concrete residue will be disposed of, or recycled on site, in accordance with state solid waste regulations.

**Earthen Material Stock Piles**

Earthen material stock piles shall be located at least 50 feet away from storm drain inlets and surface waters unless it can be shown that no other alternatives are reasonably available.

**Measurement and Payment**

Conditions set within the *Construction Materials Management* provision are incidental to the project for which no direct compensation will be made.

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**WASTE AND BORROW SOURCES:**

(2-16-11) (Rev. 3-17-22)

Payment for temporary erosion control measures, except those made necessary by the Contractor's own negligence or for his own convenience, will be paid for at the appropriate contract unit price for the devices or measures utilized in borrow sources and waste areas.

No additional payment will be made for erosion control devices or permanent seeding and mulching in any commercial borrow or waste pit. All erosion and sediment control practices that may be required on a commercial borrow or waste site will be done at the Contractor's expense.

All offsite Staging Areas, Borrow and Waste sites shall be in accordance with "Borrow and Waste Site Reclamation Procedures for Contracted Projects" located at:

<https://connect.ncdot.gov/resources/roadside/FieldOperationsDocuments/Contract%20Reclamation%20Procedures.pdf>

All forms and documents referenced in the "Borrow and Waste Site Reclamation Procedures for Contracted Projects" shall be included with the reclamation plans for offsite staging areas, and borrow and waste sites.

**TEMPORARY DIVERSION:**

This work consists of installation, maintenance, and cleanout of *Temporary Diversions* in accordance with Section 1630 of the *Standard Specifications*. The quantity of excavation for installation and cleanout will be measured and paid for as *Silt Excavation* in accordance with Article 1630-3 of the *Standard Specifications*.

**SAFETY FENCE AND JURISDICTIONAL FLAGGING:****Description**

*Safety Fence* shall consist of furnishing materials, installing and maintaining polyethylene or polypropylene fence along the outside riparian buffer, wetland, or water boundary, or other boundaries located within the construction corridor to mark the areas that have been approved to infringe within the buffer, wetland, endangered vegetation, culturally sensitive areas or water. The fence shall be installed prior to any land disturbing activities.

Interior boundaries for jurisdictional areas noted above shall be delineated by stakes and highly visible flagging.

Jurisdictional boundaries at staging areas, waste sites, or borrow pits, whether considered outside or interior boundaries shall be delineated by stakes and highly visible flagging.

**Materials****(A) Safety Fencing**

Polyethylene or polypropylene fence shall be a highly visible preconstructed safety fence approved by the Engineer. The fence material shall have an ultraviolet coating.

Either wood posts or steel posts may be used. Wood posts shall be hardwood with a wedge or pencil tip at one end, and shall be at least 5 ft. in length with a minimum nominal 2" x 2" cross section. Steel posts shall be at least 5 ft. in length, and have a minimum weight of 0.85 lb/ft of length.

**(B) Boundary Flagging**

Wooden stakes shall be 4 feet in length with a minimum nominal 3/4" x 1-3/4" cross section. The flagging shall be at least 1" in width. The flagging material shall be vinyl and shall be orange in color and highly visible.

**Construction Methods**

No additional clearing and grubbing is anticipated for the installation of this fence. The fence shall be erected to conform to the general contour of the ground.

**(A) Safety Fencing**

Posts shall be set at a maximum spacing of 10 ft., maintained in a vertical position and hand set or set with a post driver. Posts shall be installed a minimum of 2 ft. into the ground. If hand set, all backfill material shall be thoroughly tamped. Wood posts may be sharpened to a dull point if power driven. Posts damaged by power driving shall be removed and replaced prior to final acceptance. The tops of all wood posts shall be cut at a 30-degree angle. The wood posts may, at the option of the Contractor, be cut at this angle either before or after the posts are erected.

The fence geotextile shall be attached to the wood posts with one 2" galvanized wire staple across each cable or to the steel posts with wire or other acceptable means.

Place construction stakes to establish the location of the safety fence in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for the staking of the safety fence. All stakeouts for safety fence shall be considered incidental to the work being paid for as "Construction Surveying", except that where there is no pay item for construction surveying, all safety fence stakeout will be performed by state forces.

The Contractor shall be required to maintain the safety fence in a satisfactory condition for the duration of the project as determined by the Engineer.

(B) Boundary Flagging

Boundary flagging delineation of interior boundaries shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6” into the ground. Interior boundaries may be staked on a tangent that runs parallel to buffer but must not encroach on the buffer at any location. Interior boundaries of hand clearing shall be identified with a different colored flagging to distinguish it from mechanized clearing.

Boundary flagging delineation of interior boundaries will be placed in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for delineation of the interior boundaries. This delineation will be considered incidental to the work being paid for as *Construction Surveying*, except that where there is no pay item or construction surveying the cost of boundary flagging delineation shall be included in the unit prices bid for the various items in the contract. Installation for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6” into the ground. Additional flagging may be placed on overhanging vegetation to enhance visibility but does not substitute for installation of stakes.

Installation of boundary flagging for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall be performed in accordance with Subarticle 230-4(B)(5) or Subarticle 802-2(F) of the *Standard Specifications*. No direct pay will be made for this delineation, as the cost of same shall be included in the unit prices bid for the various items in the contract.

The Contractor shall be required to maintain alternative stakes and highly visible flagging in a satisfactory condition for the duration of the project as determined by the Engineer.

**Measurement and Payment**

*Safety Fence* will be measured and paid as the actual number of linear feet of polyethylene or polypropylene fence installed in place and accepted. Such payment will be full compensation including but not limited to furnishing and installing fence geotextile with necessary posts and post bracing, staples, tie wires, tools, equipment and incidentals necessary to complete this work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Safety Fence	Linear Foot

**IMPERVIOUS DIKE:**  
(9-9-11)(Rev. 11-15-22)

**Description**

This work consists of furnishing, installing, maintaining, pumping and removing an *Impervious Dike* for the purpose of diverting normal stream flow around the construction site. The Contractor

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shall construct an impervious dike in such a manner approved by the Engineer. The impervious dike shall not permit seepage of water into the construction site or contribute to siltation of the stream. The impervious dike shall be constructed of an acceptable material in the locations noted on the plans or as directed by the Engineer.

**Materials**

Acceptable materials shall include but not be limited to sheet piles, sandbags, and/or the placement of an acceptable size stone lined with polypropylene or other impervious geotextile.

Earth material shall not be used to construct an impervious dike when it is in direct contact with the stream unless vegetation can be established before contact with the stream takes place.

**Construction Methods**

Where impervious dikes are shown on the plans and used to dewater or lower the water elevation, construct in accordance with Article 410-4 and 410-5.

**Measurement and Payment**

*Impervious Dike* will be measured and paid as the actual number of linear feet of impervious dike(s) constructed, measured in place from end to end of each separate installation that has been completed and accepted by the Engineer. Such price and payment will be full compensation for all work including but not limited to furnishing materials, construction, maintenance, pumping and removal of the impervious dike.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Impervious Dike	Linear Foot

**TEMPORARY PIPE FOR CULVERT CONSTRUCTION:**

**Description**

This work consists of furnishing, installing, maintaining and removing any and all temporary pipe used on this project in conjunction with the culvert construction.

**Construction Methods**

The Contractor shall install temporary pipe in locations shown on the plans in such a manner approved by the Engineer. The temporary pipe shall provide a passageway for the stream through the work-site. The minimum size requirements will be as stated on the erosion control plans.

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**Measurement and Payment**

\_\_" *Temporary Pipe* will be measured and paid for at the contract unit price per linear foot of temporary pipe approved by the Engineer and measured in place from end to end. Such price and payment will be full compensation for all work covered by this section including but not limited to furnishing all materials required for installation, construction, maintenance, and removal of temporary pipe.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
__" Temporary Pipe	Linear Foot

**PUMP AROUND OPERATION:**

**Description**

The work covered by this section consists of furnishing, installing, maintaining and removing any and all pump around systems used on this project. The Contractor shall install a pump around system in locations as shown in the plans and in other locations approved by the Engineer. The pump around system shall provide a passageway for the stream flow around the work site. See the Example of Pump Around Operation Detail Sheet in the Erosion Control plans.

The quantity of pump around systems may be increased, decreased, or eliminated entirely as directed. Such variations in quantity will not be considered as alterations in the details of construction or a change in the character of the work.

**Materials**

<b>Item</b>	<b>Section</b>
Special Stilling Basin	1639

*Impervious Dike* shall meet the specifications as provided elsewhere in this contract.

Pumps shall be of sufficient size to divert the stream flow around the work area, as approved by the Engineer.

**Construction Methods**

Install *impervious dike(s)* as shown on the plans or as directed. Pump water around the work site. If the water is turbid or exposed to bare soil, pump through a *special stilling basin*. Once the work is complete in an area remove the *impervious dike(s)* and pump system, and stabilize the area.

**Measurement and Payment**

*Impervious Dike* will be measured and paid for as provided elsewhere in this contract.

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*Special Stilling Basin* will be measured and paid for in accordance with Article 1639-4 of the *Standard Specifications*.

Payment for pumping operations shall be considered incidental to the work of installing pipes, culverts and channels. The pumping operations shall include but not be limited to, diverting the stream flow around the work area and pumping runoff from the work area into a stilling basin, special stilling basin or other sediment control device. No additional payment will be made for furnishing materials or maintenance of the pumping operations for the installation of pipes, culverts and channels.

The above prices and payments will be full compensation for all work covered by this section including, but not limited to furnishing all of the necessary materials, construction, maintenance and removal of the impervious dike and pump around system.

### **STREAM CHANNEL RELOCATION LIMITATIONS:**

The following sequence of construction shall be followed in the areas designated on the plans as stream relocations. Failure on the part of the Contractor to follow this sequence, and complete each step prior to proceeding in this area as specified, will be just cause for the Engineer to direct the suspension of work in accordance with Article 108-7 of the *Standard Specifications*.

- (A) Clear, but do not grub area within the Environmentally Sensitive Area on the existing stream to be relocated.
- (B) Construct and stabilize, with vegetation or erosion control materials sufficient to restrain erosion, the proposed stream channel relocation as shown on the plans.
- (C) Divert water into newly constructed channel only after it has been stabilized and approved.
- (D) Begin grubbing and/or grading within the Environmentally Sensitive Area of the existing stream.

The Contractor shall perform seeding and mulching and install erosion control matting to all cut/fill slopes adjacent to stream relocations in accordance with the contract.

The above requirements apply to the stream channels being constructed at the following stations:

Approx. Sta. 99+04 to 99+74 LT -Y2-

### **STREAMBANK REFORESTATION:**

(Rev. 9-16-25)

#### **Description**

Plant streambank reforestation in areas designated on the plans and as directed by the Engineer. See the Streambank Reforestation Detail Sheets.

The entire streambank reforestation operation shall comply with the requirements of Section 1670 of the *Standard Specifications*.

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**Materials**

<b>Item</b>	<b>Section</b>
Coir Fiber Mat	1060-14

Live Stakes:

*Type I Streambank Reforestation* shall be live stakes, planted along both streambanks. Live stakes shall be 1/2 inch to 2 inches in diameter. Stakes shall also be 2 feet to 3 feet in length.

Live staking plant material shall consist of a random mix made up of 50% Black Willow (*Salix nigra*) and 50% Silky Dogwood (*Cornus amomum*). Other species may be substituted upon approval of the Engineer. Harvest all plant material locally (within the same physiographic ecoregion and plant hardiness zone) or purchased from a local nursery, with the approval of the Engineer. All live stakes shall be dormant at time of acquisition and planting.

Use stakes, reinforcement bars or staples as anchors meeting the requirements of Article 1629-2 of the *Standard Specifications*.

Bare Root Seedlings:

*Type II Streambank Reforestation* shall be bare root seedlings 12 inches to 18 inches tall.

**Construction Methods**

Install coir fiber matting in accordance with Article 1629-3 of the *Standard Specifications* on the streambanks where live staking is to be planted as shown on the Streambank Reforestation Detail Sheets and in locations as directed by the Engineer. Work includes providing all materials, excavating and backfilling, and placing and securing coir fiber mat.

Provide a smooth soil surface free from stones, clods, or debris that will prevent the contact of the matting with the soil. Place the matting immediately upon final grading and permanent seeding. Take care to preserve the required line, grade, and cross section of the area covered.

Unroll the matting and apply without stretching such that it will lie smoothly but loosely on the soil surface. Bury the top slope end of each piece of matting in a narrow trench at least 6 inches deep and tamp firmly. Where one roll of matting ends and a second roll begins, overlap the end of the upper roll over the buried end of the second roll so there is a 6 inch overlap. Construct check trenches at least 12 inches deep every 50 feet longitudinally along the edges of the matting, or as directed by the Engineer. Fold over and bury matting to the full depth of the trench, close and tamp firmly. Overlap matting at least 6 inches where 2 or more widths of matting are installed side by side.

Wooden stakes, reinforcement bars, or staples may be used as anchors in accordance with the Streambank Reforestation Detail Sheets and as directed by the Engineer. Place anchors across the matting at ends, junctions, and check trenches approximately 1 foot apart. Place anchors down the center of each strip of matting 3 feet apart. Place anchors along all lapped edges 1 foot apart. Refer

to the Streambank Reforestation Detail Sheets for anchoring pattern. The Engineer may require adjustments in the trenching or anchoring requirements to fit individual site conditions.

During preparation of the live stakes, cleanly cut the basal ends at an angle to facilitate easy insertion into the soil, while the tops shall be cut square or blunt for tamping. Remove all limbs from the sides of the live cutting prior to installation.

Live stakes shall be installed within 48 hours of cutting. Continually shade and protect outside storage locations from wind and direct sunlight. Live cut plant material shall remain moist at all times before planting.

Space stakes approximately 4 feet on center. Install live stakes according to the configuration presented on the Streambank Reforestation Detail Sheets.

Tamp live stakes perpendicularly into the finished bank slope with a dead blow hammer, with buds oriented in an upward direction. Stakes should be tamped until approximately 3/4 of the stake length is within the ground. Compact the area around each live stake by foot after the live stake has been installed.

1 inch to 2 inches shall be cut cleanly off of the top of each live stake with loppers at an angle of approximately 15 degrees following installation. Any stakes that are split or damaged during installation shall be removed and replaced.

The bare root seedlings shall be planted as soon as practical following permanent *Seeding and Mulching*. Plant the seedlings from top of bank out, along both sides of the stream, as designated on the plans.

Root dip: Coat the roots of reforestation seedlings with a slurry of water, and either a fine clay (kaolin) or a superabsorbent polymer that is designated as a bare root dip. The type, mixture ratio, method of application, and the time of application shall be submitted to the Engineer.

Seedlings may be coated before delivery to the job or at the time of planting, but at no time shall the roots of the seedlings be allowed to dry out. Moisten the roots immediately prior to planting.

Seasonal Limitations: Plant streambank reforestation from November 15 through March 15.

### **Measurement and Payment**

*Streambank Reforestation* will be measured and paid for as the actual number of acres of land measured along the surface of the ground, which has been acceptably planted in accordance with this special provision.

*Coir Fiber Mat* will be measured and paid in accordance with Article 1629-4 of the *Standard Specifications*.

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Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Streambank Reforestation	Acre

**CONCRETE WASHOUT:**

(10-22-15)(Rev. 4-15-25)

**Description**

Concrete washouts are impermeable enclosures, above or below grade, to contain concrete wastewater and associated concrete mix from cleaning of ready-mix trucks, drums, pumps, tools or other equipment. Concrete washouts must collect and retain all the concrete washout water and solids, so that this material does not migrate to surface waters or into the ground water. These enclosures are not intended for concrete waste not associated with washout operations.

Acceptable concrete washouts may include constructed earthen structures, above or below ground, or commercially available devices designed specifically to capture concrete wash water.

**Materials**

Refer to Division 10 of the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Temporary Silt Fence	1605

*Safety Fence* shall meet the specifications as provided elsewhere in this contract.

Geomembrane basin liner shall consist of a minimum 10 mil thick polypropylene or polyethylene geomembrane.

**Construction Methods**

Build an enclosed earthen berm or excavate to form an enclosure in accordance with the details and as directed by the Engineer near the project entrance(s) or at location(s) of concrete operations. Structures shall be constructed a minimum of 50 feet from drainage conveyances or jurisdictional streams or wetlands. Alternate structure designs or plans for management of concrete washout may be submitted for review and approval by the Engineer. Include in the alternate plan the method used to retain, treat and dispose of the concrete washout wastewater generated within the project limits and in accordance with the minimum setback requirements.

Install temporary silt fence around the perimeter of the structure enclosure in accordance with the details and as directed by the Engineer if the structure is not located in an area where existing erosion and sedimentation control devices are capable of containing stormwater runoff.

Post a sign with the words “Concrete Washout” in close proximity of the concrete washout area, so it is clearly visible to site personnel. Install safety fence as directed by the Engineer for visibility to construction traffic.

Install prefabricated concrete washouts, designed specifically to capture concrete wash water, at locations of additional concrete pouring operations. Acceptable systems may include geotextile lined containers, vinyl or plastic containers or roll-off containers, with or without filter bags with a minimum functional holding capacity of 36 cubic feet (1.33 cubic yards). Submit prefabricated concrete washout system for approval by the Engineer prior to installation. Place prefabricated concrete washout devices to a minimum 50 foot setback from drainage conveyances and jurisdictional streams and wetlands. If the minimum setback cannot be achieved, provide secondary containment to prevent accidental release of wastewater from reaching drainage conveyances or streams.

Prefabricated concrete washouts must be clearly and visibly labeled as such, either by the manufacturer on the product itself, or by a sign with the words “Concrete Washout” in close proximity of the concrete washout area so it is clearly visible to site personnel.

### **Maintenance and Removal**

Maintain the concrete washout structure(s) to provide adequate holding capacity plus a minimum freeboard of 12 inches. Remove and dispose of hardened concrete and return the structure to a functional condition after reaching 75% capacity. Inspect concrete washout structures for damage to liner or structure to maintain functionality.

Maintain prefabricated concrete washout systems per manufacturer’s recommendations. Inspect concrete washout structures for damage to linings or structure and repair or replace as necessary.

Remove the concrete washout structures and sign upon project completion. Grade the area to match the existing topography and permanently seed and mulch area. Dispose of prefabricated concrete washout structures according to state or local waste regulations.

### **Measurement and Payment**

*Concrete Washout Structure* will be measured and paid per each enclosure installed in accordance with the details in the plans. If alternate plans or details are approved, those structures will also be paid for per each approved and installed structure. Such price and payment will be full compensation for all work including, but not limited to, furnishing all materials, labor, equipment, signage, slurry solidification and incidentals necessary to construct, maintain and remove *Concrete Washout Structure* and dispose of residual concrete washout wastewater and concrete solids.

*Prefabricated Concrete Washout* will be measured and paid per each system installed in accordance with the manufacturer’s recommendations. Such price and payment will be full compensation for all work including, but not limited to, furnishing all materials, labor, equipment, signage, slurry solidification and incidentals necessary to install, maintain and remove

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*Prefabricated Concrete Washout*, and dispose of residual concrete washout wastewater and concrete solids.

*Temporary Silt Fence* will be measured and paid for in accordance with Article 1605-5 of the *Standard Specifications*.

*Safety Fence* shall be measured and paid for as provided elsewhere in this contract.

No measurement will be made for over excavation or stockpiling or other items necessary to complete this work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Concrete Washout Structure	Each
Prefabricated Concrete Washout	Each

**LITTER REMOVAL (MOWING AREAS ONLY):**  
(07-19-22) (Rev 8-25-25)

**Description**

This work consists of the pickup, removal, and disposal of litter from roadsides within the construction project prior to mowing operations.

**Construction Methods**

Provide labor, equipment and materials necessary for the pickup and removal of litter from non-construction sources and the disposal of same into state approved landfills. The Contractor shall abide by all ordinances, laws and regulations regarding disposal of litter and recycling of eligible materials. Wastes generated from construction activities shall be managed as provided elsewhere in the contract. Litter items may consist of any item not considered normal to the right-of-way, including but not limited to, varied sizes of bottles, cans, paper, tires, tire pieces, lumber, vehicle parts, building supplies, metals, household furnishings, cardboard, plastics, ladders, brush and other items not considered normal to the right of way. Litter removal shall be performed in designated areas within five days prior to any mowing operations and as directed. Designated areas shall include vegetated medians and shoulders within the project limits including all interchange ramps and other areas to be mown. Designated areas may be omitted for litter removal by the Engineer due to safety concerns.

The Contractor shall provide adequate personnel and materials to collect and remove litter. The Contractor shall be responsible for locating and utilizing approved local landfills and recycling facilities. Refer to Section 107-25 of the *Standard Specifications* for potential hazardous materials. All collected litter shall be containerized immediately and kept off the traveled portions of the roadway, shoulders, and rights-of-way (including paved shoulders). All collected litter that is small enough to be placed in a bag shall be bagged immediately. All collected litter that is too

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large for a bag shall be placed into a vehicle. Extended storage or stockpiling of collected litter and recyclables will not be permitted.

The Contractor’s personnel shall dispose of any litter in a landfill approved by North Carolina Division of Waste Management. The Contractor will not be allowed to use NCDOT accounts at the landfills/recycling centers nor be allowed to dispose of the litter in NCDOT trash containers on any NCDOT property.

The Contractor shall report online the number of bags of litter and any recycling on the NCDOT Litter Management Website on the date of the pickup at the following website:

<https://apps.ncdot.gov/LM>

An access code (‘Pickup Key’) for the online reporting portal may be obtained via emailing the Roadside Environmental Unit Litter Management Section at [ncdot.clr@ncdot.gov](mailto:ncdot.clr@ncdot.gov). The Contractor shall request access to the litter removal reporting website prior to starting initial litter collection operations.

**Measurement and Payment**

The quantity of litter removal to be performed will be affected by the actual conditions that occur during construction of the project. The quantity of litter removal may be increased, decreased, or eliminated entirely as directed. Such variations in quantity will not be considered as alterations in the details of construction or a change in the character of the work.

*Manual Litter Removal* will be measured and paid as the actual number of man hours each worker spends picking up litter. Such price and payment will be full compensation for all litter removal work covered by *Litter Removal*, including, but not limited to, furnishing all materials, labor, equipment, transport, reporting, and incidentals necessary to accomplish the work.

*Litter Disposal* will be measured and paid for by the actual number of tons of litter collected and properly disposed of at a state approved landfill. Such price and payment will be full compensation for all fees, labor, transport, and incidentals necessary to dispose of collected litter associated with *Litter Removal*.

All traffic control necessary to provide a safe work area for *Litter Removal* shall be paid for as specified elsewhere in the contract.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Manual Litter Removal	MHR
Litter Disposal	TON

**FABRIC INSERT INLET PROTECTION**

(1-1-24)

**Description**

Install, maintain, and remove Fabric Insert Inlet Protection, of the type specified, in inlet structures (catch basins, drop inlets, etc.) in areas where asphalt or concrete may prevent the proper installation of a Rock Inlet Sediment Traps Type C, or as directed by the Engineer.

**Materials**

Provide a fabric inlet protection device composed of a fitted woven polypropylene geotextile double sewn with nylon thread suspended sack. The Fabric Insert Inlet Protection shall be manufactured to fit the opening of the catch basin or drop inlet or shall have a deflector to direct runoff from the curb opening into the fabric sack. The Fabric Insert Inlet Protection shall have a rigid frame or support system to support the loaded weight of the product. The product shall have lifting loops for removing the device from the basin and will have dump straps attached at the bottom to facilitate the emptying of the device. The Fabric Insert Inlet Protection shall have an overflow system to allow stormwater to enter the inlet structure and avoid ponding on the roadway when the device reaches capacity.

The fitted filter assembly shall have the following physical properties:

Type 1 (High Flow):

<b>Physical</b>	<b>Test Method</b>	<b>English</b>
Grab Tensile	ASTM D-4632	255 x 275 lbs
Minimum Puncture Strength	ASTM D-4833	125 lbs
Mullen Burst	ASTM D-3786	420 PSI
Minimum UV Resistance	ASTM D-4355	70 %.
Flow Rate	ASTM D-4491	200 gal/min/ft <sup>2</sup>
Apparent Opening	ASTM D-4751	20 US Sieve
Permittivity	ASTM D-4491	1.5 sec <sup>-1</sup>

Type 2 (Low Flow):

<b>Physical</b>	<b>Test Method</b>	<b>English</b>
Grab Tensile	ASTM D-4632	315 x 300 lbs
Grab Elongation	ASTM D-4632	15 x 15 %
Minimum Puncture Strength	ASTM D-4833	125 lbs
Mullen Burst	ASTM D-3786	650 PSI
Minimum UV Resistance	ASTM D-4355	70 %.
Flow Rate	ASTM D-4491	40 gal/min/ft <sup>2</sup>
Apparent Opening	ASTM D-4751	40 US Sieve
Permittivity	ASTM D-4491	0.55 sec <sup>-1</sup>

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## Construction Methods

Strictly adhere to the manufacturer’s installation instructions and recommendations. Maintenance shall include regular daily inspections and after each qualifying rain event. The Fabric Insert Inlet Protection shall be emptied, cleaned and placed back into the basin when it reaches 50% capacity or as directed by the Engineer.

## Measurement and Payment

*Fabric Insert Inlet Protection, Type \_\_* will be measured and paid in units of each of the type specified, complete in place and accepted. Such payment shall be full compensation for furnishing and installing the *Fabric Insert Inlet Protection, Type \_\_* in accordance with this specification and for all required maintenance.

*Fabric Insert Inlet Protection Cleanout* will be measured and paid in units of each for the maintenance of the device, cleanout and disposal of accumulated sediments.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Fabric Insert Inlet Protection, Type __	Each
Fabric Insert Inlet Protection Cleanout	Each

**Project Special Provisions  
Structures**

Falsework and Formwork (11-30-23) ..... ST-2  
 Submittal of Working Drawings (1-31-25) ..... ST-7  
 Crane Safety (12-15-25) ..... ST-14  
 Grout for Structures (12-01-17) ..... ST-14  
 Optional Precast Reinforced Concrete Box Culvert (10-31-24) ..... ST-15



Signed by  
*Marshall G. Cheek, Jr.*  
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2/18/2026

**FALSEWORK AND FORMWORK****(11-30-23)****GENERAL**

Use this Special Provision as a guide to develop temporary works submittals required by the *Standard Specifications* or other provisions; no additional submittals are required herein. Such temporary works include, but are not limited to, falsework and formwork.

Falsework is any temporary construction used to support the permanent structure until it becomes self-supporting. Formwork is the temporary structure or mold used to retain plastic or fluid concrete in its designated shape until it hardens. Access scaffolding is a temporary structure that functions as a work platform that supports construction personnel, materials, and tools, but is not intended to support the structure. Scaffolding systems that are used to temporarily support permanent structures (as opposed to functioning as work platforms) are considered to be falsework under the definitions given. Shoring is a component of falsework such as horizontal, vertical, or inclined support members. Where the term “temporary works” is used, it includes all of the temporary facilities used in bridge construction that do not become part of the permanent structure.

Design and construct safe and adequate temporary works that will support all loads imposed and provide the necessary rigidity to achieve the lines and grades shown on the plans in the final structure.

**MATERIALS**

Select materials suitable for temporary works; however, select materials that also ensure the safety and quality required by the design assumptions. The Engineer has authority to reject material on the basis of its condition, inappropriate use, safety, or nonconformance with the plans. Clearly identify allowable loads or stresses for all materials or manufactured devices on the plans. Revise the plan and notify the Engineer if any change to materials or material strengths is required.

**DESIGN REQUIREMENTS****(A) Working Drawings**

Provide working drawings for items as specified in the contract, or as required by the Engineer, with design calculations and supporting data in sufficient detail to permit a structural and safety review of the proposed design of the temporary work.

On the drawings, show all information necessary to allow the design of any component to be checked independently as determined by the Engineer.

When concrete placement is involved, include data such as the drawings of proposed sequence, rate of placement, direction of placement, and location of all construction joints.

When required, have the drawings and calculations prepared under the guidance of, and sealed by, a North Carolina Registered Professional Engineer who is knowledgeable in temporary works design.

If requested by the Engineer, submit with the working drawings manufacturer's catalog data listing the weight of all construction equipment that will be supported on the temporary work. Show anticipated total settlements and/or deflections of falsework and forms on the working drawings. Include falsework footing settlements, joint take-up, and deflection of beams or girders.

As an option for the Contractor, overhang falsework hangers may be uniformly spaced, at a maximum of 36 inches, provided the following conditions are met:

Member Type (PCG)	Member Depth, (inches)	Max. Overhang Width, (inches)	Max. Slab Edge Thickness, (inches)	Max. Scribed Wheel Weight, (lbs.)	Bracket Min. Vertical Leg Extension, (inches)
II	36	39	14	2000	26
III	45	42	14	2000	35
IV	54	45	14	2000	44
MBT	63	51	12	2000	50
MBT	72	55	12	1700	48

Overhang width is measured from the centerline of the girder to the edge of the deck slab. For Type II, III & IV prestressed concrete girders (PCG), 45-degree cast-in-place half hangers and rods must have a minimum safe working load of 6,000 lbs.

For MBT prestressed concrete girders, 45-degree angle holes for falsework hanger rods shall be cast through the girder top flange and located, measuring along the top of the member, 1'-2 1/2" from the edge of the top flange. Hanger hardware and rods must have a minimum safe working load of 6,000 lbs.

For link slabs, the top of girders directly beneath the link slab shall be free of overhang falsework attachments or other hardware. Submit calculations and working drawings for overhang falsework in the link slab region.

The overhang bracket provided for the diagonal leg shall have a minimum safe working load of 3,750 lbs. The vertical leg of the bracket shall extend to the point that the heel bears on the girder bottom flange, no closer than 4 inches from the bottom of the member. However, for 72-inch members, the heel of the bracket shall bear on the web, near the bottom flange transition.

Provide adequate overhang falsework and determine the appropriate adjustments for deck geometry, equipment, casting procedures and casting conditions.

If the optional overhang falsework spacing is used, indicate this on the falsework submittal and advise the girder producer of the proposed details. Failure to notify the Engineer of hanger type and hanger spacing on prestressed concrete girder casting drawings may delay the approval of those drawings.

Falsework hangers that support concentrated loads and are installed at the edge of thin top flange concrete girders (such as bulb tee girders) shall be spaced so as not to exceed 75% of the manufacturer's stated safe working load. Use of dual leg hangers (such as Meadow Burke HF-42 and HF-43) are not allowed on concrete girders with thin top flanges. Design the falsework and forms supporting deck slabs and overhangs on girder bridges so that there will be no differential settlement between the girders and the deck forms during placement of deck concrete.

When staged construction of the bridge deck is required, detail falsework and forms for screed and fluid concrete loads to be independent of any previous deck pour components when the mid-span girder deflection due to deck weight is greater than  $\frac{3}{4}$ ".

Note on the working drawings any anchorages, connectors, inserts, steel sleeves or other such devices used as part of the falsework or formwork that remains in the permanent structure. If the plan notes indicate that the structure contains the necessary corrosion protection required for a Corrosive Site, epoxy coat, galvanize or metalize these devices. Electroplating will not be allowed. Any coating required by the Engineer will be considered incidental to the various pay items requiring temporary works.

Design falsework and formwork requiring submittals in accordance with the current edition of AASHTO *Guide Design Specifications for Bridge Temporary Works* except as noted herein.

#### (1) Wind Loads

Table 2.2 of Article 2.2.5.1 is modified to include wind velocities up to 110 mph. In addition, Table 2.2A is included to provide the maximum wind speeds by county in North Carolina.

**Table 2.2 - Wind Pressure Values**

Height Zone feet above ground	Pressure, lb/ft <sup>2</sup> for Indicated Wind Velocity, mph				
	70	80	90	100	110
0 to 30	15	20	25	30	35
30 to 50	20	25	30	35	40
50 to 100	25	30	35	40	45
over 100	30	35	40	45	50

#### (2) Time of Removal

The following requirements replace those of Article 3.4.8.2.

Do not remove forms until the concrete has attained strengths required in Article 420-16 of the *Standard Specifications* and these Special Provisions.

Do not remove forms until the concrete has sufficient strength to prevent surface damage.

**Table 2.2A - Steady State Maximum Wind Speeds by Counties in North Carolina**

COUNTY	25 YR (mph)	COUNTY	25 YR (mph)	COUNTY	25 YR (mph)
Alamance	70	Franklin	70	Pamlico	100
Alexander	70	Gaston	70	Pasquotank	100
Alleghany	70	Gates	90	Pender	100
Anson	70	Graham	80	Perquimans	100
Ashe	70	Granville	70	Person	70
Avery	70	Greene	80	Pitt	90
Beaufort	100	Guilford	70	Polk	80
Bertie	90	Halifax	80	Randolph	70
Bladen	90	Harnett	70	Richmond	70
Brunswick	100	Haywood	80	Robeson	80
Buncombe	80	Henderson	80	Rockingham	70
Burke	70	Hertford	90	Rowan	70
Cabarrus	70	Hoke	70	Rutherford	70
Caldwell	70	Hyde	110	Sampson	90
Camden	100	Iredell	70	Scotland	70
Carteret	110	Jackson	80	Stanley	70
Caswell	70	Johnston	80	Stokes	70
Catawba	70	Jones	100	Surry	70
Cherokee	80	Lee	70	Swain	80
Chatham	70	Lenoir	90	Transylvania	80
Chowan	90	Lincoln	70	Tyrell	100
Clay	80	Macon	80	Union	70
Cleveland	70	Madison	80	Vance	70
Columbus	90	Martin	90	Wake	70
Craven	100	McDowell	70	Warren	70
Cumberland	80	Mecklenburg	70	Washington	100
Currituck	100	Mitchell	70	Watauga	70
Dare	110	Montgomery	70	Wayne	80
Davidson	70	Moore	70	Wilkes	70
Davie	70	Nash	80	Wilson	80
Duplin	90	New Hanover	100	Yadkin	70
Durham	70	Northampton	80	Yancey	70
Edgecombe	80	Onslow	100		
Forsyth	70	Orange	70		

**(B) Review and Approval**

The Engineer is responsible for the review and approval of temporary works' drawings.

Submit the working drawings sufficiently in advance of proposed use to allow for their review, revision (if needed), and approval without delay to the work.

The time period for review of the working drawings does not begin until complete drawings and design calculations, when required, are received by the Engineer.

Do not start construction of any temporary work for which working drawings are required until the drawings have been approved. Such approval does not relieve the Contractor of the responsibility for the accuracy and adequacy of the working drawings.

**CONSTRUCTION REQUIREMENTS**

All requirements of Section 420 of the *Standard Specifications* apply.

Construct temporary works in conformance with the approved working drawings. Ensure that the quality of materials and workmanship employed is consistent with that assumed in the design of the temporary works. Do not weld falsework members to any portion of the permanent structure unless approved. Show any welding to the permanent structure on the approved construction drawings.

Provide tell-tales attached to the forms and extending to the ground, or other means, for accurate measurement of falsework settlement. Make sure that the anticipated compressive settlement and/or deflection of falsework does not exceed 1 inch. For cast-in-place concrete structures, make sure that the calculated deflection of falsework flexural members does not exceed 1/240 of their span regardless of whether or not the deflection is compensated by camber strips.

**(A) Maintenance and Inspection**

Inspect and maintain the temporary work in an acceptable condition throughout the period of its use. Certify that the manufactured devices have been maintained in a condition to allow them to safely carry their rated loads. Clearly mark each piece so that its capacity can be readily determined at the job site.

Perform an in-depth inspection of an applicable portion(s) of the temporary works, in the presence of the Engineer, not more than 24 hours prior to the beginning of each concrete placement. Inspect other temporary works at least once a month to ensure that they are functioning properly. Have a North Carolina Registered Professional Engineer inspect the cofferdams, shoring, sheathing, support of excavation structures, and support systems for load tests prior to loading.

**(B) Foundations**

Determine the safe bearing capacity of the foundation material on which the supports for temporary works rest. If required by the Engineer, conduct load tests to verify proposed bearing capacity values that are marginal or in other high-risk situations.

The use of the foundation support values shown on the contract plans of the permanent structure is permitted if the foundations are on the same level and on the same soil as those of the permanent structure.

Allow for adequate site drainage or soil protection to prevent soil saturation and washout of the soil supporting the temporary works supports.

If piles are used, the estimation of capacities and later confirmation during construction using standard procedures based on the driving characteristics of the pile is permitted. If preferred, use load tests to confirm the estimated capacities; or, if required by the Engineer conduct load tests to verify bearing capacity values that are marginal or in other high risk situations.

The Engineer reviews and approves the proposed pile and soil bearing capacities.

**REMOVAL**

Unless otherwise permitted, remove and keep all temporary works upon completion of the work. Do not disturb or otherwise damage the finished work.

Remove temporary works in conformance with the contract documents. Remove them in such a manner as to permit the structure to uniformly and gradually take the stresses due to its own weight.

**MEASUREMENT AND PAYMENT**

Unless otherwise specified, *Falsework and Formwork* will not be directly measured.

Payment at the contract unit prices for the various pay items requiring temporary works will be full compensation for the above falsework and formwork.

**SUBMITTAL OF WORKING DRAWINGS****(1-31-25)****GENERAL**

Submit working drawings in accordance with Article 105-2 of the *Standard Specifications* and this Special Provision. For this Special Provision, “submittals” refers to only those listed in this Special Provision. The list of submittals contained herein does not represent a list of required submittals for the project. Submittals are only necessary for those items as required by the contract. Make submittals that are not specifically noted in this provision directly to the Engineer. Either the Structures Management Unit or the Geotechnical Engineering Unit or both units will jointly review submittals.

If a submittal contains variations from plan details or specifications or significantly affects project cost, field construction or operations, discuss the submittal with and submit all copies to the Engineer. State the reason for the proposed variation in the submittal. To minimize review time, make sure all submittals are complete when initially submitted. Provide a contact name and information with each submittal. Direct any questions regarding submittal requirements to the Engineer, Structures Management Unit contacts or the Geotechnical Engineering Unit contacts noted below.

To facilitate in-plant inspection by NCDOT and approval of working drawings, provide the name, address and telephone number of the facility where fabrication will actually be done if different than shown on the title block of the submitted working drawings. This includes, but is not limited to, precast concrete items, prestressed concrete items and fabricated steel or aluminum items.

#### **ADDRESSES AND CONTACTS**

For submittals to the Structures Management Unit, use the following addresses:

Via Email: [SMU-wdr@ncdot.gov](mailto:SMU-wdr@ncdot.gov) (do not cc SMU Working Drawings staff)

Via US mail:

Mr. D. N. Snoke, P. E.  
State Structures Engineer  
North Carolina Department  
of Transportation  
Structures Management Unit  
1581 Mail Service Center  
Raleigh, NC 27699-1581

Attention: Mr. J. L. Bolden, P. E.

Via other delivery service:

Mr. D. N. Snoke, P. E.  
State Structures Engineer  
North Carolina Department  
of Transportation  
Structures Management Unit  
1000 Birch Ridge Drive  
Raleigh, NC 27610

Attention: Mr. J. L. Bolden, P. E.

For submittals to the Geotechnical Engineering Unit, use the following addresses:

For projects in Divisions 1-7 (Eastern Regional Office):

Via Email: [EastGeotechnicalSubmittal@ncdot.gov](mailto:EastGeotechnicalSubmittal@ncdot.gov)

Via US mail:

Mr. Thomas Santee, P. E.  
Assistant State Geotechnical  
Engineer – Eastern Region  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Eastern Regional Office  
1570 Mail Service Center

Via other delivery service:

Mr. Thomas Santee, P. E.  
Assistant State Geotechnical  
Engineer – Eastern Region  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Eastern Regional Office  
3301 Jones Sausage Road, Suite 100

Raleigh, NC 27699-1570

Garner, NC 27529

For projects in Divisions 8-14 (Western Regional Office):

Via Email: [WestGeotechnicalSubmittal@ncdot.gov](mailto:WestGeotechnicalSubmittal@ncdot.gov)

Via US mail or other delivery service:

Mr. Eric Williams, P. E.  
Assistant State Geotechnical  
Engineer – Western Region  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Western Regional Office  
5253 Z Max Boulevard  
Harrisburg, NC 28075

The status of the review of structure-related submittals sent to the Structures Management Unit can be viewed from the Unit's website, via the "[Drawing Submittal Status](#)" link.

The status of the review of geotechnical-related submittals sent to the Geotechnical Engineering Unit can be viewed from the Unit's website, via the "[Geotechnical Construction Submittals](#)" link.

Direct any questions concerning submittal review status, review comments or drawing markups to the following contacts:

Primary Structures Contact: James Bolden (919) 707 – 6408  
[jlbolden@ncdot.gov](mailto:jlbolden@ncdot.gov)

Secondary Structures Contacts: Madonna Rorie (919) 707 – 6508  
[mlrorie@ncdot.gov](mailto:mlrorie@ncdot.gov)

Eastern Regional Geotechnical Contact (Divisions 1-7):  
Thomas Santee (984) 920-8901  
[EastGeotechnicalSubmittal@ncdot.gov](mailto:EastGeotechnicalSubmittal@ncdot.gov)

Western Regional Geotechnical Contact (Divisions 8-14):  
Eric Williams (980)258-6400  
[WestGeotechnicalSubmittal@ncdot.gov](mailto:WestGeotechnicalSubmittal@ncdot.gov)

## **SUBMITTAL COPIES**

Furnish one complete copy of each submittal, including all attachments, to the Engineer. At the same time, submit a copy of the same complete submittal directly to the Structures Management Unit and/or the Geotechnical Engineering Unit as specified in the tables below.

The first table below covers “Structure Submittals.” The Engineer will receive review comments and drawing markups for these submittals from the Structures Management Unit. The second table in this section covers “Geotechnical Submittals.” The Engineer will receive review comments and drawing markups for these submittals from the Geotechnical Engineering Unit.

Unless otherwise required, submit one set of supporting calculations to either the Structures Management Unit or the Geotechnical Engineering Unit unless both units require submittal copies in which case submit a set of supporting calculations to each unit. Provide additional copies of any submittal as directed.

### STRUCTURE SUBMITTALS

Submittal	Submittal Required by Structures Management Unit?	Submittal Required by Geotechnical Engineering Unit?	Contract Reference Requiring Submittal <sup>1</sup>
Arch Culvert Falsework	Y	N	Plan Note, SN Sheet & “Falsework and Formwork”
Box Culvert Falsework <sup>7</sup>	Y	N	Plan Note, SN Sheet & “Falsework and Formwork”
Cofferdams	Y	Y	Article 410-4
Foam Joint Seals <sup>6</sup>	Y	N	“Foam Joint Seals”
Expansion Joint Seals (hold down plate type with base angle)	Y	N	“Expansion Joint Seals”
Expansion Joint Seals (modular)	Y	N	“Modular Expansion Joint Seals”
Expansion Joint Seals (strip seals)	Y	N	“Strip Seal Expansion Joints”
Falsework & Forms <sup>2</sup> (substructure)	Y	N	Article 420-3 & “Falsework and Formwork”
Falsework & Forms (superstructure)	Y	N	Article 420-3 & “Falsework and Formwork”
Girder Erection over Railroad	Y	N	Railroad Provisions
Maintenance and Protection of Traffic Beneath Proposed	Y	N	“Maintenance and Protection of Traffic Beneath Proposed Structure

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**ST-11**

Graham County

Structure			at Station ____”
Metal Bridge Railing	Y	N	Plan Note
Metal Stay-in-Place Forms	Y	N	Article 420-3
Metalwork for Elastomeric Bearings <sup>4,5</sup>	Y	N	Article 1072-8
Miscellaneous Metalwork <sup>4,5</sup>	Y	N	Article 1072-8
Disc Bearings <sup>4</sup>	Y	N	“Disc Bearings”
Overhead and Digital Message Signs (DMS) (metalwork and foundations)	Y	N	Applicable Provisions
Placement of Equipment on Structures (cranes, etc.)	Y	N	Article 420-20
Prestressed Concrete Box Beam (detensioning sequences) <sup>3</sup>	Y	N	Article 1078-11
Precast Concrete Box Culverts	Y	N	“Optional Precast Reinforced Concrete Box Culvert at Station ____”
Prestressed Concrete Cored Slab (detensioning sequences) <sup>3</sup>	Y	N	Article 1078-11
Prestressed Concrete Deck Panels	Y	N	Article 420-3
Prestressed Concrete Girder (strand elongation and detensioning sequences)	Y	N	Articles 1078-8 and 1078-11
Removal of Existing Structure over Railroad	Y	N	Railroad Provisions
<hr/>			
Revised Bridge Deck Plans (adaptation to prestressed deck panels)	Y	N	Article 420-3
Revised Bridge Deck Plans (adaptation to modular expansion joint seals)	Y	N	“Modular Expansion Joint Seals”
Sound Barrier Wall (precast items)	Y	N	Article 1077-2 & “Sound Barrier Wall”
Sound Barrier Wall Steel Fabrication Plans <sup>5</sup>	Y	N	Article 1072-8 & “Sound Barrier Wall”

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## ST-12

Graham County

Structural Steel <sup>4</sup>	Y	N	Article 1072-8
Temporary Detour Structures	Y	Y	Article 400-3 & “Construction, Maintenance and Removal of Temporary Structure at Station _____”
TFE Expansion Bearings <sup>4</sup>	Y	N	Article 1072-8

### FOOTNOTES

1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Articles refer to the *Standard Specifications*.
2. Submittals for these items are necessary only when required by a note on plans.
3. Submittals for these items may not be required. A list of pre-approved sequences is available from the producer or the Materials & Tests Unit.
4. The fabricator may submit these items directly to the Structures Management Unit.
5. The two sets of preliminary submittals required by Article 1072-8 of the *Standard Specifications* are not required for these items.
6. Submittals for Fabrication Drawings are not required. Submittals for Catalogue Cuts of Proposed Material are required. See Section 5.A of the referenced provision.
7. Submittals are necessary only when the top slab thickness is 18” or greater.

**GEOTECHNICAL SUBMITTALS**

<b>Submittal</b>	<b>Submittals Required by Geotechnical Engineering Unit</b>	<b>Submittals Required by Structures Management Unit</b>	<b>Contract Reference Requiring Submittal <sup>1</sup></b>
Drilled Pier Construction Plans <sup>2</sup>	Y	N	Subarticle 411-3(A)
Crosshole Sonic Logging (CSL) Reports <sup>2</sup>	Y	N	Subarticle 411-5(A)(2)
Pile Driving Equipment Data Forms <sup>2,3</sup>	Y	N	Subarticle 450-3(D)(2)
Pile Driving Analyzer (PDA) Reports <sup>2</sup>	Y	N	Subarticle 450-3(F)(3)
Retaining Walls <sup>4</sup>	Y; drawings and calculations	Y; drawings	Applicable Provisions
Temporary Shoring <sup>4</sup>	Y; drawings and calculations	Y; drawings	“Temporary Shoring” & “Temporary Soil Nail Walls”

**FOOTNOTES**

- References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Subarticles refer to the *Standard Specifications*.
- Submit one hard copy of submittal to the Engineer. Submit a second copy of submittal electronically (PDF via email), US mail or other delivery service to the appropriate Geotechnical Engineering Unit regional office. Electronic submission is preferred.
- The Pile Driving Equipment Data Form is available from:  
<https://connect.ncdot.gov/projects/construction/ConstManRefDocs/PILE%20DRIVING%20EQUIPMENT%20DATA%20FORM.pdf>  
See second page of form for submittal instructions.
- Electronic copy of submittal is required. See referenced provision.

**(A) CRANE SAFETY****(12-5-25)****GENERAL**

Comply with the manufacturer specifications and limitations applicable to the operation of any and all cranes and derricks. Prime contractors, sub-contractors, and fully operated rental companies shall comply with the current Occupational Safety and Health Administration (OSHA) regulations.

Submit all items listed below to the Engineer prior to beginning crane operations. Changes in personnel or equipment must be reported to the Engineer and all applicable items listed below must be updated and submitted prior to continuing with crane operations.

**CRANE SAFETY SUBMITTAL LIST**

- (B) Competent Person:** Provide the name and qualifications of the “Competent Person” responsible for crane safety and lifting operations. The named competent person will have the responsibility and authority to stop any work activity due to safety concerns.
- (C) Riggers:** Provide the qualifications and experience of the persons responsible for rigging operations. Qualifications and experience should include, but not be limited to, weight calculations, center of gravity determinations, selection and inspection of sling and rigging equipment, and safe rigging practices.
- (D) Crane Inspections:** Inspection records for all cranes shall be current and readily accessible for review upon request.
- (E) Certifications:** Crane operators shall be certified by the National Commission for the Certification of Crane Operators (NCCCO) or the National Center for Construction Education and Research (NCCER). Other approved nationally accredited programs will be considered upon request. In addition, crane operators shall have a current CDL medical card. Submit a list of crane operator(s) and include current certification for each type of crane operated (small hydraulic, large hydraulic, small lattice, large lattice) and medical evaluations for each operator.

**MEASUREMENT AND PAYMENT**

No direct payment will be made for providing information, certifications and documentation required for *Crane Safety*.

**GROUT FOR STRUCTURES****(12-1-17)****GENERAL**

This Special Provision addresses grout for use in pile blockouts, grout pockets, shear keys, dowel holes and recesses for structures. This Special Provision does not apply to grout placed

in post-tensioning ducts for bridge beams, girders, decks, end bent caps, or bent caps. Mix and place grout in accordance with the manufacturer's recommendations, the applicable sections of the *Standard Specifications* and this Special Provision.

**MATERIAL REQUIREMENT**

Unless otherwise noted on the plans, use a Type 3 Grout in accordance with Section 1003 of the *Standard Specifications*.

Initial setting time shall not be less than 10 minutes when tested in accordance with ASTM C266.

Construction loading and traffic loading shall not be allowed until the 3-day compressive strength is achieved.

**SAMPLING AND PLACEMENT**

Place and maintain components in final position until grout placement is complete and accepted. Concrete surfaces to receive grout shall be free of defective concrete, laitance, oil, grease, and other foreign matter. Saturate concrete surfaces with clean water and remove excess water prior to placing grout.

**MEASUREMENT AND PAYMENT**

No separate payment will be made for *Grout for Structures*. The cost of the material, equipment, labor, placement, and any incidentals necessary to complete the work shall be considered incidental to the structure item requiring grout.

**OPTIONAL PRECAST REINFORCED CONCRETE  
BOX CULVERT AT STATION****(10-31-24)****GENERAL**

This Special Provision covers the design, fabrication and construction of precast reinforced concrete box culverts intended for the conveyance of storm water.

The option for a precast reinforced box culvert, in lieu of a cast-in-place culvert, is permitted only when indicated on the plans. When the precast option is selected, provide the size and number of barrels as indicated on the plans. Detail the culvert with cast-in-place wings walls and footings. Precast wing walls and footings will not be allowed. Provide a precast box culvert that meets the requirements of Section 1077 and any other applicable parts of the *Standard Specifications*.

The Contractor shall be responsible for the design and load rating of the precast culverts, as well necessary cast-in-place elements. Design the precast culvert sections in accordance with ASTM C1577 or the current edition of the *AASHTO LRFD Bridge Design Specifications*. Regardless of size, load rate precast reinforced concrete box culverts in accordance with the current edition of

the *AASHTO Manual for Bridge Evaluation* for the AASHTO design load, the applicable North Carolina legal loads, and Fixing America's Surface Transportation (FAST) Act's emergency vehicles (EV). Refer to the section on North Carolina's Legal Loads for the load rating vehicle configurations.


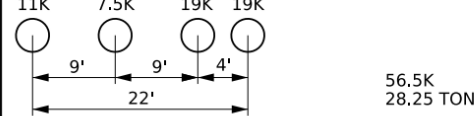
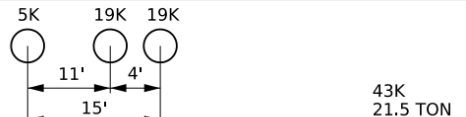
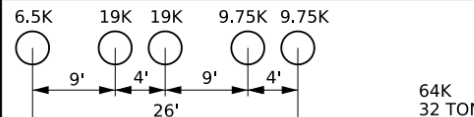
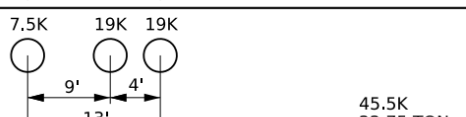
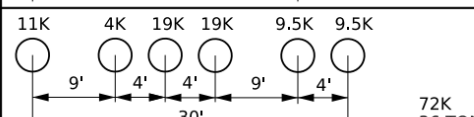
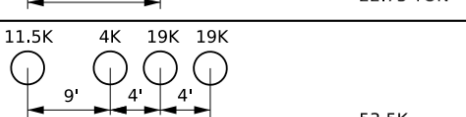
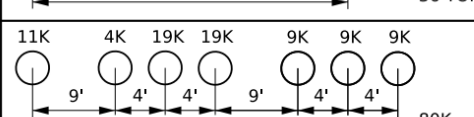
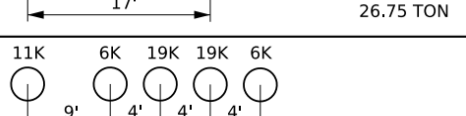
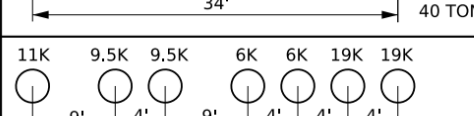
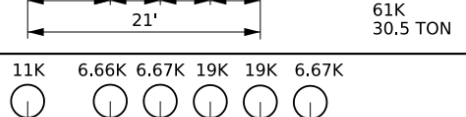
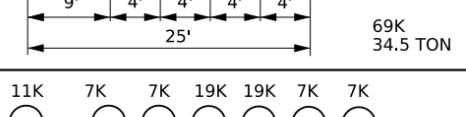
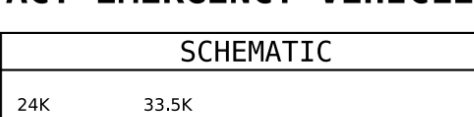
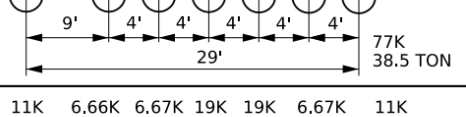

Submit, to the Engineer, a set of detailed plans and load rating sheets for review and approval. Show all structural details in the plans, including the size and spacing of the required reinforcement necessary to build the precast box and cast-in-place members. The plans, load rating sheets, and design calculations shall be sealed by a North Carolina Registered Professional Engineer.

If the span, rise and design earth cover for the precast reinforced concrete box culvert are identical to a previously approved submittal, the Contractor may request the previously approved design calculations and plans be considered as the submittal for review and approval. However, a set of plans and rating sheets will need to be submitted to become part of the contract plans.

After the plans, rating sheets and design calculations are approved, submit a finalized complete set of plans, including the load rating sheets in 22" x 34" (ANSI D) format plan sheets to incorporate into the contract plans.

**NORTH CAROLINA'S LEGAL LOADS**

Legal loads for structures carrying interstate traffic:

<b>NORTH CAROLINA LEGAL LOADS</b>			
<b>SINGLE VEHICLE (SV)</b>		<b>TRUCK TRACTOR SEMI-TRAILER (TTST)</b>	
<b>*REF.#</b>	<b>SCHEMATIC</b>	<b>*REF.#</b>	<b>SCHEMATIC</b>
SH (I01)		T4A (I09)	
S3C (I02)		T5B (I10)	
S3A (I03)		T6A (I11)	
S4A (I04)		T7A (I12)	
S5A (I05)		T7B (I13)	
S6A (I06)		<b>FAST ACT EMERGENCY VEHICLES</b>	
		<b>REF.#</b>	<b>SCHEMATIC</b>
S7B (I07)		EV2	
S7A (I08)		EV3	

\* ( ) = NC LEGAL LOAD CODE FOR THE SPECIFICATIONS FOR THE NATIONAL BRIDGE INVENTORY (SNBI) ITEM ID B.EP.01

Legal loads for structures carrying non-interstate traffic:

NORTH CAROLINA LEGAL LOADS			
SINGLE VEHICLE (SV)		TRUCK TRACTOR SEMI-TRAILER (TTST)	
* REF.#	SCHEMATIC	* REF.#	SCHEMATIC
SNSH (N01)		TNAGRIT3 (N09)	
SNGARBS2 (N02)		TNT4A (N10)	
SNAGRIS2 (N03)		TNT6A (N11)	
SNCOTTS3 (N04)		TNT7A (N12)	
SNAGGRS4 (N05)		TNT7B (N13)	
SNS5A (N06)		TNAGRIT4 (N14)	
SNS6A (N07)		TNAGT5A (N15)	
SNS7B (N08)		TNAGT5B (N16)	
<b>FAST ACT EMERGENCY VEHICLES</b>			
	<b>REF.#</b>	<b>SCHEMATIC</b>	
	EV2		
	EV3		

\* ( ) = NC LEGAL LOAD CODE FOR THE SPECIFICATIONS FOR THE NATIONAL BRIDGE INVENTORY (SNBI) ITEM ID B.EP.01

**PRECAST REINFORCED CONCRETE BOX SECTIONS**

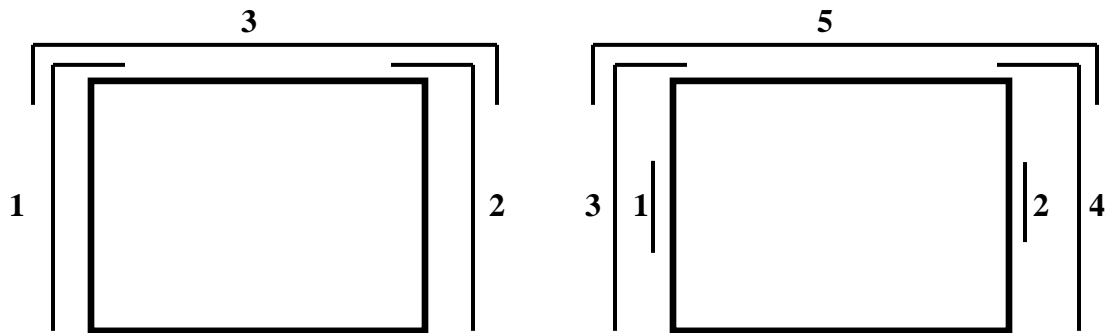
The precast reinforced concrete box culvert sections shall match the size and hydraulic opening indicated in the contract plans.

**(A) Design**

- (1) Design Fill – The design earth cover is reported on the plans as the elevation difference between the point of maximum fill and the bottom of the top slab.
- (2) Placement of Reinforcement – Provide a 1-inch concrete cover over the reinforcement subject to the provisions of Section E. Extend the inside reinforcement into the tongue portion of the joint and the outside reinforcement into the groove portion of the joint. Detail the clear distance of the end wires so it is not less than ½ inch or more than two (2) inches from the ends of the box section. Assemble reinforcement per the requirements of ASTM C1577 or the approved design. The exposure of the ends of the wires used to position the reinforcement is not a cause for rejection.
- (3) Laps and Spacing – Use lap splices for the transverse reinforcement. Detail the transverse wires so that the center to center spacing is not less than two (2) inches or more than four (4) inches. Do not detail the longitudinal wires with a center to center spacing of more than 8 inches.

**(B) Joints**

- (1) Produce the precast reinforced concrete box section with tongue and groove ends. Design and form these ends of the box section so, when the sections are laid together, they make a continuous line of box sections with a smooth interior free of appreciable irregularities in the flowline, all compatible with the permissible variations given in Section F. The internal joint formed at the tongue and groove ends of the precast units shall be sealed with either bitumen/butyl sealant or closed-cell neoprene material. The internal joint material shall be installed in accordance with the manufacturer's recommendations. The material shall be shown on the shop drawings when they are submitted for review.
- (2) Seal the external joint with an outside sealer wrap conforming to ASTM C877 that is at least 12 inches wide and covers the joint on both the sides and the top of the box section. Use ConWrap CS-212 from Concrete Sealants, Inc., EZ-Wrap from Press-Seal Gasket Corporation, Seal Wrap from Mar-Mac Manufacturing Co., Inc., Cadilloc External Pipe Joint from Cadilloc, or an approved equal for the outside sealer wrap. If the outside sealer wrap is not applied in a continuous strip along the entire joint, a 12 inch minimum lap of the outside sealer wrap is permitted. Before placing the outside sealer wrap, clean and prime the area receiving the outside sealer wrap in accordance with the sealer wrap manufacturer recommendations. The joint wrap manufacturer installation recommendations shall be included with shop drawings submitted for review. The external joint wrap shall be installed in pieces, as indicated on Figure 1 below:



**Figure 1**

Cover the external joint sealer with a 3 foot strip of filter fabric conforming to Type 4 requirements in Section 1056 of the *Standard Specifications*.

Place multiple lines of a precast reinforced concrete box culvert such that the longitudinal joint between the sections has a minimum width of three (3) inches. Fill the joint between multiple lines of precast box sections with Class A concrete. Use Class A concrete that meets the requirements listed in the *Standard Specifications* except that Field Compressive Strength Specimens are not required.

(C) Manufacture

Manufacture precast reinforced concrete box culvert sections by either the wet cast method or dry cast method.

- (1) Mixture – In addition to the requirements of Section 1077 of the *Standard Specifications*, do not proportion the mix with less than 564 lb/yd<sup>3</sup> of Portland cement. When a flowable concrete consistency is required, refer to Section 1077-5(B) of the *Standard Specifications* for the use of Self-Consolidating Concrete (SCC) and Intermediate Flow Concrete.
- (2) Strength – Concrete shall develop a minimum 28-day compressive strength of 5,000 psi. Movement of the precast sections should be minimized during the initial curing period. Any damage caused by moving or handling during the initial curing phase will be grounds for rejection of that precast section.
- (3) Air Entrainment – Air entrain the concrete in accordance with Section 1077 – 5(A) of the *Standard Specifications*. For dry cast manufacturing, air entrainment is not required.
- (4) Testing – Test the concrete in accordance with the requirements of Section 1077 – 5( C) of the *Standard Specifications*.

- (5) Handling – Handling devices or holes are permitted in each box section for the purpose of handling and placing. Submit details of handling devices or holes for approval and do not cast any concrete until approval is granted. Remove all handling devices flush with concrete surfaces as directed. Fill holes in a neat and workmanlike manner with an approved non-metallic non-shrink grout, concrete, or hole plug.

(D) Physical Requirements

Acceptability of precast culvert sections is based on concrete cylinders made and tested in accordance with ASTM C31 and ASTM C39.

(E) Permissible Variations

- (1) Flatness – All external surfaces shall be flat, true, and plumb. Irregularities, depressions, or high spots on all external surfaces shall not exceed  $\frac{1}{2}$  inch in 8 feet.
- (2) Internal Dimensions – Produce sections so that the internal and haunch dimensions do not vary more than  $\frac{1}{4}$  inch from the plan dimensions.
- (3) Adjacent Sections - Internal, external, and haunch dimensions for connecting sections shall not vary more than  $\frac{1}{2}$  inch.
- (4) Length of Tongue and Groove – The minimum length of the tongue shall be four (4) inches. The minimum length of the groove shall be four (4) inches. The dimensions of the tongue and groove shall not vary more than  $\frac{1}{4}$  inch from the plan dimensions.
- (5) Slab and Wall Thickness – Produce sections so that the slab and wall thickness are not less than that shown on the plans by more than 5% or  $\frac{3}{16}$  inch, whichever is greater. A thickness more than that required on the plans is not a cause for rejection.
- (6) Length of Opposite Surfaces – Produce sections so that variations in laying lengths of two (2) opposite surfaces of the box section meet the requirements of ASTM C1577, Section 11.3.
- (7) Length of Section – Produce sections so that the underrun in length of a section is not more than  $\frac{1}{2}$  inch in any box section.
- (8) Position of Reinforcement – Produce sections so that the maximum variation in the position of the reinforcement is  $\pm \frac{3}{8}$  inch for slab and wall thicknesses of five (5) inches or less and  $\pm \frac{1}{2}$  inch for slab and wall thicknesses greater than five (5) inches. Produce sections so that the concrete cover is never less than  $\frac{5}{8}$  inch as measured to the internal surface or the external surface. The preceding minimum cover limitations do not apply at the mating surfaces of the joint.
- (9) Area of Reinforcement – Use the design steel shown on the plans for the steel reinforcement. Steel areas greater than those required are not cause for rejection. The permissible variation in diameter of any wire in finished fabric is prescribed for the wire before fabrication by either AASHTO M32 or M225.

## (F) Marking

- (1) Each section shall be match-marked in order of intended installation as indicated on the approved shop drawings. Ensure that pieces fit together neatly and in a workmanlike manner. In order to ensure a good, neat field fit, the Department will verify assembly of the first five (5) adjacent sections or 20% of the total culvert length, whichever is greater, at the producer's facility and match-mark the pieces. This will require that a minimum of three (3) adjacent sections of the culvert be fitted at the production yard at a time and then match-marked. Once three (3) sections have been match-marked, the first section may be removed for shipment and a fourth section set for marking. Continue in a progressive manner until all sections have been properly match-marked. The producer shall document the GO-NO-GO dimensional measurements of each box culvert section produced through the post-pour inspection process.
- (2) Clearly mark each section of the box culvert in accordance with ASTM C1577, Section 15. The information requirements of Section 15.1 shall be clearly marked on the inner surface of each section.

## (G) Construction

- (1) Pre-installation Meeting – A pre-installation meeting is required prior to installation. Representatives from the Contractor, the precast box manufacturer, and the Department should attend this meeting. The precast box manufacturer's representative shall be on site during installation.
- (2) Foundation – Foundation for precast box culvert shall meet the requirements of Section 414 of the *Standard Specifications*. In addition, Type VI foundation material shall be encapsulated in filter fabric conforming to Type 4 requirements in Section 1056 of the *Standard Specifications*. The filter fabric shall be placed perpendicular to the culvert barrel. Provide sufficient overhang beyond the excavation to allow a minimum lap of three (3) feet when the foundation material is placed, and fabric wrapped on top. Perpendicular sections of fabric shall be continuous. A minimum lap of two (2) feet shall be provided between sections of fabric.
- (3) Installation – Sections shall be placed at the beginning of the outlet end of the culvert with the groove end being laid upgrade. Tongue sections shall be laid into the groove sections. Positive means shall be provided to pull each section firmly into the previously placed section so that the joints are tightly homed. Use a "come-along" box pullers or other approved methods to create a positive means of joining box sections. Construction equipment shall not have direct contact with the box section. The load of the box shall be suspended by lifting device during joining procedure.
- (4) Backfill – Complete backfill in accordance with Section 414 of the *Standard Specifications*.

**MEASUREMENT AND PAYMENT**

Payment for the Precast Reinforced Concrete Box Culvert will be a lump sum amount equal to the payment that would be allowed for construction of a Cast-in-Place Box Culvert, except for Foundation Conditioning Material and Culvert Excavation. Plan quantities and unit bid prices will be used to compute the lump sum amount. Such price and payment will be full compensation for all work covered by this Special Provision, the plans and applicable parts of the Standard Specifications and will include, but not be limited to, furnishing all labor, materials (including all filter fabric), equipment and other incidentals necessary to complete this work. Such price and payment will also be full compensation for concrete, reinforcing steel, labor, equipment, and all other related materials necessary for the completion of the barrel section, and the construction of the headwalls, leveling pad, end curtain walls, wings, and wing footings. The cost of designing, load rating, and plan preparation for the optional Precast Reinforced Concrete Box Culvert will be paid for by the Contractor and will not be reimbursed by the Department.

**PROJECT SPECIAL PROVISION**

(10-18-95) (Rev. 10-15-24)

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**PERMITS**

The Contractor's attention is directed to the following permits, which have been issued to the Department of Transportation by the authority granting the permit.

<b><u>PERMIT</u></b>	<b><u>AUTHORITY GRANTING THE PERMIT</u></b>
Dredge and Fill and/or Work in Navigable Waters (404)	U. S. Army Corps of Engineers
Water Quality (401)	Division of Water Resources, DEQ State of North Carolina
Trout Buffer Zone Waiver	Department of Energy, Mineral, and Land Resources, DEQ, State of North Carolina

The Contractor shall comply with all applicable permit conditions during construction of this project.

Agents of the permitting authority will periodically inspect the project for adherence to the permits.

The Contractor's attention is also directed to Articles 107-10 and 107-13 of the *Standard Specifications* and the following:

Should the Contractor propose to utilize construction methods (such as temporary structures or fill in waters and/or wetlands for haul roads, work platforms, cofferdams, etc.) not specifically identified in the permit (individual, general, or nationwide) authorizing the project it shall be the Contractor's responsibility to coordinate with the Engineer to determine what, if any, additional permit action is required. The Contractor shall also be responsible for initiating the request for the authorization of such construction method by the permitting agency. The request shall be submitted through the Engineer. The Contractor shall not utilize the construction method until it is approved by the permitting agency. The request normally takes approximately 60 days to process; however, no extensions of time or additional compensation will be granted for delays resulting from the Contractor's request for approval of construction methods not specifically identified in the permit.

**Where construction moratoriums are contained in a permit condition which restricts the Contractor's activities to certain times of the year, those moratoriums will apply only to the portions of the work taking place in the restricted waters, wetlands or buffer zones, provided that activities outside those areas is done in such a manner as to not affect the restricted waters, wetlands or buffer zones.**

Work for Section A-0009CD has been authorized by the overarching A-0009C permit (404, 401 and Trout Buffer Zone Waiver). At the time the permit was issued, A-0009C encompassed three sections – A-0009CA, A-0009CB and A-0009CC – with A-0009CC comprising roadway plan sheets 35 through 50. A-0009CC was subsequently split into two sections – A-0009CC and A-0009CD – with A-0009CC (Let on December 20, 2022) including roadway plan sheets 35 through 44 and A-0009CD plan sheets 44 through 50. An interim phase of construction, A-0009CE, was let by the Division on August 27, 2024. This CE section encompassed roadway plan sheets 45 through 47 and included portions of the final drainage and pavement structure in this area.



DEPARTMENT OF THE ARMY  
WILMINGTON DISTRICT, CORPS OF ENGINEERS  
69 DARLINGTON AVENUE  
WILMINGTON, NORTH CAROLINA 28403-1343

July 8, 2022

Regulatory Division

Action ID: SAW-2009-01346

North Carolina Department of Transportation (NCDOT)  
Division 14  
Attn: Ms. Wanda Austin  
253 Webster Road  
Sylva, North Carolina 28779

Dear Ms. Austin:

Enclosed is a Department of the Army permit to the A-0009C project which involves improvements along US 129, NC 143, and NC 28 from Robbinsville to Stecoah in Graham County. Specific impacts include the impact to 8,478 linear feet of streams, 1.14 acre of wetlands and 0.12 acres of open waters. The Corps is issuing this permit in response to your written request of December 15, 2021, and the ensuing administrative record.

Any deviation in the authorized work will likely require modification of this permit. If a change in the authorized work is necessary, you should promptly submit revised plans to the Corps showing the proposed changes. You may not undertake the proposed changes until the Corps notifies you that your permit has been modified.

Carefully read your permit. The general and special conditions are important. Your failure to comply with these conditions could result in a violation of Federal law. Certain significant conditions require that:

- a. You must complete construction before December 31, 2027.
- b. You must notify this office in advance as to when you intend to commence and complete work.
- c. You must allow representatives from this office to make periodic visits to your worksite as deemed necessary to assure compliance with permit plans and conditions.
- d. In order to compensate for impacts associated with this permit, mitigation shall be provided in accordance with the provisions outlined in the U.S. Army Corps of Engineers, Wilmington District, Compensatory Mitigation Responsibility Transfer Form.

The requirements of this form, including any special conditions listed on this form, are hereby incorporated as special conditions of this permit authorization.

You should address all questions regarding this authorization to Crystal Amschler at the Asheville Regulatory Field Office, telephone (828) 271-7980 extension 4231.

FOR THE CHIEF, REGULATORY DIVISION

*Monte Matthews*

Date: 2022.07.08

11:09:08 -04'00'

Monte Matthews  
Lead Project Manager

Enclosures

Electronic or hard copy furnished as appropriate:

Project Development and Environmental Analysis Unit,  
Attn: Mr. Michael Turchy  
1548 Mail Service Center  
Raleigh, NC 27699-1598

Mr. Todd Bowers  
Wetlands Protection Section – Region IV  
Water Management Division  
U.S. Environmental Protection Agency  
61 Forsyth Street, SW  
Atlanta, Georgia 30303

Mr. Clarence Coleman  
FHWA – NC Division  
310 New Bern Avenue, Suite 410  
Raleigh, NC 27601

Ms. Amy S. Chapman  
Transportation Permitting Unit  
NC Division of Water Quality  
1617 Mail Service Center  
Raleigh, NC 27699-1617

Mr. Dave McHenry  
NC Wildlife Resources Commission  
20830 Great Smoky Mountains Expressway  
Waynesville, NC 28786

US Fish and Wildlife Service  
Asheville Ecological Services Field Office  
Attn: Ms. Holland Youngman  
160 Zillicoa Street  
Asheville, NC 28801-1082

Ms. Renee Gledhill-Early  
Environmental Review Coordinator  
NC State Historic Preservation Office  
4617 Mail Service Center  
Raleigh, NC 27699-4617

Cherokee Nation  
Ms. Elizabeth Toombs  
Secretary of Natural Resources  
Post Office Box 948  
Tahlequah, OK 74465-0948

United Keetoowah Band of Cherokee Indians in Oklahoma  
Acee Watt  
PO Box 746  
Tahlequah, OK 74465

Eastern Band of Cherokee Indians  
Mr. Stephen Yerka  
Post Office Box 455  
Cherokee, North Carolina 28719

Eastern Band of Cherokee Indians (EBCI)  
Water Quality Office (WQO)  
P.O. Box 1925  
Cherokee, NC 28719

Forest Service  
National Forests in North Carolina  
Ms. Allyson Conner  
Land Management Planner/NCDOT Liaison  
160A Zillicoa Street  
Asheville, NC 28801

**DEPARTMENT OF THE ARMY PERMIT**

Permittee **NORTH CAROLINA DEPARTMENT OF TRANSPORTATION,  
DIVISION 14  
ATTN: MS. WANDA AUSTIN**

Permit No. **SAW-2009-01346, STIP A-0009C**

Issuing Office **CESAW-RG-A**

NOTE: The term "you" and its derivatives, as used in this permit, means the permittee or any future transferee. The term "this office" refers to the appropriate district or division office of the Corps of Engineers having jurisdiction over the permitted activity or the appropriate official of that office acting under the authority of the commanding officer.

You are authorized to perform work in accordance with the terms and conditions specified below.

**Project Description: The A0009C Project involves improvements along US 129, NC 143, and NC 28 from Robbinsville to Stecoah in Graham County, North Carolina. Specific impacts include the impact to 8,478 linear feet of streams, 1.14 acre of wetlands and 0.12 acres of open waters.**

**Project Location: The A0009C Project involves improvements along US 129, NC 143, and NC 28 from Robbinsville to Stecoah in Graham County, North Carolina.**

Permit Conditions:

General Conditions:

1. The time limit for completing the work authorized ends on **December 31, 2027.** If you find that you need more time to complete the authorized activity, submit your request for a time extension to this office for consideration at least one month before the above date is reached.
2. You must maintain the activity authorized by this permit in good condition and in conformance with the terms and conditions of this permit. You are not relieved of this requirement if you abandon the permitted activity, although you may make a good faith transfer to a third party in compliance with General Condition 4 below. Should you wish to cease to maintain the authorized activity or should you desire to abandon it without a good faith transfer, you must obtain a modification of this permit from this office, which may require restoration of the area.
3. If you discover any previously unknown historic or archeological remains while accomplishing the activity authorized by this permit, you must immediately notify this office of what you have found. We will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.
4. If you sell the property associated with this permit, you must obtain the signature of the new owner in the space provided and forward a copy of the permit to this office to validate the transfer of this authorization.
5. If conditioned water quality certifications have been issued for your project, you must comply with the conditions specified in the certifications as special conditions to this permit. For your convenience, copies of all certifications are attached if it contains such conditions.

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6. You must allow representatives from this office to inspect the authorized activity at any time deemed necessary to ensure that it is being or has been accomplished in accordance with the terms and conditions of your permit,

Special Conditions:

### **SEE ATTACHED SPECIAL CONDITIONS**

Further Information:

1. Congressional Authorities: You have been authorized to undertake the activity described above pursuant to:
  - ( ) Section 10 of the Rivers and Harbors Act of 1899 (33 U.S.C. 403).
  - (X) Section 404 of the Clean Water Act (33 U.S.C. 1344).
  - ( ) Section 103 of the Marine Protection, Research and Sanctuaries Act of 1972 (33 U.S.C. 1413).
2. Limits of this authorization.
  - a. This permit does not obviate the need to obtain other Federal, state, or local authorizations required by law.
  - b. This permit does not grant any property rights or exclusive privileges.
  - c. This permit does not authorize any injury to the property or rights of others.
  - d. This permit does not authorize interference with any existing or proposed Federal project.
3. Limits of Federal Liability. In issuing this permit, the Federal Government does not assume any liability for the following:
  - a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
  - b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.
  - c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.
  - d. Design or construction deficiencies associated with the permitted work.
  - e. Damage claims associated with any future modification, suspension, or revocation of this permit.
4. Reliance on Applicant's Data: The determination of this office that issuance of this permit is not contrary to the public interest was made in reliance on the information you provided.
5. Reevaluation of Permit Decision. This office may reevaluate its decision on this permit at any time the circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:
  - a. You fail to comply with the terms and conditions of this permit.
  - b. The information provided by you in support of your permit application proves to have been false, incomplete, or inaccurate (See 4 above).
  - c. Significant new information surfaces which this office did not consider in reaching the original public interest decision.

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Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement procedures such as those contained in 33 CFR 326.4 and 326.5. The referenced enforcement procedures provide for the issuance of an administrative order requiring you to comply with the terms and conditions of your permit and for the initiation of legal action where appropriate. You will be required to pay for any corrective measures ordered by this office, and if you fail to comply with such directive, this office may in certain situations (such as those specified in 33 CFR 209.170) accomplish the corrective measures by contract or otherwise and bill you for the cost.

6. Extensions. General condition 1 establishes a time limit for the completion of the activity authorized by this permit, Unless there are circumstances requiring either a prompt completion of the authorized activity or a reevaluation of the public interest decision, the Corps will normally give favorable consideration to a request for an extension of this time limit.

Your signature below, as permittee, indicates that you accept and agree to comply with the terms and conditions of this permit.

DocuSigned by:  
*Wanda Austin*  
B88118F1E31C453...

07/07/2022

\_\_\_\_\_  
*(PERMITTEE)* NC DEPARTMENT OF TRANSPORTATION  
ATTN: MS. WANDA AUSTIN

\_\_\_\_\_  
*(DATE)*

This permit becomes effective when the Federal official, designated to act for the Secretary of the Army, has signed below.

FOR THE DISTRICT COMMANDER

\_\_\_\_\_  
*FOR (DISTRICT COMMANDER)* BENJAMIN A. BENNETT, COLONEL

\_\_\_\_\_  
*(DATE)*

When the structures or work authorized by this permit are still in existence at the time the property is transferred, the terms and conditions of this permit will continue to be binding on the new owner(s) of the property. To validate the transfer of this permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below.

\_\_\_\_\_  
*(TRANSFEE)*

\_\_\_\_\_  
*(DATE)*

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**DEPARTMENT OF THE ARMY**  
WILMINGTON DISTRICT, CORPS OF ENGINEERS  
69 DARLINGTON AVENUE  
WILMINGTON, NORTH CAROLINA 28403-1343

August 29, 2022

Regulatory Division

Action ID No. SAW-2009-01346

North Carolina Department of Transportation (NCDOT)  
Division 14  
Attn: Ms. Wanda Austin  
253 Webster Road  
Sylva, North Carolina 28779

Dear Ms. Austin:

Please reference the Department of the Army permit issued on July 8, 2022 for the A0009C Project which involves improvements along US 129, NC 143, and NC 28 from Robbinsville to Stecoah in Graham County. Please additionally reference your August 10, 2022, letter requesting limited permit modification and technical corrections to Special Conditions 4, 12, 17 and 20.

After review of the file and the modification request we have determined that the proposed changes to Specific Conditions 4, 12, and 20 are minor and would correct the errors you identified in your August 10, 2022, letter. We have further determined that a supplemental public notice is not necessary to authorize these modifications. Accordingly your permit is hereby modified and a copy of the modified Special Conditions are enclosed. It is understood that all other conditions of the original permit remain unchanged and applicable.

You should address all questions regarding this authorization to Ms. Crystal Amschler at the Asheville Regulatory Field Office, telephone (828) 271-7980 extension 4231.

Sincerely

*Monte Matthews*

Date: 2022.08.29  
08:25:19 -04'00'

Monte Matthews  
Lead Project Manager

Enclosures

Special Conditions

Cc:

Project Development and Environmental Analysis Unit,  
Attn: Mr. Michael Turchy.,  
1548 Mail Service Center  
Raleigh, NC 27699-1598

Ms. Amy S. Chapman  
Transportation Permitting Unit  
NC Division of Water Quality  
1617 Mail Service Center  
Raleigh, NC 27699-1617

Mr. Dave McHenry  
NC Wildlife Resources Commission  
20830 Great Smoky Mountains Expressway  
Waynesville, NC 28786

US Fish and Wildlife Service  
Asheville Ecological Services Field Office  
Attn: Ms. Holland Youngman  
160 Zillicoa Street  
Asheville, NC 28801-1082

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
**STIP: A-0009C**

1. **Work Limits:** All work authorized by this permit shall be performed in strict compliance with the attached permit plans dated 12/13/2021, which are a part of this permit. The Permittee shall ensure that the construction design plans for this project do not deviate from the permit plans attached to this authorization. Any modification to the attached permit plans must be approved by the US Army Corps of Engineers prior to any active construction in waters or wetlands.

2. **Unauthorized Dredge or Fill:** Except as authorized by this permit or any U.S. Army Corps of Engineers approved modification to this permit, no excavation, fill, or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, within waters or wetlands, or shall any activities take place that cause the degradation of waters or wetlands. There shall be no excavation from, waste disposal into, or degradation of, jurisdictional wetlands or waters associated with this permit without appropriate modification of this permit, including appropriate compensatory mitigation. This prohibition applies to all borrow and waste activities connected with this project. In addition, except as specified in the plans attached to this permit, no excavation, fill or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, in such a manner as to impair normal flows and circulation patterns within, into, or out of waters or wetlands or to reduce the reach of waters or wetlands

3. **Permit Distribution:** The Permittee shall require its contractors and/or agents to comply with the terms and conditions of this permit in the construction and maintenance of this project and shall provide each of its contractors and/or agents associated with the construction or maintenance of this project with a copy of this permit. A copy of this permit, including all conditions, drawings and attachments shall be available at the project site during the construction and maintenance of this project.

4. **Pre-Construction Meeting:** The Permittee shall schedule and attend a preconstruction meeting between its representatives, the contractors' representatives, and the U.S. Army Corps of Engineers, Asheville Field Office, NCDOT Regulatory Project Manager, prior to any work within jurisdictional waters and wetlands to ensure that there is a mutual understanding of all the terms and conditions contained with this Department of Army Permit. The Permittee shall provide the Corps, Asheville Field Office, NCDOT Project Manager, with a copy of the final permit plans at least two weeks prior to the preconstruction meeting along with a description of any changes that have been made to the project's design, construction methodology or construction timeframe. The Permittee shall schedule the preconstruction meeting for a time frame when the Corps and NCDWR Project Managers can attend. The Permittee shall invite the Corps and NCDWR Project Managers a minimum of thirty (30) days in advance of the scheduled meeting in order to provide those individuals with ample opportunity to

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
**STIP: A-0009C**

schedule and participate in the required meeting. The thirty (30) day requirement can be waived with the concurrence of the Corps.

**5. Notification of Construction Commencement and Completion:** The Permittee shall notify the U.S. Army Corps of Engineers in writing prior to beginning the work authorized by this permit and again upon completion of the work authorized by this permit.

**6. Reporting Address:** All reports, documentation, and correspondence required by the conditions of this permit shall be submitted to the following: U.S. Army Corps of Engineers, Wilmington District Asheville Regulatory Field Office, Attn: Crystal Amschler 151 Patton Avenue, Room 208 or Crystal.C.Amschler@usace.army.mil. The Permittee shall reference the following permit number, SAW-2009-01346, on all submittals.

**7. Permit Revocation:** The Permittee, upon receipt of a notice of revocation of this permit or upon its expiration before completion of the work will, without expense to the United States and in such time and manner as the Secretary of the Army or his authorized representative may direct, restore the water or wetland to its pre-project condition.

**8. Reporting Violations:** Violation of these permit conditions or violation of Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act shall be reported to the Corps in writing and by telephone at: 828-271-7980 ext. 4231 within 24 hours of the Permittee's discovery of the violation.

**9. Clean Fill:** The Permittee shall use only clean fill material for this project. The fill material shall be free from items such as trash, construction debris, metal and plastic products, and concrete block with exposed reinforcement bars. Soils used for fill shall not be contaminated with any toxic substance in concentrations governed by Section 307 of the Clean Water Act. Unless otherwise authorized by this permit, all fill material placed in waters or wetlands shall be generated from an upland source.

**10. Water Contamination:** All mechanized equipment shall be regularly inspected and maintained to prevent contamination of waters and wetlands from fuels, lubricants, hydraulic fluids, or other toxic materials. In the event of a spill of petroleum products or any other hazardous waste, the Permittee shall immediately report it to the N.C. Division of Water Quality at (919) 733-3300 or (800) 858-0368 and provisions of the North Carolina Oil Pollution and Hazardous Substances Control Act shall be followed.

**11. Maintain Flows and Circulation Patterns of Waters:** Except as specified in the plans attached to this permit, no excavation, fill or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, in such a manner as to impair normal flows and circulation patterns within waters or wetlands or to reduce the reach of waters and/or wetlands.

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
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**12. Endangered Species Act:** The Permittee shall implement all necessary measures to ensure the authorized activity does not kill, injure, capture, harass, or otherwise harm any federally-listed threatened or endangered species. While accomplishing the authorized work, if the Permittee discovers or observes an injured or dead threatened or endangered species, the U.S. Army Corps of Engineers, Wilmington District Asheville Regulatory Field Office, Attn: Crystal Amschler, 151 Patton Avenue, Room 208 or Crystal.C.Amschler@usace.army.mil will be immediately notified to initiate the required Federal coordination.

In order to avoid and minimize effects to Indiana bat (*Myotis sodalis*) NCDOT will comply with the following measures:

- NCDOT will only remove the trees required for this project during October 15 to April 1, avoiding impacts to potentially roosting bats.
- NCDOT will add no additional permanent lighting to the project area and will limit temporary lighting and night work to the single area needed for the wildlife passage/Appalachian Trail land bridge, to be completed over a few nights between November and March.
- NCDOT will perform the demolition of man-made structures only during the winter or after confirming the absence of roosting bats.
- Per the EBCI Office of Natural Resources, on any tract held in trust by the EBCI and where trees will be cut, evaluation for Indiana Bat, Northern Long Bat, and Small Whorled Pogonia presence and habitat shall be performed both pre- and post harvest.
- Per the EBCI Office of Natural Resources, on any tract held in trust by the EBCI NCDOT will only remove trees during October 15 to April 15, with minimal night-time lighting as needed to achieve the work. If work is not taking place, all lighting should be shut down.

**13. Culverts:**

1) Unless otherwise requested in the application and depicted on the approved permit plans, culverts greater than 48 inches in diameter shall be buried at least one foot below the bed of the stream or, if prior approval given, set at the current stream bed elevation. Culverts 48 inches in diameter and less shall be buried or placed on the stream bed as practicable and appropriate to maintain aquatic passage, and every effort shall be made to maintain existing channel slope. Culverts shall be designed and constructed in a manner that minimizes destabilization and head cutting.

2) Measures shall be included in the construction/installation that will promote the safe passage of fish and other aquatic organisms. The dimension, pattern, and profile of the stream above and below a pipe or culvert shall not be modified by widening the stream channel or by reducing the depth of the stream in connection with the construction activity. The width, height, and gradient of a proposed opening shall be such as to pass the average historical low flow and spring flow without adversely

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
**STIP: A-0009C**

altering flow velocity. Spring flow should be determined from gauge data, if available. In the absence of such data, bankfull flow can be used as a comparable level.

3) The Permittee shall implement all reasonable and practicable measures to ensure that equipment, structures, fill pads, work, and operations associated with this project do not adversely affect upstream and/or downstream reaches. Adverse effects include, but are not limited to, channel instability, flooding, and/or stream bank erosion. The Permittee shall routinely monitor for these effects, cease all work when detected, take initial corrective measures to correct actively eroding areas, and notify this office immediately. Permanent corrective measures may require additional authorization by the U.S. Army Corps of Engineers.

4) Culverts placed within wetlands must be installed in a manner that does not restrict the flows and circulation patterns of waters of the United States. Culverts placed across wetland fills purely for the purposes of equalizing surface water shall not be buried, but the culverts must be of adequate size and/or number to ensure unrestricted transmission of water.

#### **14. Sediment and Erosion Control:**

1) During the clearing phase of the project, heavy equipment shall not be operated in surface waters or stream channels. Temporary stream crossings will be used to access the opposite sides of stream channels. All temporary diversion channels and stream crossings will be constructed of non-erodible materials. Grubbing of riparian vegetation will not occur until immediately before construction begins on a given segment of stream channel.

2) No fill or excavation impacts for the purposes of sedimentation and erosion control shall occur within jurisdictional waters, including wetlands, unless the impacts are included on the plan drawings and specifically authorized by this permit. This includes, but is not limited to, sediment control fences and other barriers intended to catch sediment losses.

3) The Permittee shall remove all sediment and erosion control measures placed in waters and/or wetlands, and shall restore natural grades on those areas, prior to project completion.

4) The Permittee shall use appropriate sediment and erosion control practices which equal or exceed those outlined in the most recent version of the "North Carolina Sediment and Erosion Control Planning and Design Manual" to ensure compliance with the appropriate turbidity water quality standard. Erosion and sediment control practices shall be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices in order to ensure compliance with the appropriate turbidity water quality standards. This shall include, but is not limited to, the immediate installation of silt fencing or similar

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
**STIP: A-0009C**

appropriate devices around all areas subject to soil disturbance or the movement of earthen fill, and the immediate stabilization of all disturbed areas. Additionally, the project shall remain in full compliance with all aspects of the Sedimentation Pollution Control Act of 1973 (North Carolina General Statutes Chapter 113A, Article 4). Adequate sedimentation and erosion control measures shall be implemented prior to any ground disturbing activities to minimize impacts to downstream aquatic resources. These measures shall be inspected and maintained regularly, especially following rainfall events. All fill material shall be adequately stabilized at the earliest practicable date to prevent sediment from entering into adjacent waters or wetlands.

**15. Temporary Fills:** Within thirty (30) days of the date of completing the authorized work, the Permittee shall remove all temporary fills in waters of the United States and restore the affected areas to pre-construction contours and elevations. The affected areas shall be re-vegetated with native, non-invasive vegetation as necessary to minimize erosion and ensure site stability.

**16. Aquatic Life Movement:** No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area. All discharges of dredged or fill material within waters of the United States shall be designed and constructed to maintain low flows to sustain the movement of aquatic species.

**17. Borrow and Waste:** To ensure that all borrow and waste activities occur on high ground and do not result in the degradation of adjacent waters and wetlands, except as authorized by this permit, the Permittee shall require its contractors and/or agents to identify all areas to be used as borrow and/or waste sites associated with this project. The Permittee shall provide the U.S. Army Corps of Engineers with appropriate maps indicating the locations of proposed borrow and/or waste sites as soon as such information is available. The Permittee shall submit to the Corps site-specific information needed to ensure that borrow and/or waste sites comply with all applicable Federal requirements, to include compliance with the Endangered Species Act and the National Historic Preservation Act, such as surveys or correspondence with agencies (e.g., the USFWS, the NC-HPO, etc.). The required information shall also include the location of all aquatic features, if any, out to a distance of 400 feet beyond the nearest boundary of the site. The Permittee shall not approve any borrow and/or waste sites before receiving written confirmation from the Corps that the proposed site meets all Federal requirements, whether or not waters of the U.S., including wetlands, are located in the proposed borrow and/or waste site. All delineations of aquatic sites on borrow and/or waste sites shall be verified by the U.S. Army Corps of Engineers and shown on the approved reclamation plans. The Permittee shall ensure that all borrow and/or waste sites comply with Special Condition 2 of this permit. Additionally, the Permittee shall produce and maintain documentation of all borrow and waste sites associated with this project. This documentation will include data regarding soils, vegetation, hydrology, any delineation(s) of aquatic sites, and any jurisdictional determinations made by the Corps to clearly demonstrate compliance with Special

**SPECIAL CONDITIONS**  
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Condition 2. All information will be available to the U.S. Army Corps of Engineers upon request. The Permittee shall require its contractors to complete and execute reclamation plans for each borrow and/or waste site and provide written documentation that the reclamation plans have been implemented and all work is completed. This documentation will be provided to the U.S. Army Corps of Engineers within 30 days of the completion of the reclamation work.

**18. Compensatory Mitigation:** In order to compensate for impacts associated with this permit, mitigation shall be provided in accordance with the provisions outlined on the most recent version of the attached Compensatory Mitigation Responsibility Transfer Form. The requirements of this form, including any special conditions listed on this form, are hereby incorporated as special conditions of this permit. NOTE: Two (2) separate Compensatory Mitigation Responsibility Transfer Forms are attached to this permit..

**19. Compliance Inspection:** A representative of the Corps of Engineers will periodically and randomly inspect the work for compliance with these conditions. Deviations from these procedures may result in an administrative financial penalty and/or directive to cease work until the problem is resolved to the satisfaction of the Corps.

**20. NCDWR and EBCI 401 Cert:** In accordance with 33 U.S.C. 1341(d), all conditions of the North Carolina Division of Water Resources 401 Water Quality Certification No. 4651 dated February 22, 2022, are hereby incorporated as special conditions of this permit, as are the conditions of the EBCI 401 Water Quality Certification Grant With Special Conditions dated 10/25/2021 for portions of the project that cross Tribal land.

**21. Prohibitions on Concrete:** The permittee shall take measures to prevent live or fresh concrete, including bags of uncured concrete, from coming into contact with any water in or entering into waters of the United States. Water inside coffer dams or casings that has been in contact with concrete shall only be returned to waters of the United States when it no longer poses a threat to aquatic organisms (concrete is set and cured).

**22. Historic Properties Programmatic Agreement (PA):** The Permittee shall fully implement the Section 106 Programmatic Agreement (PA) between the North Carolina Department of Transportation, the Advisory County on Historic Preservation, North Carolina State Historic Preservation Officer, the US Forest Service, the Federal Highways administration, and the Eastern Band of Cherokee Indians that was executed in March of 2021. This PA is attached to the permit.

**23. Discovery of Previously Unknown Remains and Artifacts:** If you discover any previously unknown historic, cultural or archeological remains and artifacts while accomplishing the activity authorized by this permit, you must immediately notify the district engineer of what you have found, and to the maximum extent practicable, avoid construction activities that may affect the remains and artifacts until the required

**SPECIAL CONDITIONS**  
**Action ID: SAW-2009-01346**  
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coordination has been completed. The district engineer will initiate the Federal, Tribal, and state coordination required to determine if the items or remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

24. **Trout:** Per the NC Wildlife Resources Commission's letter dated February 4, 2022, and the EBCI letter, as well as a follow up email from the EBCI dated 12/14/2021, NCDOT is prohibited from doing any in-stream work and land disturbance within the 25-foot trout buffer from January 1 to April 15 to protect the egg and fry stages of Rainbow Trout. Additionally, Design Standards in Sensitive Watersheds shall be implemented with this project.

25. **WRC and EBCI other recommended environmental conditions:** The following condition(s) received from the Eastern Band of Cherokee Indians, are hereby incorporated as special conditions of this permit:

- The pristine wetland referenced in EBCI's letter and Sweetwater Creek will be avoided.
- The logging road at Bowman Lane should not be accessed by equipment at any time, following the EBCI 401 special condition
- Applicable measures from the current NCDOT Erosion and Sediment Control Design and Construction Manual should be adhered to. Tall fescue and straw mulch must not be used in riparian areas (Note, there also is prescriptive seeding that may prohibit tall fescue or sericea lespedeza on USFS property in the special use authorization). Matting needed in riparian areas should not contain nylon mesh because it entangles and kills wildlife. Coir matting should be used on disturbed stream banks that are steep or susceptible to high water and securely anchored with wooden stakes according to NCDOT specifications.
- Herbaceous vegetation should be planted on all bare soil within 15 days of ground disturbing activities to provide long term erosion control.
- Disturbed soils in steep slopes and riparian areas should be stabilized with heavy fiber matting in addition to seeding. Matting should be secured in place with staples, stakes, or wherever possible, live stakes of native trees. Discharging hydro-seed mixtures and washing out hydro-seeders and other equipment in or adjacent to surface waters is prohibited.
- Heavy equipment should be utilized from the stream bank and not in the channel whenever feasible. All mechanized equipment operated near surface waters should be inspected and maintained regularly to prevent contamination of stream waters from fuels, lubricants, hydraulic fluids, or other toxic materials. Uncured concrete must not contact the stream because this can kill fish.
- All work within the stream should be completed in the dry with the use of temporary diversion structures. Materials used for flow diversions should be removed from the stream bed after completing the construction work.
- Native material or rip rap backfill (whichever is specified by plans/details) should be compacted in streams to the appropriate elevation immediately downstream of culvert replacements and extensions to help prevent outlet scour.

**SPECIAL CONDITIONS**  
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- Riprap placed for bank stabilization should be limited to the stream bank below the high-water mark and vegetation should be used above. Rip rap should not be placed in the stream channel in a manner that obstructs aquatic life passage.
- Rip rap specified in plans should be embedded into (aka “keyed-in”) the soil of channel relocations wherever possible to expedite/promote surface flow and aquatic organism passage. Native streambed materials should be used as top-dressing on culvert inlet/outlet benches where embedment is not possible. High flow barrels that are backfilled with rip rap should be similarly treated to promote wildlife passage.
- Removal of vegetation in riparian areas and wetlands should be minimized. Banks on stream relocations and abandoned roadways need to be reforested. This minimally should include impact site 23 in Section A and impact sites 11, 17, and 19 in Section C.

ROY COOPER  
Governor

ELIZABETH S. BISER  
Secretary

S. DANIEL SMITH  
Director



February 22, 2022

Ms. Wanda Austin, Division 14 Engineer  
NCDOT, Division 14  
253 Webster Road  
Sylva, NC 28779


Subject: 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act with  
ADDITIONAL CONDITIONS for Proposed improvements to NC 129 and NC 143 in Graham County,  
Federal Aid Project No. APD-074(178), TIP A-0009C.  
NCDWR Project No.20201371

Dear Ms. Austin:

Attached hereto is a copy of Certification No. WQC004651 issued to The North Carolina Department of  
Transportation (NCDOT) dated February 22, 2022.

If we can be of further assistance, do not hesitate to contact us.

Sincerely,

DocuSigned by:  
  
9C9886312DCD474  
S. Daniel Smith, Director  
Division of Water Resources

Electronic copy only distribution:

Crystal Amschler, US Army Corps of Engineers, Asheville Field Office  
Patrick Breedlove, Environmental Specialist Division 14  
Amanetta Somerville, US Environmental Protection Agency  
Holland Youngman, US Fish and Wildlife Service  
Dave McHenry, NC Wildlife Resources Commission  
Beth Harmon, Division of Mitigation Services  
File Copy



North Carolina Department of Environmental Quality | Division of Water Resources  
512 North Salisbury Street | 1617 Mail Service Center | Raleigh, North Carolina 27699-1617  
919.707.9000

## 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act with ADDITIONAL CONDITIONS

**THIS CERTIFICATION** is issued in conformity with the requirements of Section 401 Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Resources (NCDWR) Regulations in 15 NCAC 2H .0500. This certification authorizes the NCDOT to impact 1.11 acres of jurisdictional wetlands, 8,209 linear feet of jurisdictional streams, and 0.12 acre of open water in Graham County. The project shall be constructed pursuant to the application dated received December 17, 2021. The authorized impacts are as described below:

### Stream Impacts in the Little Tennessee River Basin

Site	Permanent Fill in Intermittent Stream (linear feet)	Temporary Fill in Intermittent Stream (linear feet)	Permanent Fill in Perennial Stream (linear feet)	Temporary Fill in Perennial Stream (linear feet)	Total Stream Impact (linear feet)	Stream Impacts Requiring Mitigation
S1	39				39	
S2		20			20	
S3	24				24	
S4			12		12	
S5				20	20	
S6			30		30	
S7			26		26	
S8				15	15	
S9			18		18	
S10				20	20	
S11	18				18	
S12			15		15	
S13			48		48	
S14				10	10	
S15			44		44	
S16			48		48	
S17			93		93	
S18				10	10	
S19			18		18	
S20			56		56	
S21				8	8	
S22			14		14	
S23			91		91	
S24				50	50	
S25	45				45	
S26		11			11	
S27		3			3	
S28			13		13	
S29				20	20	
S30				20	20	
S31			18		18	
S32			22		22	
S33				91	91	
S34			29		29	
S35				37	37	
S36			50		50	
S37				10	10	
S38			35		35	
S39			32		32	
S40				10	10	
S41		10			10	

# P-20

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Site	Permanent Fill in Intermittent Stream (linear feet)	Temporary Fill in Intermittent Stream (linear feet)	Permanent Fill in Perennial Stream (linear feet)	Temporary Fill in Perennial Stream (linear feet)	Total Stream Impact (linear feet)	Stream Impacts Requiring Mitigation
S42			12		12	
S43				18	18	
S44		16			16	
S45				20	20	
S46		53			53	
S47			39		39	
S48				10	10	
S49			10		10	
S50			32		32	
S51			42		42	
S52				10	10	
S53			32		32	
S54				10	10	
S55			3		3	
S56			11		11	
S57			27		27	
S58				16	16	
S59			24		24	
S60				11	11	
S61			18		18	
S62			6		6	
S63			38		38	
S64				5	5	
S65	24				24	
S66		11			11	
S67			18		18	
S68				14	14	
S69			25		25	
S70			41		41	
S71				9	9	
S72			23		23	
S73				87	87	
S74	25				25	
S75		13			13	
S76	23				23	
S77		8			8	
S78			62		62	
S79			64		64	
S80				105	105	
S81			10		10	
S82			10		10	
S83			68		68	
S84				10	10	
S85		11			11	
S86			21		21	
S87				11	11	
S88			25		25	
S89			27		27	
S90				14	14	
S91			6		6	
S92			6		6	
S93			66		66	

# P-21

DocuSign Envelope ID: CD51FD01-E36B-4F19-A558-6F496A6C6CF8

Site	Permanent Fill in Intermittent Stream (linear feet)	Temporary Fill in Intermittent Stream (linear feet)	Permanent Fill in Perennial Stream (linear feet)	Temporary Fill in Perennial Stream (linear feet)	Total Stream Impact (linear feet)	Stream Impacts Requiring Mitigation
S94				62	62	
S95			4		4	
S96				14	14	
S97			15		15	
S98			17		17	
S99				20	20	
S100				22	22	
S101			16		16	
S102					16	
S103			12		12	
S104			8		8	
S105				6	6	
S106			6		6	
S107			15		15	
S108				17	17	
S109			13		13	
S110				21	21	
S111		27			27	
S112			17		17	
S113				20	20	
S114				30	30	
S115			89		89	
S116			20		20	
S117			14		14	
S118				7	7	
S119				21	21	
S120			58		58	
S121			14		14	
S122				5	5	
S123			10		10	
S124				6	6	
S125			41		41	
S126				55	55	
S127			2		2	
S128			12		12	
S129				10	10	
S130			53		53	
S131			68		68	
S132				9	9	
S133			22		22	
S134				26	26	
S135			34		34	
S136			37		37	
S137				33	33	
S138	127				127	
S139		25			25	
S140			24		24	
S141				22	22	
S142			29		29	
S143			10		10	
S144				10	10	
S145				31	31	

Site	Permanent Fill in Intermittent Stream (linear feet)	Temporary Fill in Intermittent Stream (linear feet)	Permanent Fill in Perennial Stream (linear feet)	Temporary Fill in Perennial Stream (linear feet)	Total Stream Impact (linear feet)	Stream Impacts Requiring Mitigation
S146			93		93	
S147				50	50	
S148			14		14	
S149				28	28	
S150			10		10	
S151			20		20	
S152				10	10	
S153		3			3	
S154			12		12	
S155				23	23	
S169	38				38	
S170		54			54	
S171				69	69	
S172			18		18	
S173				17	17	
S174				16	16	
S175			12		12	
S176				20	20	
S177				27	27	
S178			12		12	
S179				24	24	
S180		18			18	
S181		24			24	
S182				83	83	
S183	43				43	
S184			22		22	
S185				21	21	
S186			47		47	
S187				11	11	
S188			50		50	
S189			8		8	
S190				19	19	
S191			14		14	
S192				14	14	
S193			23		23	
S194				26	26	
S195	4				4	
S196		16			16	
S197			288		288	
S198				16	16	
S199			37		37	
S200			20		20	
S201				38	38	
S202			240		240	
S203				16	16	
S204			191		191	
S205			16		16	
S206				8	8	
S207	72				72	
S208		15			15	
S209				19	19	

Site	Permanent Fill in Intermittent Stream (linear feet)	Temporary Fill in Intermittent Stream (linear feet)	Permanent Fill in Perennial Stream (linear feet)	Temporary Fill in Perennial Stream (linear feet)	Total Stream Impact (linear feet)	Stream Impacts Requiring Mitigation
S210			84		84	
S211				20	20	
S212				20	20	
S213			67		67	
S214				22	22	
S215		21			21	
S216	6				6	
S217		419			419	
S218				50	50	
S219				16	16	
S220			67		67	
S221			77		77	
S222				42	42	
S223			50		50	
S224				20	20	
S225			30		30	
S226			24		24	
S227				3	3	
S228			10		10	
S229			23		23	
S230				20	20	
S231			209		209	
S232				12	12	
S233			31		31	
S234				20	20	
S235			226		226	
S236				66	66	
S237				361	361	
S238			31		31	
S239				31	31	
S240			35		35	
S241			54		54	
S242				65	65	
S243			43		43	
S244			9		9	
S245				16	16	
S246			91		91	
S247				11	11	
<b>Total</b>	<b>488</b>	<b>778</b>	<b>4,446</b>	<b>2,497</b>	<b>8,209</b>	

**Total Stream Impact for Project: 4,934 linear feet of permanent and 3,275 feet of temporary.**

**Wetland Impacts in the Little Tennessee River Basin**

Site	Permanent Fill (acres)	Temporary Fill (acres)	Total Wetland Impact (acres)	Impacts Requiring Mitigation (acres)
W1(A4)	.010		.010	.010
W2(A13)	.010		.010	.010
W3(A13)	.010		.010	.010
W4(A13)		.030	.030	.030
W5(A13)	.070		.070	.070
W6(A13)	.010		.010	.010

W7(A13)		.020	.020	.020
W8(A13)		.030	.030	.030
W9(A14)	.020		.020	.020
W10(A14)		.010	.010	.010
W11(A14)		.010	.010	.010
W12(A16)	.090		.090	.090
W13(A18)	.010		.010	.010
W14(A21)		.010	.010	.010
W15(A23)	.020		.020	.020
W16(A23)	.070		.070	.070
W17(A23)		.110	.110	.110
W18(A23)	.010		.010	.010
W19(A23)		.010	.010	.010
W20(A23)		.050	.050	.050
W21(A24)		.020	.020	.020
W22(B5)		.010	.010	.010
W23(B6)	.060		.060	.060
W24(B6)		.010	.010	.010
W25(B6)	.010		.010	.010
W26(B6)		.010	.010	.010
W27(B10)	.030		.030	.030
W28(B12)	.080		.080	.080
W29(B12)		.010	.010	.010
W29(B12)		.010	.010	.010
W30(B15)	.040		.040	.040
W31(B15)	.020		.020	.020
W32(B15)		.010	.010	.010
W33(B19)	.010		.010	.010
W33(B19)		.010	.010	.010
W34(B19)	.010		.010	.010
W37(C1)	.010		.010	.010
W38(C1)		.010	.010	.010
W39(C7)	.010		.010	.010
W40(C7)		.010	.010	.010
W41(C7)		.020	.020	.020
W42(C22)	.070		.070	.070
W43(C22)	.010		.010	.010
W44(C22)		.010	.010	.010
<b>Total</b>	<b>0.69</b>	<b>0.42</b>	<b>1.11</b>	<b>1.11</b>

**Total Wetland Impact for Project: 0.69 acres of permanent and 0.42 acres of temporary.**

**Open Water Impacts in the Little Tennessee River Basin**

Site	Fill (acres)	Total Impact (acres)
O1	0.12	0.12
<b>Total</b>	<b>0.12</b>	<b>0.12</b>

**Total Open Water Impact for Project: 0.12 acres.**

The application provides adequate assurance that the discharge of fill material into the waters of the Little Tennessee River Basin in conjunction with the proposed development will not result in a violation of applicable Water Quality Standards and discharge guidelines. Therefore, the State of North Carolina certifies that this activity will not violate the applicable portions of Sections 301, 302, 303, 306, 307 of PL 92-500 and PL 95-217 if conducted in accordance with the application and conditions hereinafter set forth.

This approval is only valid for the purpose and design that you submitted in your application dated received December 17, 2021. Should your project change, you are required to notify the NCDWR and submit a new application. If the property is sold, the new owner must be given a copy of this Certification and approval letter, and is thereby responsible for complying with all the conditions. If any additional wetland impacts, or stream impacts, for this project (now or in the future) exceed 0.1 acre or 300 linear feet, respectively, additional compensatory

mitigation may be required as described in 15A NCAC 2H .0506 (c). For this approval to remain valid, you are required to comply with all the conditions listed below. In addition, you should obtain all other federal, state or local permits before proceeding with your project including (but not limited to) Sediment and Erosion control, Coastal Stormwater, Non-discharge and Water Supply watershed regulations. This Certification shall expire on the same day as the expiration date of the corresponding Corps of Engineers Permit.

## **Condition(s) of Certification:**

### **Project Specific Conditions**

1. The NCDOT Division Environmental Officer or Environmental Assistant will conduct a pre-construction meeting with all appropriate staff to ensure that the project supervisor and essential staff understand the potential issues with stream and pipe alignment at the permitted site. NCDWR staff shall be invited to the pre-construction meeting. [15A NCAC 02H.0506(b)(2) and (b)(3)]
2. The permittee will need to adhere to all appropriate in-water work moratoria (including the use of pile driving or vibration techniques) prescribed by the NC Wildlife Resources Commission. No in-water work is permitted between January 1 and April 15 of any year, without prior approval from the NC Division of Water Resources and the NC Wildlife Resources Commission.  
  
In-stream work and land disturbance within the 25-foot buffer zone are prohibited during the trout-spawning season of January 1 through April 15 to protect the egg and fry stages of trout.
3. Prior to commencing ground disturbing activities, an acceptable monitoring and mitigation plan for the presence of sulfide-bearing rock must be approved by the NCDWR.
4. The permittee shall use Design Standards in Sensitive Watersheds (15A NCAC 4B.0124[a]-[e]) in areas draining to Trout waters.
5. Compensatory mitigation for impacts to 1.11 acres riverine wetlands is required. We understand that you have chosen to perform compensatory mitigation for impacts to wetlands through the North Carolina Division of Mitigation Services (DMS) (formerly NCEEP), and that the DMS has agreed to implement the mitigation for the project. DMS has indicated in a letter dated February 10, 2022, that they will assume responsibility for satisfying the federal Clean Water Act compensatory mitigation requirements for the above-referenced project, in accordance with DMS's Mitigation Banking Instrument signed July 28, 2010.
6. Channel relocations at sites 11, 17, and 19 of Section C shall be completed and stabilized, and approved on site by NCDWR staff, prior to diverting water into the new channel. Stream banks shall be matted with coir-fiber matting. Vegetation used for bank stabilization shall be limited to native riparian vegetation and should include establishment of a vegetated buffer on both sides of the relocated channel to the maximum extent practical. Also, rip-rap may be allowed if it is necessary to maintain the physical integrity of the stream, but the applicant must provide written justification and any calculations used to determine the extent of rip-rap coverage requested. Once the stream has been turned into the new channel, it may be necessary to relocate stranded fish to the new channel to prevent fish kills. [15A NCAC 02H .0506(b)(3)]

### **General Conditions**

1. Unless otherwise approved in this certification, placement of culverts and other structures in open waters and streams shall be placed below the elevation of the streambed by one foot for all culverts with a diameter greater than 48 inches, and 20 percent of the culvert diameter for culverts having a diameter less than 48 inches, to allow low flow passage of water and aquatic life. Design and placement of culverts and other structures including temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands or streambeds or banks, adjacent to or upstream and downstream of the above structures. The applicant is required to provide evidence that the equilibrium is being maintained if requested in writing by NCDWR. If this condition is unable to be met due to bedrock or other limiting features encountered during construction, please contact NCDWR for guidance on how to proceed and to determine whether or not a permit modification will be required. [15A NCAC 02H.0506(b)(2)]

2. If concrete is used during construction, a dry work area shall be maintained to prevent direct contact between curing concrete and stream water. Water that inadvertently contacts uncured concrete shall not be discharged to surface waters due to the potential for elevated pH and possible aquatic life and fish kills. [15A NCAC 02B.0200]
3. During the construction of the project, no staging of equipment of any kind is permitted in waters of the U.S. or protected riparian buffers. [15A NCAC 02H.0506(b)(2)]
4. The dimension, pattern, and profile of the stream above and below the crossing shall not be modified. Disturbed floodplains and streams shall be restored to natural geomorphic conditions. [15A NCAC 02H.0506(b)(2)]
5. The use of rip-rap above the Normal High Water Mark shall be minimized. Any rip-rap placed for stream stabilization shall be placed in stream channels in such a manner that it does not impede aquatic life passage. [15A NCAC 02H.0506(b)(2)]
6. The Permittee shall ensure that the final design drawings adhere to the permit and to the permit drawings submitted for approval. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
7. All work in or adjacent to stream waters shall be conducted in a dry work area. Approved BMP measures from the most current version of NCDOT Construction and Maintenance Activities manual such as sandbags, rock berms, cofferdams and other diversion structures shall be used to prevent excavation in flowing water. [15A NCAC 02H.0506(b)(3) and (c)(3)]
8. Heavy equipment shall be operated from the banks rather than in the stream channel in order to minimize sedimentation and reduce the introduction of other pollutants into the stream. [15A NCAC 02H.0506(b)(3)]
9. All mechanized equipment operated near surface waters must be regularly inspected and maintained to prevent contamination of stream waters from fuels, lubricants, hydraulic fluids, or other toxic materials. [15A NCAC 02H.0506(b)(3)]
10. No rock, sand or other materials shall be dredged from the stream channel except where authorized by this certification. [15A NCAC 02H.0506(b)(3)]
11. Discharging hydroseed mixtures and washing out hydro seeders and other equipment in or adjacent to surface waters is prohibited. [15A NCAC 02H.0506(b)(3)]
12. The permittee and its authorized agents shall conduct its activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act) and any other appropriate requirements of State and Federal law. If the NCDWR determines that such standards or laws are not being met (including the failure to sustain a designated or achieved use) or that State or federal law is being violated, or that further conditions are necessary to assure compliance, the NCDWR may reevaluate and modify this certification. [15A NCAC 02B.0200]
13. All fill slopes located in jurisdictional wetlands shall be placed at slopes no flatter than 3:1, unless otherwise authorized by this certification. [15A NCAC 02H.0506(b)(2)]
14. A copy of this Water Quality Certification shall be maintained on the construction site at all times. In addition, the Water Quality Certification and all subsequent modifications, if any, shall be maintained with the Division Engineer and the on-site project manager. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
15. The outside buffer, wetland or water boundary located within the construction corridor approved by this authorization shall be clearly marked by highly visible fencing prior to any land disturbing activities. Impacts to areas within the fencing are prohibited unless otherwise authorized by this certification. [15A NCAC 02H.0501 and .0502]

16. The issuance of this certification does not exempt the Permittee from complying with any and all statutes, rules, regulations, or ordinances that may be imposed by other government agencies (i.e. local, state, and federal) having jurisdiction, including but not limited to applicable buffer rules, stormwater management rules, soil erosion and sedimentation control requirements, etc.
17. The Permittee shall report any violations of this certification to the Division of Water Resources within 24 hours of discovery. [15A NCAC 02B.0506(b)(2)]
18. Upon completion of the project (including any impacts at associated borrow or waste sites), the NCDOT Division Engineer shall complete and return the enclosed "Certification of Completion Form" to notify the NCDWR when all work included in the 401 Certification has been completed. [15A NCAC 02H.0502(f)]
19. Native riparian vegetation must be reestablished in the riparian areas within the construction limits of the project by the end of the growing season following completion of construction. [15A NCAC 02B.0506(b)(2)]
20. There shall be no excavation from, or waste disposal into, jurisdictional wetlands or waters associated with this permit without appropriate modification. Should waste or borrow sites, or access roads to waste or borrow sites, be located in wetlands or streams, compensatory mitigation will be required since that is a direct impact from road construction activities. [15A NCAC 02H.0506(b)(3) and (c)(3)]
21. Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices in order to protect surface waters standards [15A NCAC 02H.0506(b)(3) and (c)(3)]:
  - a. The erosion and sediment control measures for the project must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Sediment and Erosion Control Planning and Design Manual*.
  - b. The design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal, or exceed, the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
  - c. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
  - d. The reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act.
22. Sediment and erosion control measures shall not be placed in wetlands or waters unless otherwise approved by this Certification. [15A NCAC 02H.0506(b)(3) and (c)(3)]

Violations of any condition herein set forth may result in revocation of this Certification and may result in criminal and/or civil penalties. This Certification shall become null and void unless the above conditions are made conditions of the Federal 404 and/or Coastal Area Management Act Permit. This Certification shall expire upon the expiration of the 404 permit.

If you wish to contest any statement in the attached Certification you must file a petition for an administrative hearing. You may obtain the petition form from the office of Administrative hearings. You must file the petition with the office of Administrative Hearings within sixty (60) days of receipt of this notice. A petition is considered filed when it is received in the office of Administrative Hearings during normal office hours. The Office of Administrative Hearings accepts filings Monday through Friday between the hours of 8:00am and 5:00pm, except for official state holidays. The original and one (1) copy of the petition must be filed with the Office of Administrative Hearings.

DocuSign Envelope ID: CD51FD01-E36B-4F19-A558-6F496A6C6CF8

The petition may be faxed-provided the original and one copy of the document is received by the Office of Administrative Hearings within five (5) business days following the faxed transmission. The mailing address for the Office of Administrative Hearings is:


Office of Administrative Hearings  
6714 Mail Service Center  
Raleigh, NC 27699-6714  
Telephone: (919)-431-3000, Facsimile: (919)-431-3100

A copy of the petition must also be served on DEQ as follows:

Mr. Bill F. Lane, General Counsel  
Department of Environmental Quality  
1601 Mail Service Center

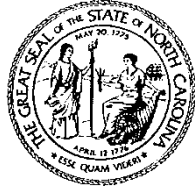
This the 22nd day of February 2022

DIVISION OF WATER RESOURCES

DocuSigned by:  
  
9C9886312DCD474...

S. Daniel Smith, Director

WQC No. WQC004651



NORTH CAROLINA  
Environmental Quality

ROY COOPER  
Governor

ELIZABETH S. BISER  
Secretary

BRIAN WRENN  
Director

September 8, 2022

NC Department of Transportation  
Roadside Environmental Unit  
Attn: Ms. Jennifer Parish, CPESC, CPSWQ  
1557 Mail Service Center  
Raleigh, NC 27699-1557

Subject: Trout Buffer Zone Waiver  
A-009CA, A-009CB and A-0909CC  
TB-GRAHA-2023-001  
Graham County

Dear Ms. Parish:

This office has received your plan for road construction of the above listed projects in Graham County. Your plan was submitted to this office for approval because of the proposed encroachments into the buffer zone of designated trout waters. In accordance with NCGS 113A-57(1) and Title 15A NCAC 4B .0125(c) this letter will serve as written approval to encroach on the buffer zone of Sweetwater Creek which is class WS-III, Trout, Tulula Creek which is class WS-III, Trout, Stecoah Creek which is class C, Trout and their affected tributaries as shown in the Erosion and Sedimentation Control plans for the above subject project. This authority has been delegated to me by Brian Wrenn, Director, Division of Energy, Mineral and Land Resources, in accordance with NCGS 143B-10. The following conditions will apply to this approval:

1. This approval is based on the plans received via email May 18, 2022 and revisions received May 25, 2022.
2. All plantings within the buffer shall be in accordance with the Riparian Planting Plan.
3. Contact the North Carolina Wildlife Recourses Commission (NCWRC) to determine if a moratorium between October 15 and April 15 is applicable.

The contact information is:

Andrea Leslie  
Mountain Habitat Conservation Coordinator NC Wildlife Resources Commission  
20830 Great Smoky Mountain Expressway



North Carolina Department of Environmental Quality | Division of Energy, Mineral and Land Resources  
Asheville Regional Office | 2090 U.S. Highway 70 | Swannanoa, North Carolina 28778  
828.296.4500

A-009CA, A-009CB and A-009CC

September 8, 2022

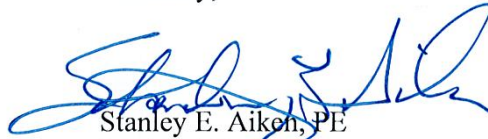
Page 2 of 2

Waynesville, NC 28786  
828-558-6011; 828-400-4223 (cell)  
[www.ncwildlife.org](http://www.ncwildlife.org)

4. This approval does not absolve the permittee from compliance with the surface water quality turbidity standard of 10 NTU. If 10 NTU is exceeded at any time during the project stream work activities must cease immediately. More protective erosion and sedimentation control measures may be required in order to comply with this water quality standard. If any work is proposed in a stream a 401/404 permit may be required.

Your cooperation in protecting our environment is most appreciated. If you have any questions about this approval, please contact me at [Stan.Aiken@ncdenr.gov](mailto:Stan.Aiken@ncdenr.gov) or (828) 296-4610.

Sincerely,

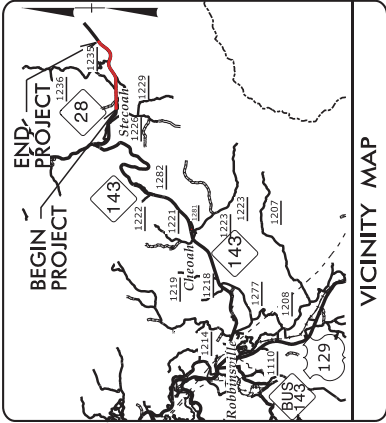


Stanley E. Aiken, PE  
Regional Engineer  
Land Quality Section

Enclosure: Guidelines for Riparian Buffer Restoration

cc: Brian Wrenn, Director, DEMLR ([brian.wrenn@ncdenr.gov](mailto:brian.wrenn@ncdenr.gov))  
Julie Coco, PE, State Sediment Specialist ([julie.coco@ncdenr.gov](mailto:julie.coco@ncdenr.gov))  
Landon Davidson, PG, Division of Water Resources ([landon.davidson@ncdenr.gov](mailto:landon.davidson@ncdenr.gov))  
Jennifer Parish, CPESC, CPSWQ, Roadside Environmental Engineer  
([jenniferparish@ncdot.gov](mailto:jenniferparish@ncdot.gov))

See Sheet 1A For Index of Sheets



STATE OF NORTH CAROLINA  
DIVISION OF HIGHWAYS  
**GRAHAM COUNTY**

LOCATION: NC 28 FROM WEST OF SR 1228 (STECOAH RD) TO SR 1235 (GUNTER GAP RD)

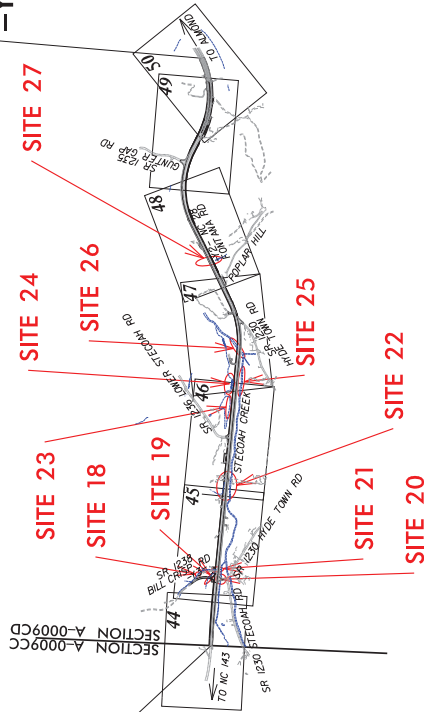
TYPE OF WORK: GRADING, PAVING, DRAINAGE, CULVERTS, & RETAINING WALLS  
**WETLAND & SURFACE WATER IMPACTS**

PERMIT DRAWING  
SHEET 1 OF 15

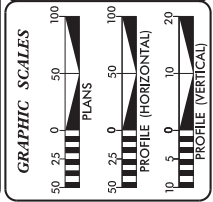
STATE	N.C.
PROJECT NUMBER	A-0009CD
DATE	3/25/21
DESIGNER	JEANETTE WHITE, PE
CHECKER	JIMMY L. TERRY, PE
DATE	8/12/21
PROJECT	ROADWAY DESIGN
CONTRACT	C2051S9

BEGIN TIP PROJECT A-0009CD  
-Y2- STA. 89 + 80.00

END TIP PROJECT A-0009CD  
-Y2- STA. 169 + 60.00



DOCUMENT NOT CONSIDERED FINAL  
UNLESS ALL SIGNATURES COMPLETED



**-Y2- DESIGN DATA**

ADT 2026	= 4100
ADT 2045	= 5200
K	= 11 %
D	= 67.5 % *
T	= 7 % *
V	= 50 MPH
* TTST = 2% DUAL = 5%	
FUNC CLASS = RURAL ARTERIAL	
REGIONAL TIER	

**PROJECT LENGTH**

LENGTH ROADWAY TIP	= 1.511 MILES
TOTAL LENGTH TIP	= 1.511 MILES

**PLANS PREPARED BY:**

TCS	201 S. WALTON ST P.O. BOX 274003 CAMP, LEBESQUE, NC 28719
NCDDOT CONTACT:	JEANETTE WHITE, PE NORTH CAROLINA DEPARTMENT OF TRANSPORTATION 222 S. WALTON RD 55100, NC 28719
RIGHT OF WAY DATE:	AUGUST 12, 2021
LETTING DATE:	MAY 19, 2026
PROJECT ENGINEER:	JIMMY L. TERRY, PE
PROJECT DESIGN ENGINEER:	AUSTIN TURNER, PE

**HYDRAULICS ENGINEER**

SIGNATURE:	_____
DATE:	_____



TIP PROJECT: A-0009CD

CONTRACT: C2051S9

X:\NC001\A-0009\Hydro\Drawings\PERMITS\Environment\Drawings\A-0009CD\_PSHA-A-0009CD\_Hyd.dwg, p.m., 1/18/2025 9:08/99







PROJECT REFERENCE NO. A-00092D SHEET NO. HYDRAULICS NUMBER

ROADWAY DESIGN NUMBER

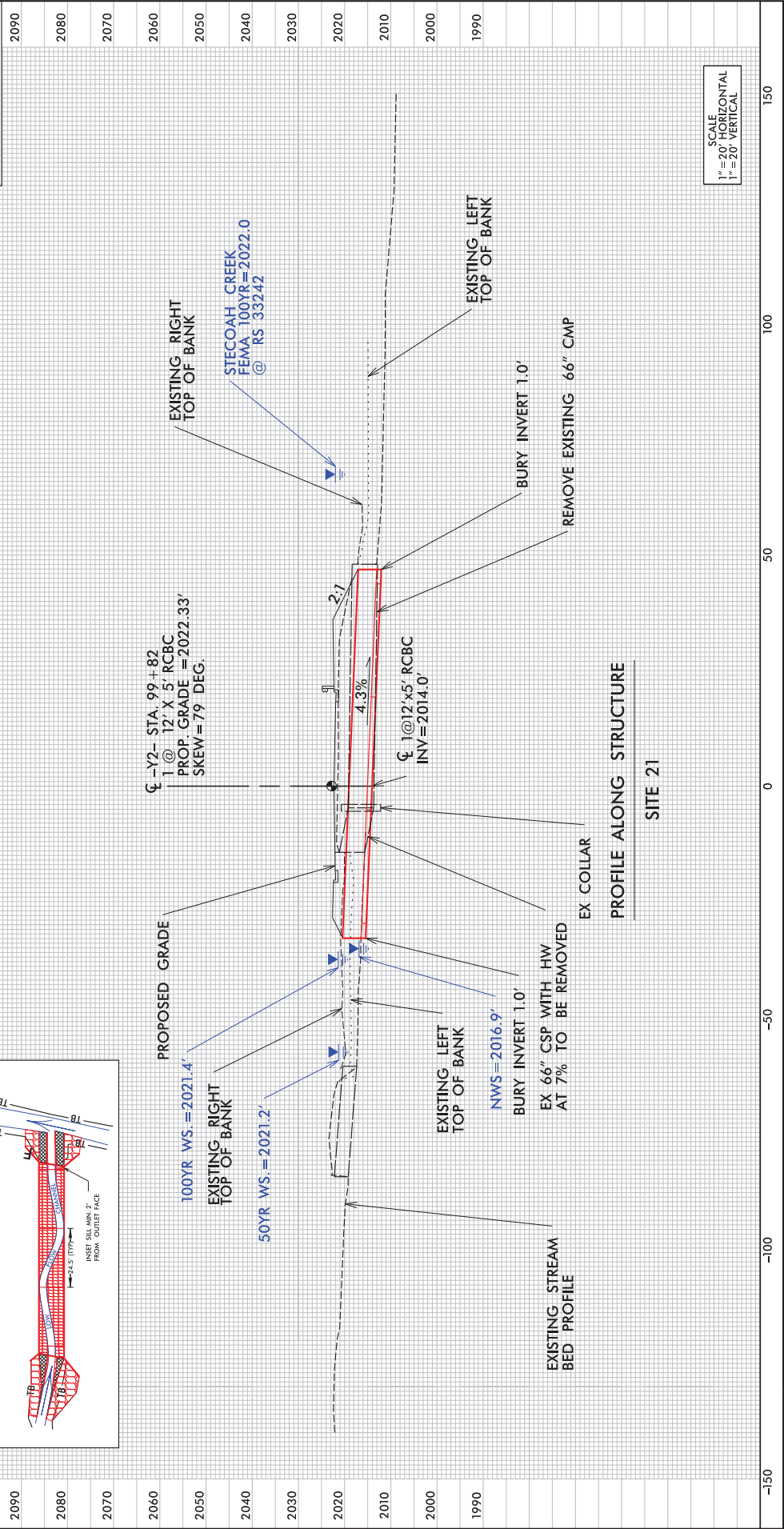
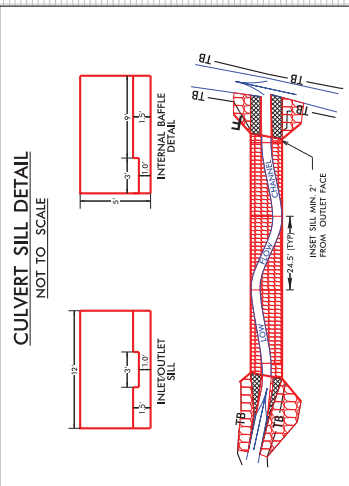
DOCUMENT NOT CONSIDERED FINAL UNLESS ALL SIGNATURES COMPLETED

10/23/2022 20% PLAN SHEETS 20% EROSION CONTROL SHEETS 20% HYDRAULICS SHEETS 20% UTILITY SHEETS

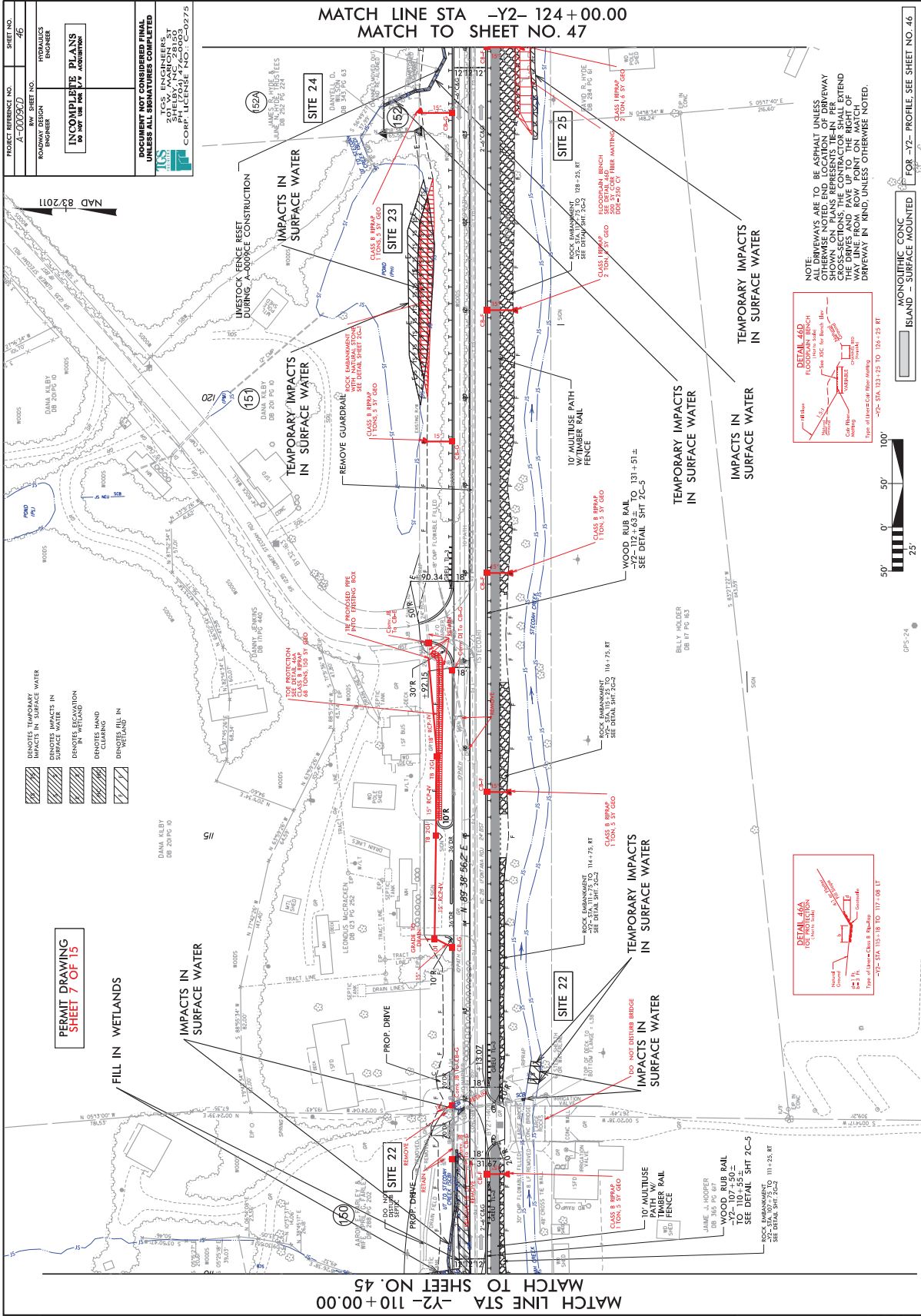
PHILIPPA W. WILSON, P.E. STATE LICENSE NO. 42800 CORP. LICENSE NO. C-0275

PERMIT DRAWING SHEET 5 OF 15

-Y2- NC 28







PROJECT REFERENCE NO. A-000000	SHEET NO. 46
ROWAY DESIGN ENGINEER	HYDRAULICS ENGINEER
<b>IN COMPLIANCE WITH</b> <b>STATE OF NORTH CAROLINA</b> <b>PERMITTING REGULATIONS</b>	
DOCUMENT NOT CONSIDERED FINAL UNLESS ALL SIGNATURES COMPLETED	
TCS ENGINEERS 205 W. HARRIS STREET SHREVEPORT, LA 70506 CORP. LICENSE NO. C-0275	

DENOTES TEMPORARY IMPACTS IN SURFACE WATER  
 DENOTES IMPACTS IN SURFACE WATER  
 DENOTES RECAVATION  
 DENOTES LAND CLEARING  
 DENOTES FILL IN WETLAND

PERMIT DRAWING  
 SHEET 7 OF 15

MATCH LINE STA -Y2- 110+00.00  
 MATCH TO SHEET NO. 45

MATCH LINE STA -Y2- 124+00.00  
 MATCH TO SHEET NO. 47

NOTE:  
 ALL DRIVEWAYS ARE TO BE ASPHALT UNLESS OTHERWISE NOTED. END LOCATION OF DRIVEWAY SHALL BE THE CENTERLINE OF THE DRIVEWAY. THE CONTRACTOR SHALL EXTEND THE DRIVEWAYS AND PAVE UP TO THE RIGHT OF DRIVEWAY IN KIND, UNLESS OTHERWISE NOTED.

DETAIL 46A  
 ROCK EMBANKMENT  
 SEE DETAIL SHT 2C-5  
 -Y2- STA 115+18 TO 117+08 LT

DETAIL 46B  
 ROCK EMBANKMENT  
 SEE DETAIL SHT 2C-5  
 -Y2- STA 123+25 TO 124+24 RT

MONOLITHIC CONC  
 ISLAND - SURFACE MOUNTED

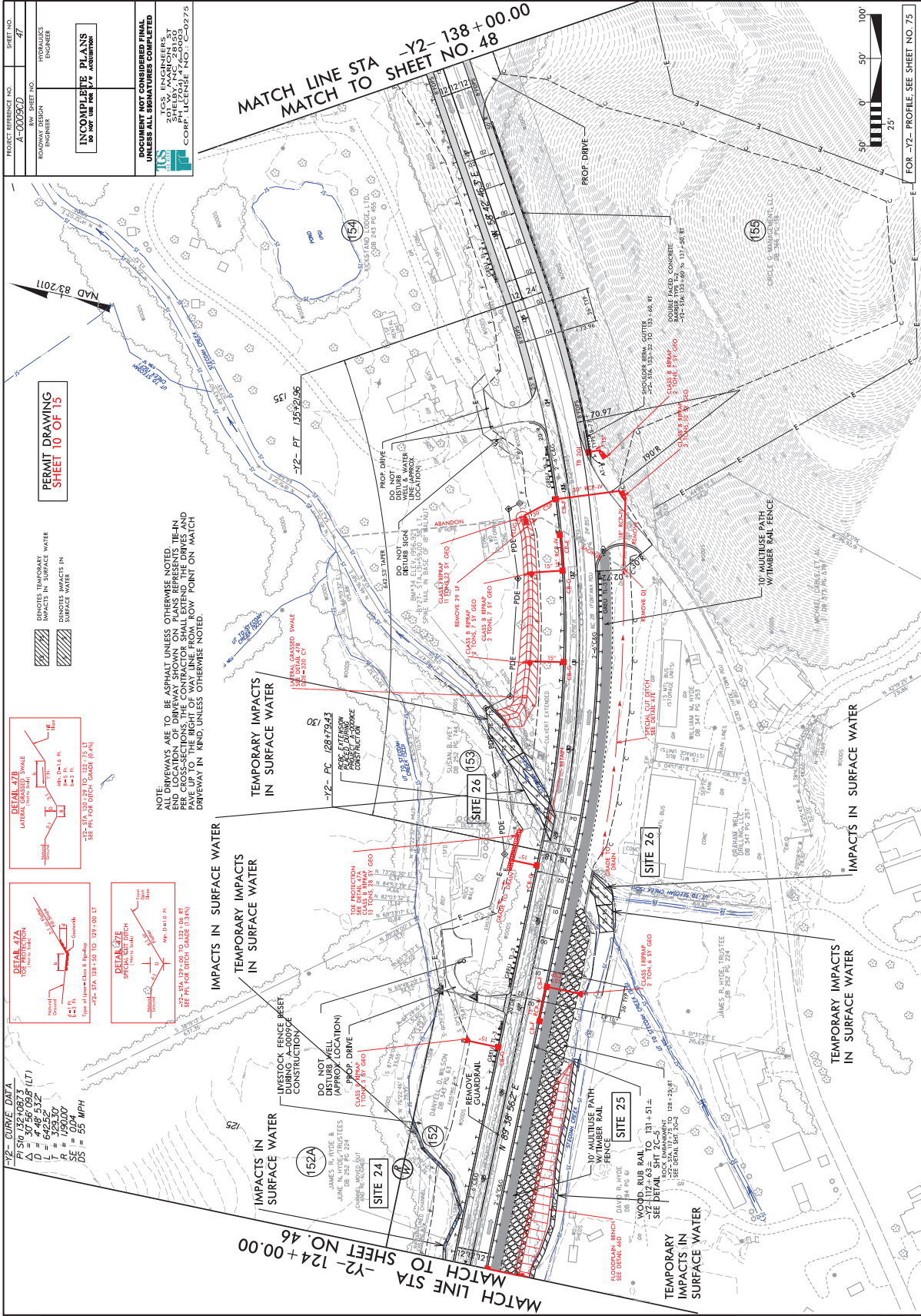
FOR -Y2- PROFILE, SEE SHEET NO. 46

REVISIONS

8/17/99







PROJECT REFERENCE NO. A-000900	SHEET NO. 47
ROWWAY DESIGN ENGINEER	HYDRAULICS ENGINEER
<b>INCOMPLETE PLANS</b> DO NOT USE FOR CONSTRUCTION	
<b>DOCUMENT NOT CONSIDERED FINAL</b> UNLESS ALL SIGNATURES COMPLETED	
TCS ENGINEERS SHELLEY, N.C. 28150 CORP. LICENSE NO. C-0275	

**PERMIT DRAWING**  
**SHEET 10 OF 13**

DENOTES TEMPORARY IMPACTS IN SURFACE WATER  
DENOTES PERMANENT IMPACTS IN SURFACE WATER

NOTE: DRIVEWAYS ARE TO BE ASPHALT UNLESS OTHERWISE NOTED. END LOCATION OF DRIVEWAY SHOWN ON PLANS REPRESENTS TIE-IN PER CROSS SECTIONS. DRIVEWAY CONTINUES TO EXIST ON POINT ON MATCH DRIVEWAY IN KIND UNLESS OTHERWISE NOTED.



**-Y2- CURVE DATA**  
 $P = 30.58'$   
 $\Delta = 30.58'$   
 $D = 4.48'$   
 $T = 5.23'$   
 $R = 1,800.00'$   
 $SE = 0.04$   
 $LS = 55$  MPH

DO NOT REMOVE SIGN BEFORE SIGN IS IN PLACE

DO NOT REMOVE SIGN BEFORE SIGN IS IN PLACE

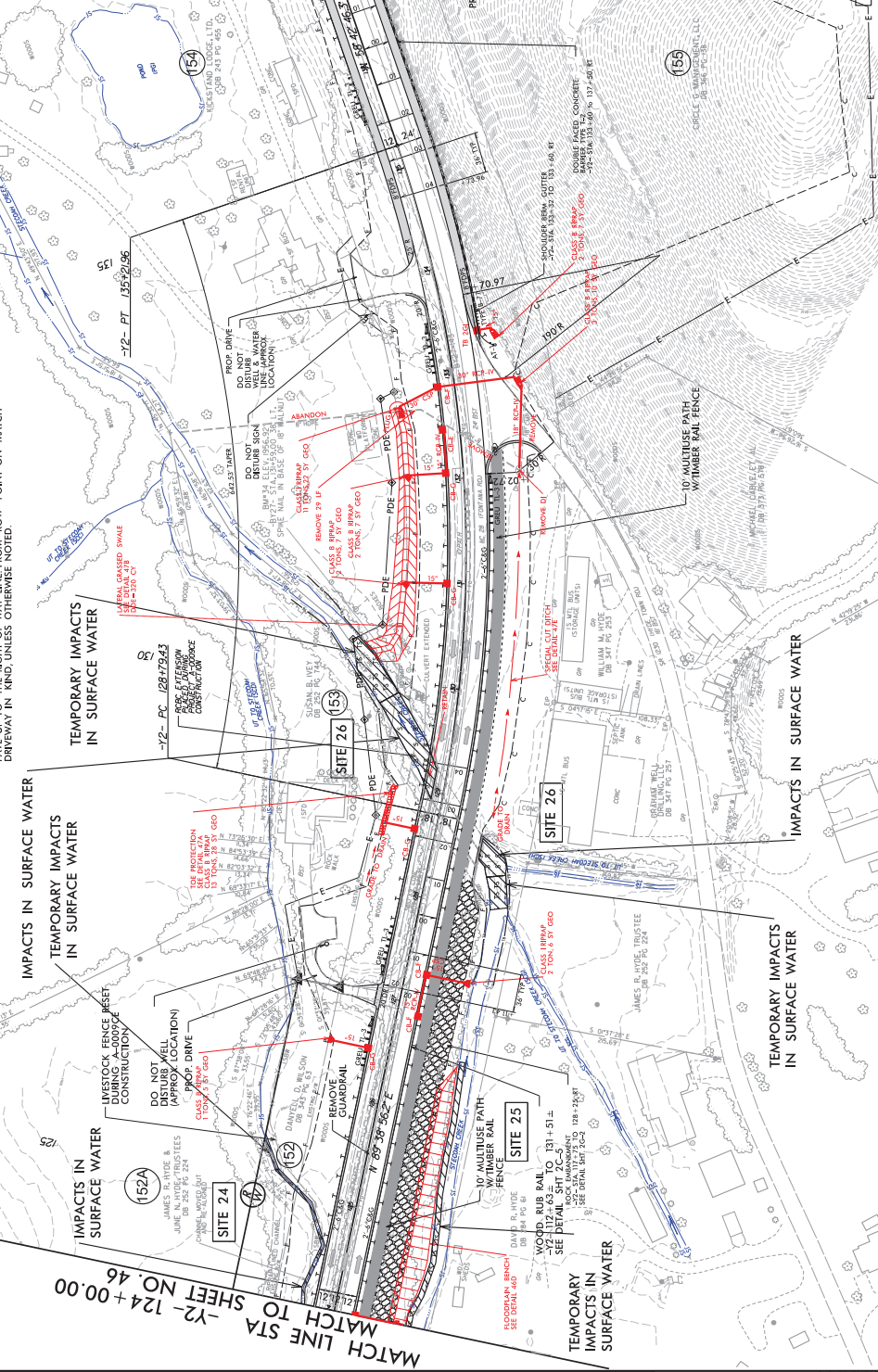
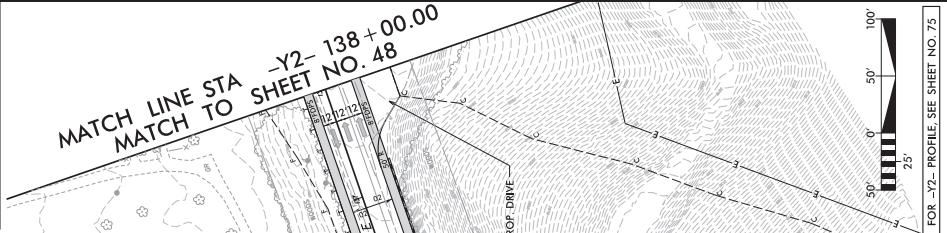
DO NOT REMOVE SIGN BEFORE SIGN IS IN PLACE

MATCH LINE STA -Y2- 124+00.00  
MATCH TO SHEET NO. 46

MATCH LINE STA -Y2- 138+00.00  
MATCH TO SHEET NO. 48

REVISIONS

NO.	DESCRIPTION



FOR -Y2- PROFILE SEE SHEET NO. 75











County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
<b>ROADWAY ITEMS</b>						
0001	0000100000-N	800	MOBILIZATION	Lump Sum	L.S.	
0002	0000400000-N	801	CONSTRUCTION SURVEYING	Lump Sum	L.S.	
0003	0001000000-E	200	CLEARING & GRUBBING .. ACRE(S)	Lump Sum	L.S.	
0004	0008000000-E	200	SUPPLEMENTARY CLEARING & GRUBBING	2 ACR		
0005	0022000000-E	225	UNCLASSIFIED EXCAVATION	410,000 CY		
0006	0036000000-E	225	UNDERCUT EXCAVATION	22,680 CY		
0007	0134000000-E	240	DRAINAGE DITCH EXCAVATION	800 CY		
0008	0156000000-E	250	REMOVAL OF EXISTING ASPHALT PAVEMENT	7,750 SY		
0009	0194000000-E	265	SELECT GRANULAR MATERIAL, CLASS III	13,630 CY		
0010	0196000000-E	270	GEOTEXTILE FOR SOIL STABILIZATION	11,450 SY		
0011	0199000000-E	SP	TEMPORARY SHORING	630 SF		
0012	0222000000-E	SP	GEOTEXTILE FOR ROCK EMBANKMENTS	3,510 SY		
0013	0241000000-E	SP	GENERIC GRADING ITEM GEOTEXTILE FOR EMBANKMENT STABILIZATION	2,980 SY		
0014	0241000000-E	SP	GENERIC GRADING ITEM GEOTEXTILE FOR ROCK FILL	300 SY		
0015	0241000000-E	SP	GENERIC GRADING ITEM PRE-SPLITTING OF ROCK	7,600 SY		
0016	0241000000-E	SP	GENERIC GRADING ITEM WIRE MESH (TYPE 1)	750 SY		
0017	0241000000-E	SP	GENERIC GRADING ITEM WIRE MESH (TYPE 2)	750 SY		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0018	0255000000-E	SP	GENERIC GRADING ITEM HAULING AND DISPOSAL OF PETROLEUM CONTAMINATED SOIL	50 TON		
0019	0255000000-E	SP	GENERIC GRADING ITEM ROCK FILL FOR EMBANKMENT STABILIZATION	600 TON		
0020	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS IV FOR ROCK EMBANKMENTS	910 TON		
0021	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK EMBANKMENTS	1,340 TON		
0022	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK FILL	150 TON		
0023	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VII FOR ROCK EMBANKMENTS	5,270 TON		
0024	0257000000-E	SP	GENERIC GRADING ITEM HORIZONTAL DRAINS	210 LF		
0025	0257000000-E	SP	GENERIC GRADING ITEM TENSIONED SPOT ROCK BOLT - 75 KIPS	3,060 LF		
0026	0257000000-E	SP	GENERIC GRADING ITEM UNTENSIONED ROCK ANCHOR	3,000 LF		
0027	0318000000-E	300	FOUNDATION CONDITIONING MATERIAL, MINOR STRUCTURES	430 TON		
0028	0321000000-E	300	FOUNDATION CONDITIONING GEOTEXTILE	1,340 SY		
0029	0335200000-E	305	15" DRAINAGE PIPE	732 LF		
0030	0335300000-E	305	18" DRAINAGE PIPE	452 LF		
0031	0335850000-E	305	*** DRAINAGE PIPE ELBOWS (18")	1 EA		
0032	0384000000-E	310	30" RC PIPE CULVERTS, CLASS III	80 LF		
0033	0448200000-E	310	15" RC PIPE CULVERTS, CLASS IV	1,428 LF		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0034	0448300000-E	310	18" RC PIPE CULVERTS, CLASS IV	704 LF		
0035	0448500000-E	310	30" RC PIPE CULVERTS, CLASS IV	76 LF		
0036	0546000000-E	310	*** CAA PIPE CULVERTS, ***** THICK (60", 0.135")	100 LF		
0037	0588000000-E	310	18" CS PIPE CULVERTS, 0.064" THICK	396 LF		
0038	0600000000-E	310	30" CS PIPE CULVERTS, 0.079" THICK	40 LF		
0039	0636000000-E	310	*** CS PIPE ELBOWS, ***** THICK (18", 0.064")	6 EA		
0040	0973100000-E	330	*** WELDED STEEL PIPE, ***** THICK, GRADE B IN SOIL (60", 0.500")	73 LF		
0041	0973300000-E	330	*** WELDED STEEL PIPE, ***** THICK, GRADE B NOT IN SOIL (60", 0.500")	73 LF		
0042	0995000000-E	340	PIPE REMOVAL	1,093 LF		
0043	1011000000-N	500	FINE GRADING	Lump Sum	L.S.	
0044	1099500000-E	505	SHALLOW UNDERCUT	300 CY		
0045	1099700000-E	505	CLASS IV SUBGRADE STABILIZATION	3,160 TON		
0046	1110000000-E	510	STABILIZER AGGREGATE	150 TON		
0047	1112000000-E	505	GEOTEXTILE FOR SUBGRADE STABILIZATION	6,760 SY		
0048	1121000000-E	520	AGGREGATE BASE COURSE	1,800 TON		
0049	1220000000-E	545	INCIDENTAL STONE BASE	4,000 TON		
0050	1297000000-E	607	MILLING ASPHALT PAVEMENT, **** DEPTH (1-1/2")	2,000 SY		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0051	1308000000-E	607	MILLING ASPHALT PAVEMENT, ***** TO (0" TO 3")	1,000 SY		
0052	1330000000-E	607	INCIDENTAL MILLING	400 SY		
0053	1491000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0C	8,900 TON		
0054	1503000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0C	6,300 TON		
0055	1523000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5C	8,100 TON		
0056	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	1,200 TON		
0057	1693000000-E	654	ASPHALT PLANT MIX, PAVEMENT REPAIR	1,000 TON		
0058	2022000000-E	815	SUBDRAIN EXCAVATION	268.8 CY		
0059	2026000000-E	815	GEOTEXTILE FOR SUBSURFACE DRAINS	1,200 SY		
0060	2036000000-E	815	SUBDRAIN COARSE AGGREGATE	201.6 CY		
0061	2044000000-E	815	6" PERFORATED SUBDRAIN PIPE	1,200 LF		
0062	2070000000-N	815	SUBDRAIN PIPE OUTLET	3 EA		
0063	2077000000-E	815	6" OUTLET PIPE	18 LF		
0064	2190000000-N	828	TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE	3 EA		
0065	2220000000-E	838	REINFORCED ENDWALLS	5.9 CY		
0066	2253000000-E	840	PIPE COLLARS	0.447 CY		
0067	2264000000-E	840	PIPE PLUGS	0.013 CY		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0068	2275000000-E	SP	FLOWABLE FILL	146 CY		
0069	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	58 EA		
0070	2297000000-E	840	MASONRY DRAINAGE STRUCTURES	8.8 CY		
0071	2308000000-E	840	MASONRY DRAINAGE STRUCTURES	6.8 LF		
0072	2364000000-N	840	FRAME WITH TWO GRATES, STD 840.16	2 EA		
0073	2364200000-N	840	FRAME WITH TWO GRATES, STD 840.20	12 EA		
0074	2365000000-N	840	FRAME WITH TWO GRATES, STD 840.22	5 EA		
0075	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	2 EA		
0076	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)	2 EA		
0077	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (F)	17 EA		
0078	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)	22 EA		
0079	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA		
0080	2451000000-N	852	CONCRETE TRANSITIONAL SECTION FOR DROP INLET	4 EA		
0081	2535000000-E	846	*** X *** CONCRETE CURB (8" X 12")	205 LF		
0082	2549000000-E	846	2'-6" CONCRETE CURB & GUTTER	7,750 LF		
0083	2556000000-E	846	SHOULDER BERM GUTTER	340 LF		
0084	2605000000-N	848	CONCRETE CURB RAMPS	4 EA		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0085	2612000000-E	848	6" CONCRETE DRIVEWAY	230 SY		
0086	2619000000-E	850	4" CONCRETE PAVED DITCH	145 SY		
0087	2647000000-E	852	5" MONOLITHIC CONCRETE ISLANDS (SURFACE MOUNTED)	350 SY		
0088	2717000000-E	854	VARIABLE HEIGHT CONCRETE BARRIER, TYPE ***** (T-2)	1,470 LF		
0089	2724000000-E	857	PRECAST REINFORCED CONCRETE BARRIER, SINGLE FACED	225 LF		
0090	2738000000-E	SP	GENERIC PAVING ITEM 4" CONCRETE MULTI-USE PATH	3,610 SY		
0091	2830000000-N	858	ADJUSTMENT OF MANHOLES	1 EA		
0092	2920000000-N	859	CONVERT EXISTING DROP INLET TO CATCH BASIN	1 EA		
0093	2965000000-N	859	CONVERT EXISTING JUNCTION BOX TO CATCH BASIN	4 EA		
0094	3030000000-E	862	STEEL BEAM GUARDRAIL	7,700 LF		
0095	3045000000-E	862	STEEL BEAM GUARDRAIL, SHOP CURVED	237.5 LF		
0096	3150000000-N	862	ADDITIONAL GUARDRAIL POSTS	10 EA		
0097	3195000000-N	862	GUARDRAIL END UNITS, TYPE AT-1	3 EA		
0098	3210000000-N	862	GUARDRAIL END UNITS, TYPE CAT-1	1 EA		
0099	3287000000-N	862	GUARDRAIL END UNITS, TYPE TL-3	24 EA		
0100	3288000000-N	862	GUARDRAIL END UNITS, TYPE TL-2	1 EA		
0101	3317000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE B- 77	6 EA		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0102	3360000000-E	863	REMOVE EXISTING GUARDRAIL	3,310 LF		
0103	3380000000-E	862	TEMPORARY STEEL BEAM GUARDRAIL	556.25 LF		
0104	3382000000-E	862	TEMPORARY STEEL BEAM GUARDRAIL, SHOP CURVED	25 LF		
0105	3389150000-N	862	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (AT-1)	1 EA		
0106	3389150000-N	862	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (TL-3)	5 EA		
0107	3420000000-E	SP	GENERIC GUARDRAIL ITEM WOOD RUB RAIL	2,750 LF		
0108	3569000000-E	867	BARBED WIRE FENCE RESET	300 LF		
0109	3575000000-E	SP	GENERIC FENCING ITEM HANDRAIL ON RETAINING WALL	220 LF		
0110	3575000000-E	SP	GENERIC FENCING ITEM TIMBER RAIL FENCE	3,250 LF		
0111	3628000000-E	876	RIP RAP, CLASS I	235 TON		
0112	3635000000-E	876	RIP RAP, CLASS II	125 TON		
0113	3642000000-E	876	RIP RAP, CLASS A	850 TON		
0114	3649000000-E	876	RIP RAP, CLASS B	1,050 TON		
0115	3656000000-E	876	GEOTEXTILE FOR DRAINAGE	2,700 SY		
0116	3684000000-E	SP	GENERIC EROSION CONTROL ITEM NATURAL LANDSCAPE STONE	50 TON		
0117	4072000000-E	903	SUPPORTS, 3-LB STEEL U-CHANNEL	650 LF		
0118	4096000000-N	904	SIGN ERECTION, TYPE D	7 EA		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0119	4102000000-N	904	SIGN ERECTION, TYPE E	22 EA		
0120	4116100000-N	904	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (E)	2 EA		
0121	4116100000-N	904	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (F)	1 EA		
0122	4155000000-N	907	DISPOSAL OF SIGN SYSTEM, U- CHANNEL	30 EA		
0123	4192000000-N	907	DISPOSAL OF SUPPORT, U-CHANNEL	1 EA		
0124	4360000000-N	SP	GENERIC SIGNING ITEM RECTANGULAR RAPID FLASHING BEACON ASSEMBLY (RRFB) REMOVAL	4 EA		
0125	4400000000-E	1110	WORK ZONE SIGNS (STATIONARY)	366 SF		
0126	4405000000-E	1110	WORK ZONE SIGNS (PORTABLE)	288 SF		
0127	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	54 SF		
0128	4415000000-N	1115	FLASHING ARROW BOARD	1 EA		
0129	4420000000-N	1120	PORTABLE CHANGEABLE MESSAGE SIGN	1 EA		
0130	4430000000-N	1130	DRUMS	345 EA		
0131	4435000000-N	1135	CONES	50 EA		
0132	4445000000-E	1145	BARRICADES (TYPE III)	82 LF		
0133	4447000000-E	SP	PEDESTRIAN CHANNELIZING DEVICES	50 LF		
0134	4455000000-N	1150	FLAGGER	800 DAY		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0135	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	2 EA		
0136	4470000000-N	1160	REMOVE & RESET TEMPORARY CRASH CUSHION	2 EA		
0137	4480000000-N	1165	TMA	1 EA		
0138	4490000000-E	1170	PORTABLE CONCRETE BARRIER (ANCHORED)	365 LF		
0139	4505000000-E	1170	REMOVE & RESET PORTABLE CONCRETE BARRIER (ANCHORED)	225 LF		
0140	4650000000-N	1251	TEMPORARY RAISED PAVEMENT MARKERS	283 EA		
0141	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	31,338 LF		
0142	4700000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (12", 90 MILS)	885 LF		
0143	4709000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (24", 90 MILS)	171 LF		
0144	4725000000-E	1205	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	10 EA		
0145	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	44,214 LF		
0146	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	146 LF		
0147	4850000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (4")	2,998 LF		
0148	4865000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (12")	280 LF		
0149	4870000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (24")	20 LF		
0150	4875000000-N	1205	REMOVAL OF PAVEMENT MARKING SYMBOLS & CHARACTERS	1 EA		
0151	4895000000-N	SP	GENERIC PAVEMENT MARKING ITEM POLYCARBONATE H-SHAPED MARKERS	256 EA		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0152	6000000000-E	1605	TEMPORARY SILT FENCE	39,600 LF		
0153	6006000000-E	1610	STONE FOR EROSION CONTROL, CLASS A	1,325 TON		
0154	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	8,500 TON		
0155	6012000000-E	1610	SEDIMENT CONTROL STONE	4,100 TON		
0156	6015000000-E	1615	TEMPORARY MULCHING	54 ACR		
0157	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	2,100 LB		
0158	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEEDING	12 TON		
0159	6024000000-E	1622	TEMPORARY SLOPE DRAINS	2,750 LF		
0160	6029000000-E	SP	SAFETY FENCE	10,600 LF		
0161	6030000000-E	1630	SILT EXCAVATION	23,050 CY		
0162	6036000000-E	1631	MATTING FOR EROSION CONTROL	114,255 SY		
0163	6037000000-E	1629	COIR FIBER MAT	57,420 SY		
0164	6042000000-E	1632	1/4" HARDWARE CLOTH	6,550 LF		
0165	6043000000-E	1644	LOW PERMEABILITY GEOTEXTILE	2,400 SY		
0166	6045000000-E	SP	*** TEMPORARY PIPE (36")	55 LF		
0167	6070000000-N	1639	SPECIAL STILLING BASINS	16 EA		
0168	6071002000-E	1642	FLOCCULANT	1,300 LB		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0169	6071012000-E	1642	COIR FIBER WATTLE	30 LF		
0170	6071013000-E	1642	WATTLE BARRIER	4,250 LF		
0171	6071030000-E	1640	COIR FIBER BAFFLE	3,725 LF		
0172	6071050000-E	1644	*** SKIMMER (1-1/2")	19 EA		
0173	6084000000-E	1660	SEEDING & MULCHING	54 ACR		
0174	6087000000-E	1660	MOWING	60 ACR		
0175	6090000000-E	1661	SEED FOR REPAIR SEEDING	550 LB		
0176	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	2.5 TON		
0177	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	1,250 LB		
0178	6108000000-E	1665	FERTILIZER TOPDRESSING	37 TON		
0179	6111000000-E	SP	IMPERVIOUS DIKE	650 LF		
0180	6114500000-N	1667	SPECIALIZED HAND MOWING	50 MHR		
0181	6114800000-N	SP	MANUAL LITTER REMOVAL	30 MHR		
0182	6114900000-E	SP	LITTER DISPOSAL	3 TON		
0183	6117000000-N	1675	RESPONSE FOR EROSION CONTROL	150 EA		
0184	6117500000-N	SP	CONCRETE WASHOUT STRUCTURE	3 EA		
0185	6123000000-E	1670	REFORESTATION	0.5 ACR		

County: GRAHAM

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0186	6126000000-E	SP	STREAMBANK REFORESTATION	0.17 ACR		
0187	6132000000-N	SP	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION CLEANOUT	400 EA		
0188	6132000000-N	SP	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION, TYPE 1	135 EA		
0189	6132000000-N	SP	GENERIC EROSION CONTROL ITEM PREFABRICATED CONCRETE WASHOUT	15 EA		
<b>CULVERT ITEMS</b>						
0190	8130000000-N	414	BOX CULVERT EXCAVATION, STA ***** (10+67.00 -Y3A-)	Lump Sum	L.S.	
0191	8130000000-N	414	BOX CULVERT EXCAVATION, STA ***** (99+82.00 -Y2-)	Lump Sum	L.S.	
0192	8133000000-E	414	FOUNDATION CONDITIONING MATERIAL, BOX CULVERT	157 TON		
0193	8196000000-E	420	CLASS A CONCRETE (CULVERT)	200.8 CY		
0194	8245000000-E	425	REINFORCING STEEL (CULVERT)	21,230 LB		
<b>WALL ITEMS</b>						
0195	8802010000-E	SP	SOIL NAIL RETAINING WALLS	1,440 SF		
0196	8802015100-N	SP	SOIL NAIL VERIFICATION TESTS	1 EA		
0197	8802015110-N	SP	SOIL NAIL PROOF TESTS	5 EA		

Vendor 1 of 3: WATSON CONTRACTING INC (7896)  
Call Order 012 (Proposal: C205159)

### Bid Information

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<b>Proposal County:</b> GRAHAM	<b>Bid Checksum:</b> 57A538F44F
<b>Vendor Address:</b> 3940 OLD MURPHY ROAD FRANKLIN , NC , 28734	<b>Bid Total:</b> \$42,945,300.00
<b>Signature Check:</b> KEVIN EUGENE WATSON	<b>Items Total:</b> \$42,945,300.00
<b>Time Bid Received:</b> May 19, 2026 01:52 PM	<b>Time Total:</b> \$0.00
<b>Amendment Count:</b> 0	

**Bidding Errors:**  
None.

Vendor 1 of 3: WATSON CONTRACTING INC (7896)  
Call Order 012 (Proposal: C205159)

**Bid Bond Information**

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<b>Projects:</b>	<b>Bond Maximum:</b>
<b>Counties:</b>	<b>State of Incorporation:</b>
<b>Bond ID:</b> SNC0518566068	<b>Agency Execution Date:</b> 05/18/2026 04:54:17 PM
<b>Paid by Check:</b> No	<b>Surety Name:</b> Surety2000
<b>Bond Percent:</b> 5%	<b>Bond Agency Name:</b> Atlantic Specialty Insurance Company

BondID: SNC0518566068

Surety Registry Agency: Surety2000

Verified?: 1

Surety Agency: Atlantic Specialty Insurance Company

Bond Execution Date: 05/18/2026 04:54:17 PM

Line Number	Item Number	Quantity	Unit	Unit Price	Extension Price
Section 0001 ROADWAY ITEMS					
0001	0000100000-N MOBILIZATION	1.000	LS	\$2,145,000.0000	\$2,145,000.00
0002	0000400000-N CONSTRUCTION SURVEYING	1.000	LS	\$550,000.0000	\$550,000.00
0003	0001000000-E CLEARING & GRUBBING .. ACRE(S)	1	LS	\$3,275,000.0000	\$3,275,000.00
0004	0008000000-E SUPPLEMENTARY CLEARING & GRUBBING	2.000	ACR	\$18,000.0000	\$36,000.00
0005	0022000000-E UNCLASSIFIED EXCAVATION	410000.000	CY	\$33.0000	\$13,530,000.00
0006	0036000000-E UNDERCUT EXCAVATION	22680.000	CY	\$12.0000	\$272,160.00
0007	0134000000-E DRAINAGE DITCH EXCAVATION	800.000	CY	\$25.0000	\$20,000.00
0008	0156000000-E REMOVAL OF EXISTING ASPHALT PAVEMENT	7750.000	SY	\$6.5000	\$50,375.00
0009	0194000000-E SELECT GRANULAR MATERIAL, CLASS III	13630.000	CY	\$55.0000	\$749,650.00
0010	0196000000-E GEOTEXTILE FOR SOIL STABILIZATION	11450.000	SY	\$4.5000	\$51,525.00
0011	0199000000-E TEMPORARY SHORING	630.000	SF	\$225.0000	\$141,750.00
0012	0222000000-E GEOTEXTILE FOR ROCK EMBANKMENTS	3510.000	SY	\$4.5000	\$15,795.00
0013	0241000000-E GENERIC GRADING ITEM GEOTEXTILE FOR EMBANKMENT STABILIZATION	2980.000	SY	\$4.5000	\$13,410.00
0014	0241000000-E GENERIC GRADING ITEM GEOTEXTILE FOR ROCK FILL	300.000	SY	\$4.5000	\$1,350.00
0015	0241000000-E GENERIC GRADING ITEM PRE-SPLITTING OF ROCK	7600.000	SY	\$80.0000	\$608,000.00
0016	0241000000-E GENERIC GRADING ITEM WIRE MESH (TYPE 1)	750.000	SY	\$315.0000	\$236,250.00
0017	0241000000-E GENERIC GRADING ITEM WIRE MESH (TYPE 2)	750.000	SY	\$345.0000	\$258,750.00
0018	0255000000-E GENERIC GRADING ITEM HAULING AND DISPOSAL OF PETROLEUM CONTAMINATED SOIL	50.000	TON	\$250.0000	\$12,500.00
0019	0255000000-E GENERIC GRADING ITEM ROCK FILL FOR EMBANKMENT STABILIZATION	600.000	TON	\$88.5000	\$53,100.00
0020	0255000000-E GENERIC GRADING ITEM SELECT MATERIAL, CLASS IV FOR ROCK EMBANKMENTS	910.000	TON	\$88.5000	\$80,535.00
0021	0255000000-E GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK EMBANKMENTS	1340.000	TON	\$88.5000	\$118,590.00
0022	0255000000-E GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK FILL	150.000	TON	\$88.5000	\$13,275.00
0023	0255000000-E GENERIC GRADING ITEM SELECT MATERIAL, CLASS VII FOR ROCK EMBANKMENTS	5270.000	TON	\$88.5000	\$466,395.00

0024	0257000000-E	210.000 LF	\$575.0000	\$120,750.00
	GENERIC GRADING ITEM HORIZONTAL DRAINS			
0025	0257000000-E	3060.000 LF	\$425.0000	\$1,300,500.00
	GENERIC GRADING ITEM TENSIONED SPOT ROCK BOLT - 75 KIPS			
0026	0257000000-E	3000.000 LF	\$630.0000	\$1,890,000.00
	GENERIC GRADING ITEM UNTENSIONED ROCK ANCHOR			
0027	0318000000-E	430.000 TON	\$65.0000	\$27,950.00
	FOUNDATION CONDITIONING MATERIAL, MINOR STRUCTURES			
0028	0321000000-E	1340.000 SY	\$4.5000	\$6,030.00
	FOUNDATION CONDITIONING GEOTEXTILE			
0029	0335200000-E	732.000 LF	\$140.0000	\$102,480.00
	15" DRAINAGE PIPE			
0030	0335300000-E	452.000 LF	\$144.0000	\$65,088.00
	18" DRAINAGE PIPE			
0031	0335850000-E	1.000 EA	\$950.0000	\$950.00
	**" DRAINAGE PIPE ELBOWS (18")			
0032	0384000000-E	80.000 LF	\$235.0000	\$18,800.00
	30" RC PIPE CULVERTS, CLASS III			
0033	0448200000-E	1428.000 LF	\$130.0000	\$185,640.00
	15" RC PIPE CULVERTS, CLASS IV			
0034	0448300000-E	704.000 LF	\$210.0000	\$147,840.00
	18" RC PIPE CULVERTS, CLASS IV			
0035	0448500000-E	76.000 LF	\$275.0000	\$20,900.00
	30" RC PIPE CULVERTS, CLASS IV			
0036	0546000000-E	100.000 LF	\$1,400.0000	\$140,000.00
	**" CAA PIPE CULVERTS, ***** THICK (60", 0.135")			
0037	0588000000-E	396.000 LF	\$175.0000	\$69,300.00
	18" CS PIPE CULVERTS, 0.064" THICK			
0038	0600000000-E	40.000 LF	\$340.0000	\$13,600.00
	30" CS PIPE CULVERTS, 0.079" THICK			
0039	0636000000-E	6.000 EA	\$1,600.0000	\$9,600.00
	**" CS PIPE ELBOWS, ***** THICK (18", 0.064")			
0040	0973100000-E	73.000 LF	\$2,500.0000	\$182,500.00
	**" WELDED STEEL PIPE, ***** THICK, GRADE B IN SOIL (60", 0.500")			
0041	0973300000-E	73.000 LF	\$6,250.0000	\$456,250.00
	**" WELDED STEEL PIPE, ***** THICK, GRADE B NOT IN SOIL (60", 0.500")			
0042	0995000000-E	1093.000 LF	\$50.0000	\$54,650.00
	PIPE REMOVAL			
0043	1011000000-N	1.000 LS	\$2,250,000.0000	\$2,250,000.00
	FINE GRADING			
0044	1099500000-E	300.000 CY	\$35.0000	\$10,500.00
	SHALLOW UNDERCUT			
0045	1099700000-E	3160.000 TON	\$60.0000	\$189,600.00
	CLASS IV SUBGRADE STABILIZATION			
0046	1110000000-E	150.000 TON	\$88.5000	\$13,275.00
	STABILIZER AGGREGATE			
0047	1112000000-E	6760.000 SY	\$6.0000	\$40,560.00
	GEOTEXTILE FOR SUBGRADE STABILIZATION			
0048	1121000000-E	1800.000 TON	\$60.0000	\$108,000.00

AGGREGATE BASE COURSE

0049	1220000000-E	4000.000 TON	\$60.0000	\$240,000.00
	INCIDENTAL STONE BASE			
0050	1297000000-E	2000.000 SY	\$4.2500	\$8,500.00
	MILLING ASPHALT PAVEMENT, ***" DEPTH (1-1/2")			
0051	1308000000-E	1000.000 SY	\$4.2500	\$4,250.00
	MILLING ASPHALT PAVEMENT, ***" TO *****" (0" TO 3")			
0052	1330000000-E	400.000 SY	\$15.0000	\$6,000.00
	INCIDENTAL MILLING			
0053	1491000000-E	8900.000 TON	\$140.0000	\$1,246,000.00
	ASPHALT CONC BASE COURSE, TYPE B25.0C			
0054	1503000000-E	6300.000 TON	\$142.0000	\$894,600.00
	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0C			
0055	1523000000-E	8100.000 TON	\$135.0000	\$1,093,500.00
	ASPHALT CONC SURFACE COURSE, TYPE S9.5C			
0056	1575000000-E	1200.000 TON	\$840.0000	\$1,008,000.00
	ASPHALT BINDER FOR PLANT MIX			
0057	1693000000-E	1000.000 TON	\$215.0000	\$215,000.00
	ASPHALT PLANT MIX, PAVEMENT REPAIR			
0058	2022000000-E	268.800 CY	\$30.0000	\$8,064.00
	SUBDRAIN EXCAVATION			
0059	2026000000-E	1200.000 SY	\$4.5000	\$5,400.00
	GEOTEXTILE FOR SUBSURFACE DRAINS			
0060	2036000000-E	201.600 CY	\$120.0000	\$24,192.00
	SUBDRAIN COARSE AGGREGATE			
0061	2044000000-E	1200.000 LF	\$53.0000	\$63,600.00
	6" PERFORATED SUBDRAIN PIPE			
0062	2070000000-N	3.000 EA	\$1,200.0000	\$3,600.00
	SUBDRAIN PIPE OUTLET			
0063	2077000000-E	18.000 LF	\$60.0000	\$1,080.00
	6" OUTLET PIPE			
0064	2190000000-N	3.000 EA	\$975.0000	\$2,925.00
	TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE			
0065	2220000000-E	5.900 CY	\$3,000.0000	\$17,700.00
	REINFORCED ENDWALLS			
0066	2253000000-E	0.447 CY	\$894.8500	\$400.00
	PIPE COLLARS			
0067	2264000000-E	0.013 CY	\$25,000.0000	\$325.00
	PIPE PLUGS			
0068	2275000000-E	146.000 CY	\$450.0000	\$65,700.00
	FLOWABLE FILL			
0069	2286000000-N	58.000 EA	\$3,400.0000	\$197,200.00
	MASONRY DRAINAGE STRUCTURES			
0070	2297000000-E	8.800 CY	\$2,200.0000	\$19,360.00
	MASONRY DRAINAGE STRUCTURES			
0071	2308000000-E	6.800 LF	\$600.0000	\$4,080.00
	MASONRY DRAINAGE STRUCTURES			
0072	2364000000-N	2.000 EA	\$700.0000	\$1,400.00
	FRAME WITH TWO GRATES, STD 840.16			

0073	2364200000-N	12.000 EA	\$1,300.0000	\$15,600.00
	FRAME WITH TWO GRATES, STD 840.20			
0074	2365000000-N	5.000 EA	\$1,975.0000	\$9,875.00
	FRAME WITH TWO GRATES, STD 840.22			
0075	2367000000-N	2.000 EA	\$1,250.0000	\$2,500.00
	FRAME WITH TWO GRATES, STD 840.29			
0076	2374000000-N	2.000 EA	\$1,100.0000	\$2,200.00
	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)			
0077	2374000000-N	17.000 EA	\$1,100.0000	\$18,700.00
	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (F)			
0078	2374000000-N	22.000 EA	\$1,100.0000	\$24,200.00
	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)			
0079	2396000000-N	1.000 EA	\$700.0000	\$700.00
	FRAME WITH COVER, STD 840.54			
0080	2451000000-N	4.000 EA	\$2,650.0000	\$10,600.00
	CONCRETE TRANSITIONAL SECTION FOR DROP INLET			
0081	2535000000-E	205.000 LF	\$65.0000	\$13,325.00
	*** X *** CONCRETE CURB (8" X 12")			
0082	2549000000-E	7750.000 LF	\$42.0000	\$325,500.00
	2'-6" CONCRETE CURB & GUTTER			
0083	2556000000-E	340.000 LF	\$50.0000	\$17,000.00
	SHOULDER BERM GUTTER			
0084	2605000000-N	4.000 EA	\$3,800.0000	\$15,200.00
	CONCRETE CURB RAMPS			
0085	2612000000-E	230.000 SY	\$170.0000	\$39,100.00
	6" CONCRETE DRIVEWAY			
0086	2619000000-E	145.000 SY	\$250.0000	\$36,250.00
	4" CONCRETE PAVED DITCH			
0087	2647000000-E	350.000 SY	\$240.0000	\$84,000.00
	5" MONOLITHIC CONCRETE ISLANDS (SURFACE MOUNTED)			
0088	2717000000-E	1470.000 LF	\$525.0000	\$771,750.00
	VARIABLE HEIGHT CONCRETE BARRIER, TYPE ***** (T-2)			
0089	2724000000-E	225.000 LF	\$360.0000	\$81,000.00
	PRECAST REINFORCED CONCRETE BARRIER, SINGLE FACED			
0090	2738000000-E	3610.000 SY	\$90.0000	\$324,900.00
	GENERIC PAVING ITEM 4" CONCRETE MULTI-USE PATH			
0091	2830000000-N	1.000 EA	\$2,500.0000	\$2,500.00
	ADJUSTMENT OF MANHOLES			
0092	2920000000-N	1.000 EA	\$2,500.0000	\$2,500.00
	CONVERT EXISTING DROP INLET TO CATCH BASIN			
0093	2965000000-N	4.000 EA	\$2,500.0000	\$10,000.00
	CONVERT EXISTING JUNCTION BOX TO CATCH BASIN			
0094	3030000000-E	7700.000 LF	\$28.0000	\$215,600.00
	STEEL BEAM GUARDRAIL			
0095	3045000000-E	237.500 LF	\$32.0000	\$7,600.00
	STEEL BEAM GUARDRAIL, SHOP CURVED			
0096	3150000000-N	10.000 EA	\$90.0000	\$900.00
	ADDITIONAL GUARDRAIL POSTS			
0097	3195000000-N	3.000 EA	\$1,250.0000	\$3,750.00

GUARDRAIL END UNITS, TYPE AT-1				
0098	3210000000-N	1.000 EA	\$1,100.0000	\$1,100.00
	GUARDRAIL END UNITS, TYPE CAT-1			
0099	3287000000-N	24.000 EA	\$3,500.0000	\$84,000.00
	GUARDRAIL END UNITS, TYPE TL-3			
0100	3288000000-N	1.000 EA	\$2,650.0000	\$2,650.00
	GUARDRAIL END UNITS, TYPE TL-2			
0101	3317000000-N	6.000 EA	\$3,500.0000	\$21,000.00
	GUARDRAIL ANCHOR UNITS, TYPE B-77			
0102	3360000000-E	3310.000 LF	\$2.5000	\$8,275.00
	REMOVE EXISTING GUARDRAIL			
0103	3380000000-E	556.250 LF	\$14.0000	\$7,787.50
	TEMPORARY STEEL BEAM GUARDRAIL			
0104	3382000000-E	25.000 LF	\$26.0000	\$650.00
	TEMPORARY STEEL BEAM GUARDRAIL, SHOP CURVED			
0105	3389150000-N	1.000 EA	\$800.0000	\$800.00
	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (AT-1)			
0106	3389150000-N	5.000 EA	\$1,300.0000	\$6,500.00
	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (TL-3)			
0107	3420000000-E	2750.000 LF	\$8.5000	\$23,375.00
	GENERIC GUARDRAIL ITEM WOOD RUB RAIL			
0108	3569000000-E	300.000 LF	\$15.0000	\$4,500.00
	BARBED WIRE FENCE RESET			
0109	3575000000-E	220.000 LF	\$350.0000	\$77,000.00
	GENERIC FENCING ITEM HANDRAIL ON RETAINING WALL			
0110	3575000000-E	3250.000 LF	\$50.0000	\$162,500.00
	GENERIC FENCING ITEM TIMBER RAIL FENCE			
0111	3628000000-E	235.000 TON	\$83.5000	\$19,622.50
	RIP RAP, CLASS I			
0112	3635000000-E	125.000 TON	\$83.5000	\$10,437.50
	RIP RAP, CLASS II			
0113	3642000000-E	850.000 TON	\$83.5000	\$70,975.00
	RIP RAP, CLASS A			
0114	3649000000-E	1050.000 TON	\$83.5000	\$87,675.00
	RIP RAP, CLASS B			
0115	3656000000-E	2700.000 SY	\$4.5000	\$12,150.00
	GEOTEXTILE FOR DRAINAGE			
0116	3684000000-E	50.000 TON	\$475.0000	\$23,750.00
	GENERIC EROSION CONTROL ITEM NATURAL LANDSCAPE STONE			
0117	4072000000-E	650.000 LF	\$13.5000	\$8,775.00
	SUPPORTS, 3-LB STEEL U-CHANNEL			
0118	4096000000-N	7.000 EA	\$350.0000	\$2,450.00
	SIGN ERECTION, TYPE D			
0119	4102000000-N	22.000 EA	\$300.0000	\$6,600.00
	SIGN ERECTION, TYPE E			
0120	4116100000-N	2.000 EA	\$175.0000	\$350.00
	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (E)			
0121	4116100000-N	1.000 EA	\$175.0000	\$175.00
	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (F)			

0122	4155000000-N	30.000 EA	\$25.0000	\$750.00
	DISPOSAL OF SIGN SYSTEM, U-CHANNEL			
0123	4192000000-N	1.000 EA	\$25.0000	\$25.00
	DISPOSAL OF SUPPORT, U-CHANNEL			
0124	4360000000-N	4.000 EA	\$1,601.0000	\$6,404.00
	GENERIC SIGNING ITEM RECTANGULAR RAPID FLASHING BEACON ASSEMBLY (RRFB) REMOVAL			
0125	4400000000-E	366.000 SF	\$12.5000	\$4,575.00
	WORK ZONE SIGNS (STATIONARY)			
0126	4405000000-E	288.000 SF	\$30.0000	\$8,640.00
	WORK ZONE SIGNS (PORTABLE)			
0127	4410000000-E	54.000 SF	\$10.0000	\$540.00
	WORK ZONE SIGNS (BARRICADE MOUNTED)			
0128	4415000000-N	1.000 EA	\$5,000.0000	\$5,000.00
	FLASHING ARROW BOARD			
0129	4420000000-N	1.000 EA	\$21,500.0000	\$21,500.00
	PORTABLE CHANGEABLE MESSAGE SIGN			
0130	4430000000-N	345.000 EA	\$52.0000	\$17,940.00
	DRUMS			
0131	4435000000-N	50.000 EA	\$47.0000	\$2,350.00
	CONES			
0132	4445000000-E	82.000 LF	\$30.0000	\$2,460.00
	BARRICADES (TYPE III)			
0133	4447000000-E	50.000 LF	\$65.0000	\$3,250.00
	PEDESTRIAN CHANNELIZING DEVICES			
0134	4455000000-N	800.000 DAY	\$550.0000	\$440,000.00
	FLAGGER			
0135	4465000000-N	2.000 EA	\$10,500.0000	\$21,000.00
	TEMPORARY CRASH CUSHIONS			
0136	4470000000-N	2.000 EA	\$4,000.0000	\$8,000.00
	REMOVE & RESET TEMPORARY CRASH CUSHION			
0137	4480000000-N	1.000 EA	\$30,000.0000	\$30,000.00
	TMA			
0138	4490000000-E	365.000 LF	\$85.0000	\$31,025.00
	PORTABLE CONCRETE BARRIER (ANCHORED)			
0139	4505000000-E	225.000 LF	\$40.0000	\$9,000.00
	REMOVE & RESET PORTABLE CONCRETE BARRIER (ANCHORED)			
0140	4650000000-N	283.000 EA	\$16.5000	\$4,669.50
	TEMPORARY RAISED PAVEMENT MARKERS			
0141	4685000000-E	31338.000 LF	\$1.7500	\$54,841.50
	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)			
0142	4700000000-E	885.000 LF	\$8.7000	\$7,699.50
	THERMOPLASTIC PAVEMENT MARKING LINES (12", 90 MILS)			
0143	4709000000-E	171.000 LF	\$17.2500	\$2,949.75
	THERMOPLASTIC PAVEMENT MARKING LINES (24", 90 MILS)			
0144	4725000000-E	10.000 EA	\$315.0000	\$3,150.00
	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)			
0145	4810000000-E	44214.000 LF	\$0.7500	\$33,160.50
	PAINT PAVEMENT MARKING LINES (4")			
0146	4835000000-E	146.000 LF	\$6.5000	\$949.00

PAINT PAVEMENT MARKING LINES (24")

0147	4850000000-E	2998.000	LF	\$1.0000	\$2,998.00
	REMOVAL OF PAVEMENT MARKING LINES (4")				
0148	4865000000-E	280.000	LF	\$2.6000	\$728.00
	REMOVAL OF PAVEMENT MARKING LINES (12")				
0149	4870000000-E	20.000	LF	\$4.0000	\$80.00
	REMOVAL OF PAVEMENT MARKING LINES (24")				
0150	4875000000-N	1.000	EA	\$115.0000	\$115.00
	REMOVAL OF PAVEMENT MARKING SYMBOLS & CHARACTERS				
0151	4895000000-N	256.000	EA	\$57.0000	\$14,592.00
	GENERIC PAVEMENT MARKING ITEM POLYCARBONATE H-SHAPED MARKERS				
0152	6000000000-E	39600.000	LF	\$2.7500	\$108,900.00
	TEMPORARY SILT FENCE				
0153	6006000000-E	1325.000	TON	\$80.0000	\$106,000.00
	STONE FOR EROSION CONTROL, CLASS A				
0154	6009000000-E	8500.000	TON	\$80.0000	\$680,000.00
	STONE FOR EROSION CONTROL, CLASS B				
0155	6012000000-E	4100.000	TON	\$75.0000	\$307,500.00
	SEDIMENT CONTROL STONE				
0156	6015000000-E	54.000	ACR	\$1,800.0000	\$97,200.00
	TEMPORARY MULCHING				
0157	6018000000-E	2100.000	LB	\$5.7500	\$12,075.00
	SEED FOR TEMPORARY SEEDING				
0158	6021000000-E	12.000	TON	\$1,470.0000	\$17,640.00
	FERTILIZER FOR TEMPORARY SEEDING				
0159	6024000000-E	2750.000	LF	\$40.0000	\$110,000.00
	TEMPORARY SLOPE DRAINS				
0160	6029000000-E	10600.000	LF	\$1.7500	\$18,550.00
	SAFETY FENCE				
0161	6030000000-E	23050.000	CY	\$20.0000	\$461,000.00
	SILT EXCAVATION				
0162	6036000000-E	114255.000	SY	\$1.2500	\$142,818.75
	MATTING FOR EROSION CONTROL				
0163	6037000000-E	57420.000	SY	\$5.5000	\$315,810.00
	COIR FIBER MAT				
0164	6042000000-E	6550.000	LF	\$6.6000	\$43,230.00
	1/4" HARDWARE CLOTH				
0165	6043000000-E	2400.000	SY	\$11.5000	\$27,600.00
	LOW PERMEABILITY GEOTEXTILE				
0166	6045000000-E	55.000	LF	\$125.0000	\$6,875.00
	**" TEMPORARY PIPE (36")				
0167	6070000000-N	16.000	EA	\$3,250.0000	\$52,000.00
	SPECIAL STILLING BASINS				
0168	6071002000-E	1300.000	LB	\$9.0000	\$11,700.00
	FLOCCULANT				
0169	6071012000-E	30.000	LF	\$34.5000	\$1,035.00
	COIR FIBER WATTLE				
0170	6071013000-E	4250.000	LF	\$7.0000	\$29,750.00
	WATTLE BARRIER				

0171	6071030000-E	3725.000	LF	\$7.2000	\$26,820.00
	COIR FIBER BAFFLE				
0172	6071050000-E	19.000	EA	\$1,800.0000	\$34,200.00
	*** SKIMMER (1-1/2")				
0173	6084000000-E	54.000	ACR	\$3,700.0000	\$199,800.00
	SEEDING & MULCHING				
0174	6087000000-E	60.000	ACR	\$460.0000	\$27,600.00
	MOWING				
0175	6090000000-E	550.000	LB	\$1.1500	\$632.50
	SEED FOR REPAIR SEEDING				
0176	6093000000-E	2.500	TON	\$615.0000	\$1,537.50
	FERTILIZER FOR REPAIR SEEDING				
0177	6096000000-E	1250.000	LB	\$7.0000	\$8,750.00
	SEED FOR SUPPLEMENTAL SEEDING				
0178	6108000000-E	37.000	TON	\$2,050.0000	\$75,850.00
	FERTILIZER TOPDRESSING				
0179	6111000000-E	650.000	LF	\$100.0000	\$65,000.00
	IMPERVIOUS DIKE				
0180	6114500000-N	50.000	MHR	\$75.0000	\$3,750.00
	SPECIALIZED HAND MOWING				
0181	6114800000-N	30.000	MHR	\$86.0000	\$2,580.00
	MANUAL LITTER REMOVAL				
0182	6114900000-E	3.000	TON	\$575.0000	\$1,725.00
	LITTER DISPOSAL				
0183	6117000000-N	150.000	EA	\$85.0000	\$12,750.00
	RESPONSE FOR EROSION CONTROL				
0184	6117500000-N	3.000	EA	\$1,150.0000	\$3,450.00
	CONCRETE WASHOUT STRUCTURE				
0185	6123000000-E	0.500	ACR	\$11,500.0000	\$5,750.00
	REFORESTATION				
0186	6126000000-E	0.170	ACR	\$17,000.0000	\$2,890.00
	STREAMBANK REFORESTATION				
0187	6132000000-N	400.000	EA	\$50.0000	\$20,000.00
	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION CLEANOUT				
0188	6132000000-N	135.000	EA	\$100.0000	\$13,500.00
	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION, TYPE 1				
0189	6132000000-N	15.000	EA	\$1,000.0000	\$15,000.00
	GENERIC EROSION CONTROL ITEM PREFABRICATED CONCRETE WASHOUT				
Section 0001 Total					\$41,743,057.00

Section 0002  
 CULVERT ITEMS

0190	8130000000-N	1.000	LS	\$120,000.0000	\$120,000.00
	BOX CULVERT EXCAVATION, STA ***** (10+67.00 -Y3A-)				
0191	8130000000-N	1.000	LS	\$120,000.0000	\$120,000.00
	BOX CULVERT EXCAVATION, STA ***** (99+82.00 -Y2-)				
0192	8133000000-E	157.000	TON	\$150.0000	\$23,550.00
	FOUNDATION CONDITIONING MATERIAL, BOX CULVERT				

0193	8196000000-E	200.800	CY	\$2,250.0000	\$451,800.00
	CLASS A CONCRETE (CULVERT)				
0194	8245000000-E	21230.000	LB	\$4.1000	\$87,043.00
	REINFORCING STEEL (CULVERT)				
Section 0002 Total					\$802,393.00
Section 0003					
WALL ITEMS					
0195	8802010000-E	1440.000	SF	\$275.0000	\$396,000.00
	SOIL NAIL RETAINING WALLS				
0196	8802015100-N	1.000	EA	\$1,100.0000	\$1,100.00
	SOIL NAIL VERIFICATION TESTS				
0197	8802015110-N	5.000	EA	\$550.0000	\$2,750.00
	SOIL NAIL PROOF TESTS				
Section 0003 Total					\$399,850.00
Item Total					\$42,945,300.00

### **ELECTRONIC BID SUBMISSION**

By submitting this bid electronically, I hereby acknowledge that all requirements included in the hard copy proposal, addendum, amendments, plans, standard specifications, supplemental specifications and special provisions are part of the bid and contract. Further, I acknowledge that I have read, understand, accept, acknowledge and agree to comply with all statements in this electronic bid.

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### **NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION**

The prequalified bidder declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating N.C.G.S. §133-24 within the last three years, and that the prequalified bidder intends to do the work with his own bonafide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. §133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

### **DEBARMENT CERTIFICATION OF PREQUALIFIED BIDDER**

Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation that is file with the Department, or has become erroneous because of changed circumstances.
2. The terms covered transaction, debarred, suspended, ineligible, lower tier

covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.

3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.

4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal- Aid Provision titled Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.

5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.

6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

### **DEBARMENT CERTIFICATION**

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or

commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and

d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

**EXPLANATION:**

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### Award Limits on Multiple Projects

By answering YES to this statement, the bidder acknowledges that they are using the award limits on multiple projects? **Yes**  **No**

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of     for those projects indicated herein, for which bids will be opened on     (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number  
County

Contract Number  
County

Contract Number  
County

Contract Number  
County

Contract Number  
County

Contract Number  
County

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated

that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

THIS PROPOSAL CONTAINS THE FOLLOWING ERRORS/WARNINGS (IF ANY)

This Bid contains 0 amendment files

**Electronic Bid Submission**

By submitting this bid electronically, I hereby acknowledge that all requirements included in the hard copy proposal, addendum, amendments, plans, standard specifications, supplemental specifications and special provisions are part of the bid and contract. Further, I acknowledge that I have read, understand, accept, acknowledge and agree to comply with all statements in this electronic bid.

I hereby certify that I have the authority to submit this bid.

Signature \_\_\_\_\_

Agency \_\_\_\_\_

Date \_\_\_\_\_

Signature \_\_\_\_\_

Agency \_\_\_\_\_

Date \_\_\_\_\_

Signature \_\_\_\_\_

Agency \_\_\_\_\_

Date \_\_\_\_\_

## Attachments

Failure to complete and attach the Fuel Usage Factor Adjustment Form will result in using 2.90 gallons per ton as the Fuel Usage Factor for Diesel for the asphalt items included on the form. The contractor will not be permitted to change the option after the bids are submitted.

NOTE: The maximum upload limit is 5 MB. Fuel Usage Factor Adjustment Form - C205159.pdf  Verify

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
<b>ROADWAY ITEMS</b>						
0001	0000100000-N	800	MOBILIZATION	LUMP SUM	2,145,000.00	2,145,000.00
0002	0000400000-N	801	CONSTRUCTION SURVEYING	LUMP SUM	550,000.00	550,000.00
0003	0001000000-E	200	CLEARING & GRUBBING .. ACRE(S)	LUMP SUM LS	3,275,000.00	3,275,000.00
0004	0008000000-E	200	SUPPLEMENTARY CLEARING & GRUBBING	2 ACR	18,000.00	36,000.00
0005	0022000000-E	225	UNCLASSIFIED EXCAVATION	410,000 CY	33.00	13,530,000.00
0006	0036000000-E	225	UNDERCUT EXCAVATION	22,680 CY	12.00	272,160.00
0007	0134000000-E	240	DRAINAGE DITCH EXCAVATION	800 CY	25.00	20,000.00
0008	0156000000-E	250	REMOVAL OF EXISTING ASPHALT PAVEMENT	7,750 SY	6.50	50,375.00
0009	0194000000-E	265	SELECT GRANULAR MATERIAL, CLASS III	13,630 CY	55.00	749,650.00
0010	0196000000-E	270	GEOTEXTILE FOR SOIL STABILIZATION	11,450 SY	4.50	51,525.00
0011	0199000000-E	SP	TEMPORARY SHORING	630 SF	225.00	141,750.00
0012	0222000000-E	SP	GEOTEXTILE FOR ROCK EMBANKMENTS	3,510 SY	4.50	15,795.00
0013	0241000000-E	SP	GENERIC GRADING ITEM GEOTEXTILE FOR EMBANKMENT STABILIZATION	2,980 SY	4.50	13,410.00
0014	0241000000-E	SP	GENERIC GRADING ITEM GEOTEXTILE FOR ROCK FILL	300 SY	4.50	1,350.00
0015	0241000000-E	SP	GENERIC GRADING ITEM PRE-SPLITTING OF ROCK	7,600 SY	80.00	608,000.00
0016	0241000000-E	SP	GENERIC GRADING ITEM WIRE MESH (TYPE 1)	750 SY	315.00	236,250.00
0017	0241000000-E	SP	GENERIC GRADING ITEM WIRE MESH (TYPE 2)	750 SY	345.00	258,750.00
0018	0255000000-E	SP	GENERIC GRADING ITEM HAULING AND DISPOSAL OF PETROLEUM CONTAMINATED SOIL	50 TON	250.00	12,500.00
0019	0255000000-E	SP	GENERIC GRADING ITEM ROCK FILL FOR EMBANKMENT STABILIZATION	600 TON	88.50	53,100.00
0020	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS IV FOR ROCK EMBANKMENTS	910 TON	88.50	80,535.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0021	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK EMBANKMENTS	1,340 TON	88.50	118,590.00
0022	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VI FOR ROCK FILL	150 TON	88.50	13,275.00
0023	0255000000-E	SP	GENERIC GRADING ITEM SELECT MATERIAL, CLASS VII FOR ROCK EMBANKMENTS	5,270 TON	88.50	466,395.00
0024	0257000000-E	SP	GENERIC GRADING ITEM HORIZONTAL DRAINS	210 LF	575.00	120,750.00
0025	0257000000-E	SP	GENERIC GRADING ITEM TENSIONED SPOT ROCK BOLT - 75 KIPS	3,060 LF	425.00	1,300,500.00
0026	0257000000-E	SP	GENERIC GRADING ITEM UNTENSIONED ROCK ANCHOR	3,000 LF	630.00	1,890,000.00
0027	0318000000-E	300	FOUNDATION CONDITIONING MATERIAL, MINOR STRUCTURES	430 TON	65.00	27,950.00
0028	0321000000-E	300	FOUNDATION CONDITIONING GEOTEXTILE	1,340 SY	4.50	6,030.00
0029	0335200000-E	305	15" DRAINAGE PIPE	732 LF	140.00	102,480.00
0030	0335300000-E	305	18" DRAINAGE PIPE	452 LF	144.00	65,088.00
0031	0335850000-E	305	*** DRAINAGE PIPE ELBOWS (18")	1 EA	950.00	950.00
0032	0384000000-E	310	30" RC PIPE CULVERTS, CLASS III	80 LF	235.00	18,800.00
0033	0448200000-E	310	15" RC PIPE CULVERTS, CLASS IV	1,428 LF	130.00	185,640.00
0034	0448300000-E	310	18" RC PIPE CULVERTS, CLASS IV	704 LF	210.00	147,840.00
0035	0448500000-E	310	30" RC PIPE CULVERTS, CLASS IV	76 LF	275.00	20,900.00
0036	0546000000-E	310	*** CAA PIPE CULVERTS, ***** THICK (60", 0.135")	100 LF	1,400.00	140,000.00
0037	0588000000-E	310	18" CS PIPE CULVERTS, 0.064" THICK	396 LF	175.00	69,300.00
0038	0600000000-E	310	30" CS PIPE CULVERTS, 0.079" THICK	40 LF	340.00	13,600.00
0039	0636000000-E	310	*** CS PIPE ELBOWS, ***** THICK (18", 0.064")	6 EA	1,600.00	9,600.00
0040	0973100000-E	330	*** WELDED STEEL PIPE, ***** THICK, GRADE B IN SOIL (60", 0.500")	73 LF	2,500.00	182,500.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0041	0973300000-E	330	*** WELDED STEEL PIPE, ***** THICK, GRADE B NOT IN SOIL (60", 0.500")	73 LF	6,250.00	456,250.00
0042	0995000000-E	340	PIPE REMOVAL	1,093 LF	50.00	54,650.00
0043	1011000000-N	500	FINE GRADING	LUMP SUM	2,250,000.00	2,250,000.00
0044	1099500000-E	505	SHALLOW UNDERCUT	300 CY	35.00	10,500.00
0045	1099700000-E	505	CLASS IV SUBGRADE STABILIZATION	3,160 TON	60.00	189,600.00
0046	1110000000-E	510	STABILIZER AGGREGATE	150 TON	88.50	13,275.00
0047	1112000000-E	505	GEOTEXTILE FOR SUBGRADE STABILIZATION	6,760 SY	6.00	40,560.00
0048	1121000000-E	520	AGGREGATE BASE COURSE	1,800 TON	60.00	108,000.00
0049	1220000000-E	545	INCIDENTAL STONE BASE	4,000 TON	60.00	240,000.00
0050	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***** DEPTH (1-1/2")	2,000 SY	4.25	8,500.00
0051	1308000000-E	607	MILLING ASPHALT PAVEMENT, ***** TO ***** (0" TO 3")	1,000 SY	4.25	4,250.00
0052	1330000000-E	607	INCIDENTAL MILLING	400 SY	15.00	6,000.00
0053	1491000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0C	8,900 TON	140.00	1,246,000.00
0054	1503000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0C	6,300 TON	142.00	894,600.00
0055	1523000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5C	8,100 TON	135.00	1,093,500.00
0056	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	1,200 TON	840.00	1,008,000.00
0057	1693000000-E	654	ASPHALT PLANT MIX, PAVEMENT REPAIR	1,000 TON	215.00	215,000.00
0058	2022000000-E	815	SUBDRAIN EXCAVATION	268.8 CY	30.00	8,064.00
0059	2026000000-E	815	GEOTEXTILE FOR SUBSURFACE DRAINS	1,200 SY	4.50	5,400.00
0060	2036000000-E	815	SUBDRAIN COARSE AGGREGATE	201.6 CY	120.00	24,192.00
0061	2044000000-E	815	6" PERFORATED SUBDRAIN PIPE	1,200 LF	53.00	63,600.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0062	2070000000-N	815	SUBDRAIN PIPE OUTLET	3 EA	1,200.00	3,600.00
0063	2077000000-E	815	6" OUTLET PIPE	18 LF	60.00	1,080.00
0064	2190000000-N	828	TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE	3 EA	975.00	2,925.00
0065	2220000000-E	838	REINFORCED ENDWALLS	5.9 CY	3,000.00	17,700.00
0066	2253000000-E	840	PIPE COLLARS	0.45 CY	894.85	400.00
0067	2264000000-E	840	PIPE PLUGS	0.01 CY	25,000.00	325.00
0068	2275000000-E	SP	FLOWABLE FILL	146 CY	450.00	65,700.00
0069	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	58 EA	3,400.00	197,200.00
0070	2297000000-E	840	MASONRY DRAINAGE STRUCTURES	8.8 CY	2,200.00	19,360.00
0071	2308000000-E	840	MASONRY DRAINAGE STRUCTURES	6.8 LF	600.00	4,080.00
0072	2364000000-N	840	FRAME WITH TWO GRATES, STD 840.16	2 EA	700.00	1,400.00
0073	2364200000-N	840	FRAME WITH TWO GRATES, STD 840.20	12 EA	1,300.00	15,600.00
0074	2365000000-N	840	FRAME WITH TWO GRATES, STD 840.22	5 EA	1,975.00	9,875.00
0075	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	2 EA	1,250.00	2,500.00
0076	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)	2 EA	1,100.00	2,200.00
0077	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (F)	17 EA	1,100.00	18,700.00
0078	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)	22 EA	1,100.00	24,200.00
0079	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA	700.00	700.00
0080	2451000000-N	852	CONCRETE TRANSITIONAL SECTION FOR DROP INLET	4 EA	2,650.00	10,600.00
0081	2535000000-E	846	*** X *** CONCRETE CURB (8" X 12")	205 LF	65.00	13,325.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0082	2549000000-E	846	2'-6" CONCRETE CURB & GUTTER	7,750 LF	42.00	325,500.00
0083	2556000000-E	846	SHOULDER BERM GUTTER	340 LF	50.00	17,000.00
0084	2605000000-N	848	CONCRETE CURB RAMPS	4 EA	3,800.00	15,200.00
0085	2612000000-E	848	6" CONCRETE DRIVEWAY	230 SY	170.00	39,100.00
0086	2619000000-E	850	4" CONCRETE PAVED DITCH	145 SY	250.00	36,250.00
0087	2647000000-E	852	5" MONOLITHIC CONCRETE ISLANDS (SURFACE MOUNTED)	350 SY	240.00	84,000.00
0088	2717000000-E	854	VARIABLE HEIGHT CONCRETE BARRIER, TYPE ***** (T-2)	1,470 LF	525.00	771,750.00
0089	2724000000-E	857	PRECAST REINFORCED CONCRETE BARRIER, SINGLE FACED	225 LF	360.00	81,000.00
0090	2738000000-E	SP	GENERIC PAVING ITEM 4" CONCRETE MULTI-USE PATH	3,610 SY	90.00	324,900.00
0091	2830000000-N	858	ADJUSTMENT OF MANHOLES	1 EA	2,500.00	2,500.00
0092	2920000000-N	859	CONVERT EXISTING DROP INLET TO CATCH BASIN	1 EA	2,500.00	2,500.00
0093	2965000000-N	859	CONVERT EXISTING JUNCTION BOX TO CATCH BASIN	4 EA	2,500.00	10,000.00
0094	3030000000-E	862	STEEL BEAM GUARDRAIL	7,700 LF	28.00	215,600.00
0095	3045000000-E	862	STEEL BEAM GUARDRAIL, SHOP CURVED	237.5 LF	32.00	7,600.00
0096	3150000000-N	862	ADDITIONAL GUARDRAIL POSTS	10 EA	90.00	900.00
0097	3195000000-N	862	GUARDRAIL END UNITS, TYPE AT-1	3 EA	1,250.00	3,750.00
0098	3210000000-N	862	GUARDRAIL END UNITS, TYPE CAT-1	1 EA	1,100.00	1,100.00
0099	3287000000-N	862	GUARDRAIL END UNITS, TYPE TL-3	24 EA	3,500.00	84,000.00
0100	3288000000-N	862	GUARDRAIL END UNITS, TYPE TL-2	1 EA	2,650.00	2,650.00
0101	3317000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE B-77	6 EA	3,500.00	21,000.00
0102	3360000000-E	863	REMOVE EXISTING GUARDRAIL	3,310 LF	2.50	8,275.00
0103	3380000000-E	862	TEMPORARY STEEL BEAM GUARDRAIL	556.25 LF	14.00	7,787.50

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0104	3382000000-E	862	TEMPORARY STEEL BEAM GUARDRAIL, SHOP CURVED	25 LF	26.00	650.00
0105	3389150000-N	862	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (AT-1)	1 EA	800.00	800.00
0106	3389150000-N	862	TEMPORARY GUARDRAIL END UNITS, TYPE ***** (TL-3)	5 EA	1,300.00	6,500.00
0107	3420000000-E	SP	GENERIC GUARDRAIL ITEM WOOD RUB RAIL	2,750 LF	8.50	23,375.00
0108	3569000000-E	867	BARBED WIRE FENCE RESET	300 LF	15.00	4,500.00
0109	3575000000-E	SP	GENERIC FENCING ITEM HANDRAIL ON RETAINING WALL	220 LF	350.00	77,000.00
0110	3575000000-E	SP	GENERIC FENCING ITEM TIMBER RAIL FENCE	3,250 LF	50.00	162,500.00
0111	3628000000-E	876	RIP RAP, CLASS I	235 TON	83.50	19,622.50
0112	3635000000-E	876	RIP RAP, CLASS II	125 TON	83.50	10,437.50
0113	3642000000-E	876	RIP RAP, CLASS A	850 TON	83.50	70,975.00
0114	3649000000-E	876	RIP RAP, CLASS B	1,050 TON	83.50	87,675.00
0115	3656000000-E	876	GEOTEXTILE FOR DRAINAGE	2,700 SY	4.50	12,150.00
0116	3684000000-E	SP	GENERIC EROSION CONTROL ITEM NATURAL LANDSCAPE STONE	50 TON	475.00	23,750.00
0117	4072000000-E	903	SUPPORTS, 3-LB STEEL U-CHANNEL	650 LF	13.50	8,775.00
0118	4096000000-N	904	SIGN ERECTION, TYPE D	7 EA	350.00	2,450.00
0119	4102000000-N	904	SIGN ERECTION, TYPE E	22 EA	300.00	6,600.00
0120	4116100000-N	904	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (E)	2 EA	175.00	350.00
0121	4116100000-N	904	SIGN ERECTION, RELOCATE TYPE **** (GROUND MOUNTED) (F)	1 EA	175.00	175.00
0122	4155000000-N	907	DISPOSAL OF SIGN SYSTEM, U- CHANNEL	30 EA	25.00	750.00
0123	4192000000-N	907	DISPOSAL OF SUPPORT, U-CHANNEL	1 EA	25.00	25.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0124	4360000000-N	SP	GENERIC SIGNING ITEM RECTANGULAR RAPID FLASHING BEACON ASSEMBLY (RRFB) REMOVAL	4 EA	1,601.00	6,404.00
0125	4400000000-E	1110	WORK ZONE SIGNS (STATIONARY)	366 SF	12.50	4,575.00
0126	4405000000-E	1110	WORK ZONE SIGNS (PORTABLE)	288 SF	30.00	8,640.00
0127	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	54 SF	10.00	540.00
0128	4415000000-N	1115	FLASHING ARROW BOARD	1 EA	5,000.00	5,000.00
0129	4420000000-N	1120	PORTABLE CHANGEABLE MESSAGE SIGN	1 EA	21,500.00	21,500.00
0130	4430000000-N	1130	DRUMS	345 EA	52.00	17,940.00
0131	4435000000-N	1135	CONES	50 EA	47.00	2,350.00
0132	4445000000-E	1145	BARRICADES (TYPE III)	82 LF	30.00	2,460.00
0133	4447000000-E	SP	PEDESTRIAN CHANNELIZING DEVICES	50 LF	65.00	3,250.00
0134	4455000000-N	1150	FLAGGER	800 DAY	550.00	440,000.00
0135	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	2 EA	10,500.00	21,000.00
0136	4470000000-N	1160	REMOVE & RESET TEMPORARY CRASH CUSHION	2 EA	4,000.00	8,000.00
0137	4480000000-N	1165	TMA	1 EA	30,000.00	30,000.00
0138	4490000000-E	1170	PORTABLE CONCRETE BARRIER (ANCHORED)	365 LF	85.00	31,025.00
0139	4505000000-E	1170	REMOVE & RESET PORTABLE CONCRETE BARRIER (ANCHORED)	225 LF	40.00	9,000.00
0140	4650000000-N	1251	TEMPORARY RAISED PAVEMENT MARKERS	283 EA	16.50	4,669.50
0141	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	31,338 LF	1.75	54,841.50
0142	4700000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (12", 90 MILS)	885 LF	8.70	7,699.50
0143	4709000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (24", 90 MILS)	171 LF	17.25	2,949.75
0144	4725000000-E	1205	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	10 EA	315.00	3,150.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0145	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	44,214 LF	0.75	33,160.50
0146	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	146 LF	6.50	949.00
0147	4850000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (4")	2,998 LF	1.00	2,998.00
0148	4865000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (12")	280 LF	2.60	728.00
0149	4870000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (24")	20 LF	4.00	80.00
0150	4875000000-N	1205	REMOVAL OF PAVEMENT MARKING SYMBOLS & CHARACTERS	1 EA	115.00	115.00
0151	4895000000-N	SP	GENERIC PAVEMENT MARKING ITEM POLYCARBONATE H-SHAPED MARKERS	256 EA	57.00	14,592.00
0152	6000000000-E	1605	TEMPORARY SILT FENCE	39,600 LF	2.75	108,900.00
0153	6006000000-E	1610	STONE FOR EROSION CONTROL, CLASS A	1,325 TON	80.00	106,000.00
0154	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	8,500 TON	80.00	680,000.00
0155	6012000000-E	1610	SEDIMENT CONTROL STONE	4,100 TON	75.00	307,500.00
0156	6015000000-E	1615	TEMPORARY MULCHING	54 ACR	1,800.00	97,200.00
0157	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	2,100 LB	5.75	12,075.00
0158	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEEDING	12 TON	1,470.00	17,640.00
0159	6024000000-E	1622	TEMPORARY SLOPE DRAINS	2,750 LF	40.00	110,000.00
0160	6029000000-E	SP	SAFETY FENCE	10,600 LF	1.75	18,550.00
0161	6030000000-E	1630	SILT EXCAVATION	23,050 CY	20.00	461,000.00
0162	6036000000-E	1631	MATTING FOR EROSION CONTROL	114,255 SY	1.25	142,818.75
0163	6037000000-E	1629	COIR FIBER MAT	57,420 SY	5.50	315,810.00
0164	6042000000-E	1632	1/4" HARDWARE CLOTH	6,550 LF	6.60	43,230.00
0165	6043000000-E	1644	LOW PERMEABILITY GEOTEXTILE	2,400 SY	11.50	27,600.00
0166	6045000000-E	SP	*** TEMPORARY PIPE (36")	55 LF	125.00	6,875.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0167	607000000-N	1639	SPECIAL STILLING BASINS	16 EA	3,250.00	52,000.00
0168	6071002000-E	1642	FLOCCULANT	1,300 LB	9.00	11,700.00
0169	6071012000-E	1642	COIR FIBER WATTLE	30 LF	34.50	1,035.00
0170	6071013000-E	1642	WATTLE BARRIER	4,250 LF	7.00	29,750.00
0171	6071030000-E	1640	COIR FIBER BAFFLE	3,725 LF	7.20	26,820.00
0172	6071050000-E	1644	*** SKIMMER (1-1/2")	19 EA	1,800.00	34,200.00
0173	6084000000-E	1660	SEEDING & MULCHING	54 ACR	3,700.00	199,800.00
0174	6087000000-E	1660	MOWING	60 ACR	460.00	27,600.00
0175	6090000000-E	1661	SEED FOR REPAIR SEEDING	550 LB	1.15	632.50
0176	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	2.5 TON	615.00	1,537.50
0177	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	1,250 LB	7.00	8,750.00
0178	6108000000-E	1665	FERTILIZER TOPDRESSING	37 TON	2,050.00	75,850.00
0179	6111000000-E	SP	IMPERVIOUS DIKE	650 LF	100.00	65,000.00
0180	6114500000-N	1667	SPECIALIZED HAND MOWING	50 MHR	75.00	3,750.00
0181	6114800000-N	SP	MANUAL LITTER REMOVAL	30 MHR	86.00	2,580.00
0182	6114900000-E	SP	LITTER DISPOSAL	3 TON	575.00	1,725.00
0183	6117000000-N	1675	RESPONSE FOR EROSION CONTROL	150 EA	85.00	12,750.00
0184	6117500000-N	SP	CONCRETE WASHOUT STRUCTURE	3 EA	1,150.00	3,450.00
0185	6123000000-E	1670	REFORESTATION	0.5 ACR	11,500.00	5,750.00
0186	6126000000-E	SP	STREAMBANK REFORESTATION	0.17 ACR	17,000.00	2,890.00
0187	6132000000-N	SP	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION CLEANOUT	400 EA	50.00	20,000.00

Contract Item Sheets For C205159

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
ROADWAY ITEMS						
0188	613200000-N	SP	GENERIC EROSION CONTROL ITEM FABRIC INSERT INLET PROTECTION, TYPE 1	135 EA	100.00	13,500.00
0189	613200000-N	SP	GENERIC EROSION CONTROL ITEM PREFABRICATED CONCRETE WASHOUT	15 EA	1,000.00	15,000.00

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
CULVERT ITEMS						
0190	813000000-N	414	BOX CULVERT EXCAVATION, STA ***** (10+67.00 -Y3A-)	LUMP SUM	120,000.00	120,000.00
0191	813000000-N	414	BOX CULVERT EXCAVATION, STA ***** (99+82.00 -Y2-)	LUMP SUM	120,000.00	120,000.00
0192	813300000-E	414	FOUNDATION CONDITIONING MATERIAL, BOX CULVERT	157 TON	150.00	23,550.00
0193	819600000-E	420	CLASS A CONCRETE (CULVERT)	200.8 CY	2,250.00	451,800.00
0194	824500000-E	425	REINFORCING STEEL (CULVERT)	21,230 LB	4.10	87,043.00

Contract Item Sheets For C205159

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
WALL ITEMS						
0195	8802010000-E	SP	SOIL NAIL RETAINING WALLS	1,440 SF	275.00	396,000.00
0196	8802015100-N	SP	SOIL NAIL VERIFICATION TESTS	1 EA	1,100.00	1,100.00
0197	8802015110-N	SP	SOIL NAIL PROOF TESTS	5 EA	550.00	2,750.00
TOTAL AMOUNT OF BID FOR ENTIRE PROJECT						\$42,945,300.00

1211/Jun01/Q982952.08/D653397997210/E197

## Fuel Usage Factor Adjustment Form

Contract Number	C205159
County	GRAHAM
Contractor Name	WATSON CONTRACTING, INC.
HiCAMS Vendor Number	7896

Select a Fuel Usage Factor for each of the Asphalt Material Descriptions to be used on the project. Within the Selected Fuel Usage Factor column, choose either 0.90 or 2.90 Gallons per Ton for the corresponding asphalt material description.

The Selected Fuel Usage Factor chosen will be used for the entire contract duration.

Description	Unit	Selected Fuel Usage Factor	
		0.90	2.90
Asphalt Concrete Base Course, Type B25.0C	Gal/Ton	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Intermediate Course, Type I19.0C	Gal/Ton	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type SA-1	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type SA-1 (Leveling Course)	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S4.75	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S4.75 (Leveling Course)	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5B	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5B (Leveling Course)	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5C	Gal/Ton	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5C (Leveling Course)	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5D	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Asphalt Concrete Surface Course, Type S9.5D (Leveling Course)	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Open-Graded Asphalt Friction Course	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Ultra-thin Bonded Wearing Course	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Permeable Asphalt Drainage Course, Type _____	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>
Sand Asphalt Surface Course, Type _____	Gal/Ton	<input type="checkbox"/>	<input type="checkbox"/>

If the Contractor does not mark either Fuel Usage Factor or marks both Fuel Usage Factors for an asphalt item description, the 2.90 Fuel Usage Factor shall be used for that asphalt line item.

C205159

Contract No. \_\_\_\_\_  
County GRAHAM

Rev. 10-31-24

**EXECUTION OF CONTRACT  
NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION**

**CORPORATION**

The Contractor declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Contractor intends to do the work with its own bona fide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, non-collusion, debarment and gift ban certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S. § 133-32* and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

**SIGNATURE OF CONTRACTOR  
WATSON CONTRACTING, INC**

Full name of Corporation

**3940 OLD MURPHY ROAD, FRANKLIN, NC 28734**

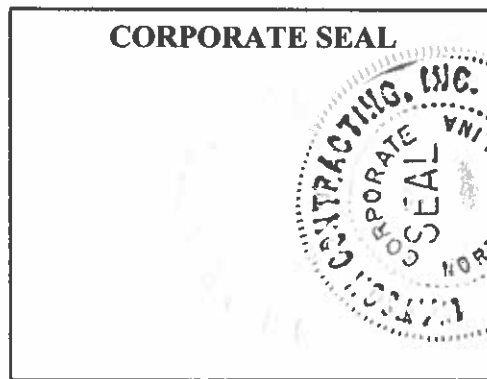
Address as prequalified

Attest Dawn Watson  
Signature of ~~Secretary, Assistant Secretary~~  
Select appropriate title

By Kevin E. Watson  
Signature of ~~President, Vice President, Assistant Vice President~~  
Select appropriate title

DAWN WATSON  
Print or type Signer's name

KEVIN E. WATSON  
Print or type Signer's name



**DEBARMENT CERTIFICATION**

## Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
2. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

### DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.

**Contract No.**     **C205159**

**County (ies):**    **Graham**

ACCEPTED BY THE  
DEPARTMENT OF TRANSPORTATION

Signed by:  
*Jon Weathersbee*  
\_\_\_\_\_  
E45DA45396074A0...    Contract Officer

06/15/2026  
\_\_\_\_\_  
Date

Execution of Contract and Bonds  
Approved as to Form:

Signed by:  
*Scott Beaver*  
\_\_\_\_\_  
55FE7B40AA93401...    Attorney General

06/15/2026  
\_\_\_\_\_  
Date

Contract No. C205159  
County Graham

Rev. 10-31-24

**CONTRACT PAYMENT BOND** Bond Number: 800236709

Date of Payment Bond Execution JUNE 1, 2026  
Name of Principal Contractor Watson Contracting, Inc.  
Name of Surety: Atlantic Specialty Insurance Company  
Name of Contracting Body: North Carolina Department of Transportation  
Raleigh, North Carolina  
Amount of Bond: Forty-two Million Nine Hundred Forty-five Thousand Three Hundred & 00/100 Dollars  
(\$42,945,300.00)  
Contract ID No.: C205159  
County Name: Graham

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

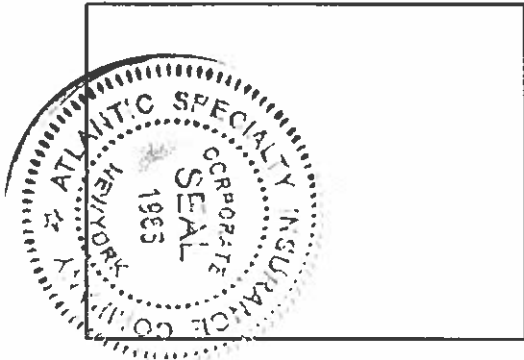
IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No. C205159  
County Graham

Rev. 10-31-24

### CONTRACT PAYMENT BOND


*Affix Seal of Surety Company*



Atlantic Specialty Insurance Company  
Print or type Surety Company Name      NAIC # 27154

By Tyler Turnbull  
Print, stamp or type name of Attorney-in-Fact

  
Signature of Attorney-in-Fact

  
Signature of Witness

Kelly Engebretson  
Print or type Signer's name

11405 N. Community House Rd., Ste. 280, Charlotte, NC 28277  
Address of Attorney-in-Fact

Contract No. C205159  
County Graham

Rev. 10-31-24

**CONTRACT PAYMENT BOND**

**CORPORATION**

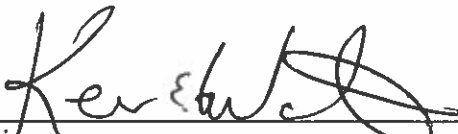
SIGNATURE OF CONTRACTOR (Principal)

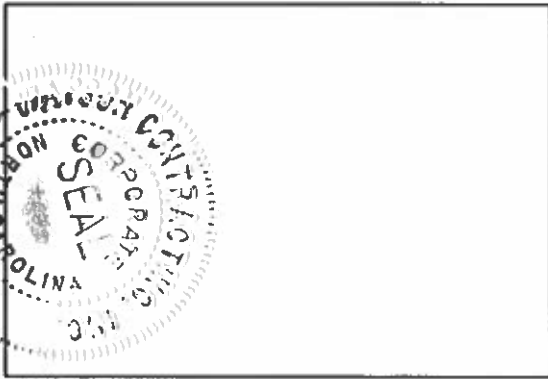
Watson Contracting, Inc.

Full name of Corporation

3940 Old Murphy Road, Franklin, NC 28734


Address as prequalified

By   
Signature of President, Vice President, Assistant Vice President  
Select appropriate title



Affix Corporate Seal

KEVIN E. WATSON  
Print or type Signer's name

Attest   
Signature of Secretary, Assistant Secretary  
Select appropriate title

DAWN WATSON  
Print or type Signer's name

Contract No. C205159  
County Graham

Rev. 10-31-24

**CONTRACT PERFORMANCE BOND** Bond Number: 800236709

Date of Performance Bond Execution: JUNE 1, 2026  
Name of Principal Contractor: Watson Contracting, Inc.  
Name of Surety: Atlantic Specialty Insurance Company  
Name of Contracting Body: **North Carolina Department of Transportation**  
**Raleigh, North Carolina**  
Amount of Bond: Forty-two Million Nine Hundred Forty-five Thousand Three Hundred & 00/100 Dollars (\$42,945,300.00)  
Contract ID No.: C205159  
County Name: Graham

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

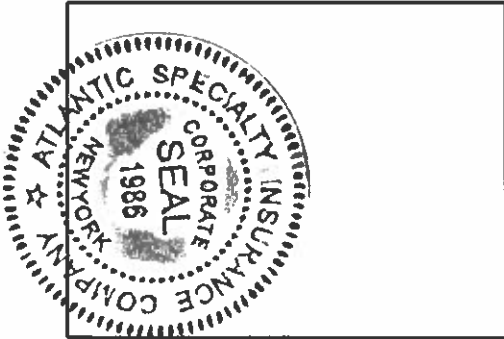
IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No. C205159  
County Graham

Rev. 10-31-24

### CONTRACT PERFORMANCE BOND

*Affix Seal of Surety Company*



Atlantic Specialty Insurance Company  
Print or type Surety Company Name NAIC # 27154

By Tyler Turnbull  
Print, stamp or type name of Attorney-in-Fact

  
Signature of Attorney-in-Fact

  
Signature of Witness

Kelly Engebretson  
Print or type Signer's name

11405 N. Community House Rd., Ste. 280, Charlotte, NC 28277  
Address of Attorney-in-Fact

Contract No. C205159  
County Graham

Rev. 10-31-24

**CONTRACT PERFORMANCE BOND  
CORPORATION**

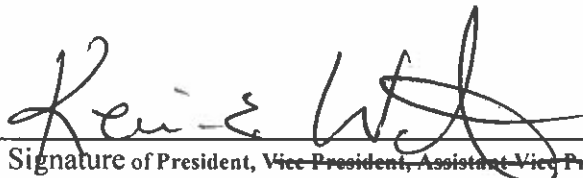
SIGNATURE OF CONTRACTOR (Principal)

Watson Contracting, Inc.

Full name of Corporation

3940 Old Murphy Road, Franklin, NC 28734

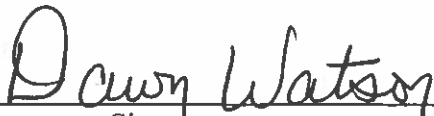
Address as prequalified

By   
Signature of President, ~~Vice President, Assistant Vice President~~  
Select appropriate title



Affix Corporate Seal

KEVIN E. WATSON  
Print or type Signer's name

Attest   
Signature of Secretary, ~~Assistant Secretary~~  
Select appropriate title

DAWN WATSON  
Print or type Signer's name



# Power of Attorney

KNOW ALL MEN BY THESE PRESENTS, that ATLANTIC SPECIALTY INSURANCE COMPANY, a New York corporation with its principal office in Plymouth, Minnesota, does hereby constitute and appoint: **Beverly B. Ivey, Brett Branton, Della B. Case, James M Sousa, Matthew Rose, Michele Miller, Scott Pelin, Tyler Turnbull**, each individually if there be more than one named, its true and lawful Attorney-in-Fact, to make, execute, seal and deliver, for and on its behalf as surety, any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof; provided that no bond or undertaking executed under this authority shall exceed in amount the sum of: **unlimited** and the execution of such bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof in pursuance of these presents, shall be as binding upon said Company as if they had been fully signed by an authorized officer of the Company and sealed with the Company seal. This Power of Attorney is made and executed by authority of the following resolutions adopted by the Board of Directors of ATLANTIC SPECIALTY INSURANCE COMPANY on the twenty-fifth day of September, 2012:

Resolved: That the President, any Senior Vice President or Vice-President (each an "Authorized Officer") may execute for and in behalf of the Company any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof, and affix the seal of the Company thereto; and that the Authorized Officer may appoint and authorize an Attorney-in-Fact to execute on behalf of the Company any and all such instruments and to affix the Company seal thereto; and that the Authorized Officer may at any time remove any such Attorney-in-Fact and revoke all power and authority given to any such Attorney-in-Fact.

Resolved: That the Attorney-in-Fact may be given full power and authority to execute for and in the name and on behalf of the Company any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof, and any such instrument executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed and sealed by an Authorized Officer and, further, the Attorney-in-Fact is hereby authorized to verify any affidavit required to be attached to bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof.

This power of attorney is signed and sealed by facsimile under the authority of the following Resolution adopted by the Board of Directors of ATLANTIC SPECIALTY INSURANCE COMPANY on the twenty-fifth day of September, 2012:

Resolved: That the signature of an Authorized Officer, the signature of the Secretary or the Assistant Secretary, and the Company seal may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing an Attorney-in-Fact for purposes only of executing and sealing any bond, undertaking, recognizance or other written obligation in the nature thereof, and any such signature and seal where so used, being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.

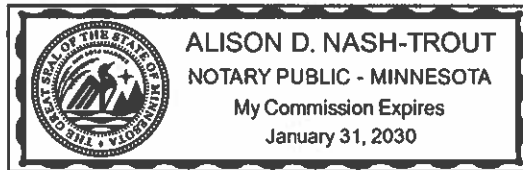
IN WITNESS WHEREOF, ATLANTIC SPECIALTY INSURANCE COMPANY has caused these presents to be signed by an Authorized Officer and the seal of the Company to be affixed this first day of January, 2023.

STATE OF MINNESOTA  
HENNEPIN COUNTY



By   
Sarah A. Kolar, Vice President and General Counsel

On this first day of January, 2023, before me personally came Sarah A. Kolar, Vice President and General Counsel of ATLANTIC SPECIALTY INSURANCE COMPANY, to me personally known to be the individual and officer described in and who executed the preceding instrument, and she acknowledged the execution of the same, and being by me duly sworn, that she is the said officer of the Company aforesaid, and that the seal affixed to the preceding instrument is the seal of said Company and that the said seal and the signature as such officer was duly affixed and subscribed to the said instrument by the authority and at the direction of the Company.



  
Notary Public

I, the undersigned, Secretary of ATLANTIC SPECIALTY INSURANCE COMPANY, a New York Corporation, do hereby certify that the foregoing power of attorney is in full force and has not been revoked, and the resolutions set forth above are now in force.

Signed and sealed. Dated 1<sup>st</sup> day of JUNE, 2026

This Power of Attorney expires  
January 31, 2030



  
Kara L.B. Barrow, Secretary